# imitation

**Center for Human-Compatible Al** 

# **GETTING STARTED**

| 1  | Mair   | n Features   | 3   |
|----|--------|--|-----|
| 2  | Citin  | g imitation  | 5   |
|    | 2.1    | What is imitation?   | 5   |
|    | 2.2    | Installation   | 6   |
|    | 2.3    | First Steps  | 6   |
|    | 2.4    | Command Line Interface   | 9   |
|    | 2.5    | Experts  | 12  |
|    | 2.6    | Trajectories   | 13  |
|    | 2.7    | Reward Networks  | 15  |
|    | 2.8    | Limitations on Horizon Length  | 18  |
|    | 2.9    | Behavioral Cloning (BC)  | 19  |
|    | 2.10   | Generative Adversarial Imitation Learning (GAIL)   | 22  |
|    | 2.11   | Adversarial Inverse Reinforcement Learning (AIRL)  | 30  |
|    | 2.12   | DAgger   | 38  |
|    | 2.13   | Density-Based Reward Modeling  | 47  |
|    | 2.14   | Maximum Causal Entropy Inverse Reinforcement Learning (MCE IRL)                          | 51  |
|    | 2.15   | Preference Comparisons   | 55  |
|    | 2.16   | Train an Agent using Behavior Cloning  | 59  |
|    | 2.17   | Train an Agent using the DAgger Algorithm  | 62  |
|    | 2.18   | Train an Agent using Generative Adversarial Imitation Learning                           | 65  |
|    | 2.19   | Train an Agent using Adversarial Inverse Reinforcement Learning                          | 68  |
|    | 2.20   | Learning a Reward Function using Preference Comparisons                                  | 71  |
|    | 2.21   | Learning a Reward Function using Preference Comparisons on Atari                         | 81  |
|    | 2.22   | Learn a Reward Function using Maximum Conditional Entropy Inverse Reinforcement Learning | 87  |
|    | 2.23   | Learning a Reward Function using Kernel Density  | 91  |
|    | 2.24   | Train Behavior Cloning in a Custom Environment   |     |
|    | 2.25   | Reliably compare algorithm performance   | 100 |
| 3  | API    | Reference  | 113 |
|    | 3.1    | imitation  | 113 |
|    | 3.2    | Developer Guide  | 242 |
|    | 3.3    | Contributing   |     |
|    | 3.4    | Release Notes  |     |
|    | 3.5    | License  | 248 |
|    | 3.6    | Index  |     |
| Рy | thon I | Module Index   | 251 |
| In | dex    |  | 253 |

**Imitation provides clean implementations of imitation and reward learning algorithms**, under a unified and user-friendly API. Currently, we have implementations of Behavioral Cloning, DAgger (with synthetic examples), density-based reward modeling, Maximum Causal Entropy Inverse Reinforcement Learning, Adversarial Inverse Reinforcement Learning, Generative Adversarial Imitation Learning, and Deep RL from Human Preferences.

You can find us on GitHub at http://github.com/HumanCompatibleAI/imitation.

GETTING STARTED 1

2 GETTING STARTED

# **CHAPTER**

# **ONE**

# **MAIN FEATURES**

- Built on and compatible with Stable Baselines 3 (SB3).
- Modular Pytorch implementations of Behavioral Cloning, DAgger, GAIL, and AIRL that can train arbitrary SB3 policies.
- GAIL and AIRL have customizable reward and discriminator networks.
- Scripts to train policies using SB3 and save rollouts from these policies as synthetic "expert" demonstrations.
- Data structures and scripts for loading and storing expert demonstrations.

**CHAPTER** 

**TWO** 

# CITING IMITATION

If you use imitation in your research project, please cite our paper to help us track our impact and enable readers to more easily replicate your results. You may use the following BibTeX:

```
@misc{gleave2022imitation,
   author = {Gleave, Adam and Taufeeque, Mohammad and Rocamonde, Juan and Jenner, Erik_
   and Wang, Steven H. and Toyer, Sam and Ernestus, Maximilian and Belrose, Nora and_
   Emmons, Scott and Russell, Stuart},
   title = {imitation: Clean Imitation Learning Implementations},
   year = {2022},
   howPublished = {arXiv:2211.11972v1 [cs.LG]},
   archivePrefix = {arXiv},
   eprint = {2211.11972},
   primaryClass = {cs.LG},
   url = {https://arxiv.org/abs/2211.11972},
}
```

# 2.1 What is imitation?

imitation is an open-source library providing high-quality, reliable and modular implementations of seven reward and imitation learning algorithms, built on modern backends like PyTorch and Stable Baselines3. It includes implementations of *Behavioral Cloning (BC)*, *DAgger*, *Generative Adversarial Imitation Learning (GAIL)*, *Adversarial Inverse Reinforcement Learning (AIRL)*, *Reward Learning through Preference Comparisons*, *Maximum Causal Entropy Inverse Reinforcement Learning (MCE IRL)*, and *Density-based reward modeling*. The algorithms follow a consistent interface, making it simple to train and compare a range of algorithms.

A key use case of imitation is as an experimental baseline. Small implementation details in imitation learning algorithms can have significant impacts on performance, which can lead to spurious positive results if a weak experimental baseline is used. To address this challenge, imitation's algorithms have been carefully benchmarked and compared to prior implementations. The codebase is statically type-checked and over 90% of it is covered by automated tests.

In addition to providing reliable baselines, imitation aims to simplify the process of developing novel reward and imitation learning algorithms. Its implementations are *modular*: users can freely change the reward or policy network architecture, RL algorithm and optimizer without touching the codebase itself. Algorithms can be extended by subclassing and overriding relevant methods. imitation also provides utility methods to handle common tasks to support the development of entirely novel algorithms.

Our goal for imitation is to make it easier to use, develop, and compare imitation and reward learning algorithms. The library is in active development, and we welcome contributions and feedback.

Check out our recommended *First Steps* for an overview of how to use the library. We also have tutorials, such as *Train an Agent using Behavior Cloning*, that provide detailed examples of specific algorithms. If you are interested in

helping develop imitation then we suggest you refer to the *Developer Guide* as well as more specific guidelines for *Contributing*.

# 2.2 Installation

# 2.2.1 Prerequisites

- Python 3.8+
- (Optional) OpenGL (to render gym environments)
- (Optional) FFmpeg (to encode videos of renders)
- (Optional) MuJoCo (follow instructions to install mujoco\_py v1.5 here)

# 2.2.2 Installation from PyPI

To install the latest PyPI release, simply run:

```
pip install imitation
```

### 2.2.3 Installation from source

Installation from source is useful if you wish to contribute to the development of imitation, or if you need features that have not yet been made available in a stable release:

```
git clone http://github.com/HumanCompatibleAI/imitation
cd imitation
pip install -e .
```

There are also a number of dependencies used for running tests and building the documentation, which can be installed with:

```
pip install -e ".[dev]"
```

# 2.3 First Steps

Imitation can be used in two main ways: through its command-line interface (CLI) or Python API. The CLI allows you to quickly train and test algorithms and policies directly from the command line. The Python API provides greater flexibility and extensibility, and allows you to inter-operate with your existing Python environment.

# 2.3.1 CLI Quickstart

We provide several CLI scripts as front-ends to the algorithms implemented in imitation. These use Sacred for configuration and replicability.

For information on how to configure Sacred CLI options, see the Sacred docs.

```
#!/usr/bin/env bash

# Train PPO agent on pendulum and collect expert demonstrations. Tensorboard logs saved.
in quickstart/rl/
python -m imitation.scripts.train_rl with pendulum environment.fast policy_evaluation.
ifast rl.fast fast logging.log_dir=quickstart/rl/

# Train GAIL from demonstrations. Tensorboard logs saved in output/ (default log.
idirectory).
python -m imitation.scripts.train_adversarial gail with pendulum environment.fast.
idemonstrations.fast policy_evaluation.fast rl.fast fast demonstrations.path=quickstart/
irl/rollouts/final.npz demonstrations. Tensorboard logs saved in output/ (default log.
idirectory).
python -m imitation.scripts.train_adversarial airl with pendulum environment.fast.
idemonstrations.fast policy_evaluation.fast rl.fast fast demonstrations.path=quickstart/
irl/rollouts/final.npz demonstrations.source=local
```

**Note:** Remove the fast options from the commands above to allow training run to completion.

**Tip:** python -m imitation.scripts.train\_rl print\_config will list Sacred script options. These configuration options are also documented in each script's docstrings.

# 2.3.2 Python Interface Quickstart

Here's an example script that loads CartPole demonstrations and trains BC, GAIL, and AIRL models on that data. You will need to pip install seals or pip install imitation[test] to run this.

```
"""This is a simple example demonstrating how to clone the behavior of an expert.

Refer to the jupyter notebooks for more detailed examples of how to use the algorithms.

"""

import gym
import numpy as np
from stable_baselines3 import PPO
from stable_baselines3.common.evaluation import evaluate_policy
from stable_baselines3.common.vec_env import DummyVecEnv
from stable_baselines3.ppo import MlpPolicy

from imitation.algorithms import bc
from imitation.data import rollout
```

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2.3. First Steps 7

```
from imitation.data.wrappers import RolloutInfoWrapper
env = gym.make("CartPole-v1")
rng = np.random.default_rng(0)
def train_expert():
   print("Training a expert.")
   expert = PPO(
        policy=MlpPolicy,
        env=env,
        seed=0,
        batch_size=64,
        ent_coef=0.0,
        learning_rate=0.0003,
       n_epochs=10,
       n_{steps=64},
   )
   expert.learn(100) # Note: change this to 1000000 to train a decent expert.
   return expert
def sample_expert_transitions():
   expert = train_expert()
   print("Sampling expert transitions.")
   rollouts = rollout.rollout(
        expert,
        DummyVecEnv([lambda: RolloutInfoWrapper(env)]),
        rollout.make_sample_until(min_timesteps=None, min_episodes=50),
       rng=rng,
   return rollout.flatten_trajectories(rollouts)
transitions = sample_expert_transitions()
bc_trainer = bc.BC(
   observation_space=env.observation_space,
   action_space=env.action_space,
   demonstrations=transitions,
   rng=rng,
reward, _ = evaluate_policy(
   bc_trainer.policy, # type: ignore[arg-type]
   env,
   n_eval_episodes=3,
   render=True,
print(f"Reward before training: {reward}")
print("Training a policy using Behavior Cloning")
```

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```
bc_trainer.train(n_epochs=1)

reward, _ = evaluate_policy(
    bc_trainer.policy, # type: ignore[arg-type]
    env,
    n_eval_episodes=3,
    render=True,
)
print(f"Reward after training: {reward}")
```

# 2.4 Command Line Interface

Many features of the core library are accessible via the command line interface built using the Sacred package.

Sacred is used to configure and run the algorithms. It is centered around the concept of experiments which are composed of reusable ingredients. Each experiment and each ingredient has its own configuration namespace. Named configurations are used to specify a coherent set of configuration values. It is recommended to at least read the Sacred documentation about the command line interface.

The *scripts* package contains a number of sacred experiments to either execute algorithms or perform utility tasks. The most important *ingredients* for imitation learning are:

- Environments
- Expert Policies
- Expert Demonstrations
- Reward Functions

### 2.4.1 Usage Examples

Here we demonstrate some usage examples for the command line interface. You can always find out all the configurable values by running:

```
python -m imitation.scripts.<script> print_config
```

#### Run BC on the CartPole-v1 environment with a pre-trained PPO policy as expert:

**Note:** Here the cartpole environment is specified via a named configuration.

50 expert demonstrations are sampled from the PPO policy that is included in the testdata folder. 2000 batches are enough to train a good policy.

### Run DAgger on the CartPole-v0 environment with a random policy as expert:

```
python -m imitation.scripts.train_imitation dagger with \
    cartpole \
    dagger.total_timesteps=2000 \
    demonstrations.n_expert_demos=10 \
    expert.policy_type=random
```

This will not produce any meaningful results, since a random policy is not a good expert.

#### Run AIRL on the MountainCar-v0 environment with a expert from the HuggingFace model hub:

```
python -m imitation.scripts.train_adversarial airl with \
    seals_mountain_car \
    total_timesteps=5000 \
    expert.policy_type=ppo-huggingface \
    demonstrations.n_expert_demos=500
```

Note: The small number of total timesteps is only for demonstration purposes and will not produce a good policy.

#### Run GAIL on the seals/Swimmer-v0 environment

Here we do not use the named configuration for the seals environment, but instead specify the gym\_id directly. The seals: prefix ensures that the seals package is imported and the environment is registered.

**Note:** The Swimmer environment needs *mujoco\_py* to be installed.

# 2.4.2 Algorithm Scripts

Call the algorithm scripts like this:

```
python -m imitation.scripts.<script> [command] with <named_config> <config_values>
```

| algorithm                       | script                       | command |
|---------------------------------|------------------------------|---------|
| BC                              | train_imitation              | bc      |
| DAgger                          | train_imitation              | dagger  |
| AIRL                            | train_adversarial            | airl    |
| GAIL                            | train_adversarial            | gail    |
| Preference Comparison           | train_preference_comparisons | •       |
| MCE IRL                         | none                         | •       |
| Density Based Reward Estimation | none                         | •       |

# 2.4.3 Utility Scripts

Call the utility scripts like this:

```
python -m imitation.scripts.<script>
```

| Functionality                           | Script        |
|---|---------------|
| Reinforcement Learning                  | train_rl      |
| Evaluating a Policy                     | eval_policy   |
| Parallel Execution of Algorithm Scripts | parallel      |
| Converting Trajectory Formats           | convert_trajs |
| Analyzing Experimental Results          | analyze       |

# 2.4.4 Output Directories

The results of the script runs are stored in the following directory structure:

It contains the final model, tensorboard logs, sacred logs and the sacred source files.

# 2.5 Experts

The algorithms in the imitation library are all about learning from some kind of expert. In many cases this expert is a piece of software itself. The *imitation* library natively supports experts trained using the stable-baselines3 reinforcement learning library.

For example, BC and DAgger can learn from an expert policy and the command line interface of AIRL/GAIL allows one to specify an expert to sample demonstrations from.

In the ../getting-started/first-steps tutorial, we first train an expert policy using the stable-baselines3 library and then imitate it's behavior using *Behavioral Cloning (BC)*. In practice, you may want to load a pre-trained policy for performance reasons.

# 2.5.1 Loading a policy from a file

The Python interface provides a *load\_policy()* function to which you pass a *policy\_type*, a VecEnv and any extra kwargs to pass to the corresponding policy loader.

```
import numpy as np
from imitation.policies.serialize import load_policy
from imitation.util import util

venv = util.make_vec_env("your-env", n_envs=4, rng=np.random.default_rng())
local_policy = load_policy("ppo", venv, path="path/to/model.zip")
```

To load a policy from disk, use either *ppo* or *sac* as the policy type. The path is specified by *path* in the *loader\_kwargs* and it should either point to a zip file containing the policy or a directory containing a *model.zip* file that was created by stable-baselines3.

In the command line interface the *expert.policy\_type* and *expert.loader\_kwargs* parameters define the expert policy to load. For example, to train AIRL on a PPO expert, you would use the following command:

```
python -m imitation.scripts.train_adversarial airl \
   with expert.policy_type=ppo expert.loader_kwargs.path="path/to/model.zip"
```

# 2.5.2 Loading a policy from HuggingFace

HuggingFace is a popular repository for pre-trained models.

To load a stable-baselines3 policy from HuggingFace, use either *ppo-huggingface* or *sac-huggingface* as the policy type. By default, the policies are loaded from the HumanCompatibleAI organization, but you can override this by setting the *organization* parameter in the *loader\_kwargs*. When using the Python API, you also have to specify the environment name as *env\_name*.

```
import numpy as np
from imitation.policies.serialize import load_policy
from imitation.util import util

venv = util.make_vec_env("your-env", n_envs=4, rng=np.random.default_rng())
remote_policy = load_policy(
    "ppo-huggingface",
    organization="your-org",
    env_name="your-env"
```

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```
)
```

In the command line interface, the *env-name* is automatically injected into the *loader\_kwargs* and does not need to be defined explicitly. In this example, to train AIRL on a PPO expert that was loaded from *your-org* on HuggingFace:

```
python -m imitation.scripts.train_adversarial airl \
   with expert.policy_type=ppo-huggingface expert.loader_kwargs.organization=your-org
```

# 2.5.3 Uploading a policy to HuggingFace

The huggingface-sb3 package provides utilities to push your models to HuggingFace and load them from there. Make sure to use the naming scheme helpers as described in the readme. Otherwise, the loader will not be able to find your model in the repository.

For a convenient high-level interface to train RL models and upload them to HuggingFace, we recommend using the rl-baselines3-zoo.

# 2.5.4 Custom expert types

If you want to use a custom expert type, you can write a corresponding factory function according to *PolicyLoaderFn()* and then register it at the *policy\_registry*. For example:

```
from imitation.policies.serialize import policy_registry
from stable_baselines3.common import policies

def my_policy_loader(venv, some_param: int) -> policies.BasePolicy:
    # load your policy here
    return policy

policy_registry.register("my-policy", my_policy_loader)
```

Then, you can use my-policy as the policy\_type in the command line interface or the Python API:

```
python -m imitation.scripts.train_adversarial airl \
   with expert.policy_type=my-policy expert.loader_kwargs.some_param=42
```

# 2.6 Trajectories

For imitation learning we need trajectories. Trajectories are sequences of observations and actions and sometimes rewards, which are generated by an agent interacting with an environment. They are also called rollouts or episodes. Some are generated by experts and serve as demonstrations, others are generated by the agent and serve as training data for a discriminator. In this library they are stored in a *Trajectory* dataclass:

```
@dataclasses.dataclass(frozen=True)
class Trajectory:
   obs: np.ndarray
   """Observations, shape (trajectory_len + 1, ) + observation_shape."""
```

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2.6. Trajectories 13

```
acts: np.ndarray
"""Actions, shape (trajectory_len, ) + action_shape."""
infos: Optional[np.ndarray]
    """An array of info dicts, shape (trajectory_len, )."""
terminal: bool
"""Does this trajectory (fragment) end in a terminal state?"""
```

The info dictionaries are optional and can contain arbitrary information. Look at the *Trajectory* class as well as the gymnasium documentation for more details. *TrajectoryWithRew* is a subclass of *Trajectory* and has another *rews* field, which is an array of rewards of shape (*trajectory\_len*, ).

Usually, they are passed around as sequences of trajectories.

Some algorithms do not need as much information about the ordering of states, actions and rewards. Rather than using trajectories, these algorithms can make use of individual *Transitions* (*flattened* trajectories).

# 2.6.1 Generating Trajectories

To generate trajectories from a given policy, run the following command:

```
import numpy as np
import imitation.data.rollout as rollout

your_trajectories = rollout.rollout(
    your_policy,
    your_env,
    sample_until=rollout.make_sample_until(min_episodes=10),
    rng=np.random.default_rng(),
    unwrap=False,
)
```

# 2.6.2 Storing/Loading Trajectories

Trajectories can be stored on disk or uploaded to the HuggingFace Dataset Hub.

This will store the sequence of trajectories into a directory at your\_path as a HuggingFace Dataset:

```
from imitation.data import serialize
serialize.save(your_path, your_trajectories)
```

In the same way you can load trajectories from a HuggingFace Dataset:

```
from imitation.data import serialize
your_trajectories = serialize.load(your_path)
```

Note that some older, now deprecated, trajectory formats are supported by this loader, but not by the saver.

# 2.6.3 Sharing Trajectories with the HuggingFace Dataset Hub

To share your trajectories with the HuggingFace Dataset Hub, you need to create a HuggingFace account and log in with the HuggingFace CLI:

```
$ huggingface-cli login
```

Then you can upload your trajectories to the HuggingFace Dataset Hub:

```
from imitation.data.huggingface_utils import trajectories_to_dataset
trajectories_to_dataset(your_trajectories).push_to_hub("your_hf_name/your_dataset_name")
```

To use a public dataset from the HuggingFace Dataset Hub, you can use the following code:

```
import datasets
from imitation.data.huggingface_utils import TrajectoryDatasetSequence

your_dataset = datasets.load_dataset("your_hf_name/your_dataset_name")
your_trajectories = TrajectoryDatasetSequence(your_dataset["train"])
```

The *TrajectoryDatasetSequence* wraps a HuggingFace dataset so it can be used in the same way as a list of trajectories.

For example, you can analyze the dataset with imitation.data.rollout\_rollout\_stats() to get the mean return:

```
from imitation.data.rollout import rollout_stats

stats = rollout_stats(your_trajectories)
print(stats["return_mean"])
```

# 2.7 Reward Networks

The goal of both inverse reinforcement learning (IRL) algorithms (e.g. *AIRL*, *GAIL*) and *preference comparison* is to discover a reward function. In imitation learning, these discovered rewards are parameterized by reward networks.

#### 2.7.1 Reward Network API

Reward networks need to support two separate but equally important modes of operation. First, these networks need to produce a reward that can be differentiated and used for training the reward network. These rewards are provided by the *forward* method. Second, these networks need to produce a reward that can be used for training policies. These rewards are provided by the *predict\_processed* method, which applies additional post-processing that is unhelpful during reward network training.

2.7. Reward Networks 15

### 2.7.2 Reward Network Architecture

In imitation learning, reward networks are torch.nn.Module. Out of the box, imitation provides a few reward network architectures such as multi-layer perceptron <code>BasicRewardNet</code> and a convolutional neural net <code>CNNRewardNet</code>. To implement your own custom reward network, you can subclass <code>RewardNet</code>.

```
from imitation.rewards.reward_nets import RewardNet
import torch as th

class MyRewardNet(RewardNet):
    def __init__(self, observation_space, action_space):
        super().__init__(observation_space, action_space)
        # initialize your custom reward network here

def forward(self,
        state: th.Tensor, # (batch_size, *obs_shape)
        action: th.Tensor, # (batch_size, *action_shape)
        next_state: th.Tensor, # (batch_size, *obs_shape)
        done: th.Tensor, # (batch_size, *obs_shape)
        done: th.Tensor:
        # implement your custom reward network here
        return th.zeros_like(done) # (batch_size,)
```

# 2.7.3 Replace an Environment's Reward with a Reward Network

In order to use a reward network to train a policy, we need to integrate it into an environment. This is done by wrapping the environment in a *RewardVecEnvWrapper*. This wrapper replaces the environment's reward function with the reward network's function.

```
from imitation.util import util
from imitation.rewards.reward_wrapper import RewardVecEnvWrapper
from imitation.rewards.reward_nets import BasicRewardNet

reward_net = BasicRewardNet(obs_space, action_space)
venv = util.make_vec_env("Pendulum-v1", n_envs=3, rng=rng)
venv = RewardVecEnvWrapper(venv, reward_net.predict_processed)
```

# 2.7.4 Reward Network Wrappers

Imitation learning algorithms should converge to a reward function that will theoretically induce the optimal or softoptimal policy. However, these reward functions may not always be well suited for training RL agents, or we may want to modify them to encourage exploration, for instance.

There are two types of wrapper:

- ForwardWrapper allows for direct modification of the results of the reward network's forward method. It is used during the learning of the reward network and thus must be differentiable. These wrappers are always applied first and are thus take effect regardless of weather you call forward, predict or predict\_processed. They are used for applying transformations like potential shaping (see ShapedRewardNet).
- *PredictProcessedWrapper* modifies the predict\_processed call to the reward network. Thus this type of reward network wrapper is designed to only modify the reward when it is being used to train/evaluate a policy but *not* when we are taking gradients on it. Thus it does not have to be differentiable.

The most commonly used is the NormalizedRewardNet which is a predict procssed wrapper. This class uses a normalization layer to standardize the *output* of the reward function using its running mean and variance, which is useful for stabilizing training. When a reward network is saved, its wrappers are saved along with it, so that the normalization fit during reward learning can be used during future policy learning or evaluation.

```
from imitation.rewards.reward_nets import NormalizedRewardNet
from imitation.util.networks import RunningNorm
train_reward_net = NormalizedRewardNet(
    reward_net,
    normalize_output_layer=RunningNorm,
)
```

**Note:** The reward normalization wrapper does \_not\_ function identically to stable baselines3's VecNormalize environment wrapper. First, it does not normalize the observations. Second, unlike VecNormalize, it scales and centers the reward using the base rewards's mean and variance. The VecNormalizes scales the reward down using a running estimate of the \_return\_.

By default, the normalization wrapper updates the normalization on each call to predict\_processed. This behavior can be altered as shown below.

```
from functools import partial
eval_rew_fn = partial(reward_net.predict_processed, update_stats=False)
```

# 2.7.5 Serializing and Deserializing Reward Networks

Reward networks, wrappers included, are serialized simply by calling th.save(reward\_net, path).

However, when evaluating reward networks, we may or may not want to include the wrappers it was trained with. To load the reward network just as it was saved, wrappers included, we can simply call th.load(path). When using a learned reward network to train or evaluate a policy, we can select whether or not to include the reward network wrappers and convert it into a function using the <code>load\_reward</code> utility. For example, we might want to remove or keep the reward normalization fit during training in the evaluation phase.

2.7. Reward Networks 17

# 2.8 Limitations on Horizon Length

Warning: Variable Horizon Environments Considered Harmful

Reinforcement learning (RL) algorithms are commonly trained and evaluated in *variable horizon* environments. In these environments, the episode ends when some termination condition is reached (rather than after a fixed number of steps). This typically corresponds to success, such as reaching the top of the mountain in MountainCar, or to failure, such as the pole falling down in CartPole. A variable horizon will tend to speed up RL training, by increasing the proportion of samples where the agent's actions still have a meaningful impact on the reward, pruning out states that are already a foregone conclusion.

However, termination conditions must be carefully hand-designed for each environment. Their inclusion therefore provides a significant source of information about the reward. Evaluating reward and imitation learning algorithms in variable-horizon environments can therefore be deeply misleading. In fact, reward learning in commonly used variable horizon environments such as MountainCar and CartPole can be solved by learning a single bit: the sign of the reward. Of course, an algorithm being able to learn a single bit predicts very little about its performance in real-world tasks, that do not usually come with such an informative termination condition.

To make matters worse, some algorithms have a strong inductive bias towards a particular sign. Indeed, Figure 5 of Kostrikov et al (2021) shows that GAIL is able to reach a third of expert performance even without seeing any expert demonstrations. Consequently, algorithms that happen to have an inductive bias aligned with the task (e.g. positive reward bias for environments where longer episodes are better) may outperform unbiased algorithms on certain environments. Conversely, algorithms with a misaligned inductive bias will perform worse than an unbiased algorithm. This may lead to illusory discrepancies between algorithms, or even different implementations of the same algorithm.

Kostrikov et al (2021) introduces a way to correct for this bias. However, this does not solve the problem of information leakage. Rather, it merely ensures that different algorithms are all able to equally exploit the information leak provided by the termination condition.

In light of this issue, we would strongly recommend users evaluate imitation and other reward or imitation learning algorithms only in fixed-horizon environments. This is a common, though unfortunately not ubiquitous, practice in reward learning papers. For example, Christiano et al (2017) use fixed horizon environments because:

Removing variable length episodes leaves the agent with only the information encoded in the environment itself; human feedback provides its only guidance about what it ought to do.

Many environments, like HalfCheetah, are naturally fixed-horizon. Moreover, most variable-horizon tasks can be easily converted into fixed-horizon tasks. Our sister project seals provides fixed-horizon versions of many commonly used MuJoCo continuous control tasks, as well as mitigating other potential pitfalls in reward learning evaluation.

Given the serious issues with evaluation and training in variable horizon tasks, imitation will by default throw an error if training AIRL, GAIL or DRLHP in variable horizon tasks. If you have read this document and understand the problems that variable horizon tasks can cause but still want to train in variable horizon settings, you can override this safety check by setting allow\_variable\_horizon=True. Note this check is not applied for BC or DAgger, which operate on individual transitions (not episodes) and so cannot exploit the information leak.

Usage with allow\_variable\_horizon=True is not officially supported, and we will not optimize imitation algorithms to perform well in this situation, as it would not represent real progress. Examples of situations where setting this flag may nonetheless be appropriate include:

- 1. Investigating the bias introduced by variable horizon tasks e.g. comparing variable to fixed horizon tasks.
- 2. For unit tests to verify algorithms continue to run on variable horizon environments.
- 3. Where the termination condition is trivial (e.g. has the robot fallen over?) and the target behaviour is complex (e.g. solve a Rubik's cube). In this case, while the termination condition still helps reward and imitation learning,

the problem remains highly non-trivial even with this information side-channel. However, the existence of this side-channel should of course be prominently disclosed.

See this GitHub issue for further discussion.

# 2.8.1 Non-Support for Infinite Length Horizons

At the moment, we do not support infinite-length horizons. Many of the imitation algorithms, especially those relying on RL, do not easily port over to infinite-horizon setups. Similarly, much of the logging and reward calculation logic assumes the existence of a finite horizon. Although we may explore workarounds in the future, this is not a feature that we can currently support.

# 2.9 Behavioral Cloning (BC)

Behavioral cloning directly learns a policy by using supervised learning on observation-action pairs from expert demonstrations. It is a simple approach to learning a policy, but the policy often generalizes poorly and does not recover well from errors.

Alternatives to behavioral cloning include *DAgger* (similar but gathers on-policy demonstrations) and *GAIL/AIRL* (more robust approaches to learning from demonstrations).

# 2.9.1 Example

Detailed example notebook: Train an Agent using Behavior Cloning

```
import numpy as np
import gym
from stable_baselines3 import PPO
from stable_baselines3.common.evaluation import evaluate_policy
from stable_baselines3.common.vec_env import DummyVecEnv
from stable_baselines3.ppo import MlpPolicy
from imitation.algorithms import bc
from imitation.data import rollout
from imitation.data.wrappers import RolloutInfoWrapper
rng = np.random.default_rng(0)
env = gym.make("CartPole-v1")
expert = PPO(policy=MlpPolicy, env=env)
expert.learn(1000)
rollouts = rollout.rollout(
   DummyVecEnv([lambda: RolloutInfoWrapper(env)]),
   rollout.make_sample_until(min_timesteps=None, min_episodes=50),
transitions = rollout.flatten_trajectories(rollouts)
bc_trainer = bc.BC(
    observation_space=env.observation_space,
```

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```
action_space=env.action_space,
  demonstrations=transitions,
  rng=rng,
)
bc_trainer.train(n_epochs=1)
reward, _ = evaluate_policy(bc_trainer.policy, env, 10)
print("Reward:", reward)
```

#### 2.9.2 API

Bases: DemonstrationAlgorithm

Behavioral cloning (BC).

Recovers a policy via supervised learning from observation-action pairs.

\_\_init\_\_(\*, observation\_space, action\_space, rng, policy=None, demonstrations=None, batch\_size=32, minibatch\_size=None, optimizer\_cls=<class 'torch.optim.adam.Adam'>, optimizer\_kwargs=None, ent\_weight=0.001, l2\_weight=0.0, device='auto', custom\_logger=None)

Builds BC.

#### **Parameters**

- **observation\_space** (Space) the observation space of the environment.
- **action\_space** (Space) the action space of the environment.
- **rng** (Generator) the random state to use for the random number generator.
- **policy** (Optional[ActorCriticPolicy]) a Stable Baselines3 policy; if unspecified, defaults to *FeedForward32Policy*.
- **demonstrations** (Union[Iterable[*Trajectory*], Iterable[Mapping[str, Union[ndarray, Tensor]]], *TransitionsMinimal*, None]) Demonstrations from an expert (optional). Transitions expressed directly as a *types.TransitionsMinimal* object, a sequence of trajectories, or an iterable of transition batches (mappings from keywords to arrays containing observations, etc).
- **batch\_size** (int) The number of samples in each batch of expert data.
- minibatch\_size (Optional[int]) size of minibatch to calculate gradients over. The gradients are accumulated until batch\_size examples are processed before making an optimization step. This is useful in GPU training to reduce memory usage, since fewer examples are loaded into memory at once, facilitating training with larger batch sizes, but is generally slower. Must be a factor of batch\_size. Optional, defaults to batch\_size.
- **optimizer\_cls** (Type[Optimizer]) optimiser to use for supervised training.
- **optimizer\_kwargs** (Optional[Mapping[str, Any]]) keyword arguments, excluding learning rate and weight decay, for optimiser construction.
- **ent\_weight** (float) scaling applied to the policy's entropy regularization.

- **12\_weight** (float) scaling applied to the policy's L2 regularization.
- **device** (Union[str, device]) name/identity of device to place policy on.
- **custom\_logger** (Optional[*HierarchicalLogger*]) Where to log to; if None (default), creates a new logger.

#### **Raises**

**ValueError** – If weight\_decay is specified in optimizer\_kwargs (use the parameter l2\_weight instead), or if the batch size is not a multiple of the minibatch size.

#### allow\_variable\_horizon: bool

If True, allow variable horizon trajectories; otherwise error if detected.

### property policy: ActorCriticPolicy

Returns a policy imitating the demonstration data.

#### Return type

ActorCriticPolicy

#### save\_policy(policy\_path)

Save policy to a path. Can be reloaded by .reconstruct\_policy().

#### **Parameters**

**policy\_path** (Union[str, bytes, PathLike]) – path to save policy to.

#### Return type

None

#### set\_demonstrations(demonstrations)

Sets the demonstration data.

Changing the demonstration data on-demand can be useful for interactive algorithms like DAgger.

#### **Parameters**

**demonstrations** (Union[Iterable[Trajectory], Iterable[Mapping[str, Union[ndarray, Tensor]]], TransitionsMinimal]) — Either a Torch DataLoader, any other iterator that yields dictionaries containing "obs" and "acts" Tensors or NumPy arrays, TransitionKind instance, or a Sequence of Trajectory objects.

#### Return type

None

train(\*, n\_epochs=None, n\_batches=None, on\_epoch\_end=None, on\_batch\_end=None, log\_interval=500, log\_rollouts\_venv=None, log\_rollouts\_n\_episodes=5, progress\_bar=True, reset\_tensorboard=False)

Train with supervised learning for some number of epochs.

Here an 'epoch' is just a complete pass through the expert data loader, as set by  $self.set\_expert\_data\_loader()$ . Note, that when you specify  $n\_batches$  smaller than the number of batches in an epoch, the  $on\_epoch\_end$  callback will never be called.

#### **Parameters**

- **n\_epochs** (Optional[int]) Number of complete passes made through expert data before ending training. Provide exactly one of *n\_epochs* and *n\_batches*.
- **n\_batches** (Optional[int]) Number of batches loaded from dataset before ending training. Provide exactly one of *n\_epochs* and *n\_batches*.
- on\_epoch\_end (Optional[Callable[[], None]]) Optional callback with no parameters to run at the end of each epoch.

- on\_batch\_end (Optional[Callable[[], None]]) Optional callback with no parameters to run at the end of each batch.
- **log\_interval** (int) Log stats after every log\_interval batches.
- log\_rollouts\_venv (Optional[VecEnv]) If not None, then this VecEnv (whose observation and actions spaces must match *self.observation\_space* and *self.action\_space*) is used to generate rollout stats, including average return and average episode length. If None, then no rollouts are generated.
- **log\_rollouts\_n\_episodes** (int) Number of rollouts to generate when calculating rollout stats. Non-positive number disables rollouts.
- **progress\_bar** (bool) If True, then show a progress bar during training.
- **reset\_tensorboard** (bool) If True, then start plotting to Tensorboard from x=0 even if .*train()* logged to Tensorboard previously. Has no practical effect if .*train()* is being called for the first time.

# 2.10 Generative Adversarial Imitation Learning (GAIL)

GAIL learns a policy by simultaneously training it with a discriminator that aims to distinguish expert trajectories against trajectories from the learned policy.

Note: GAIL paper: Generative Adversarial Imitation Learning

# **2.10.1 Example**

Detailed example notebook: Train an Agent using Generative Adversarial Imitation Learning

```
import numpy as np
import gym
from stable_baselines3 import PPO
from stable_baselines3.common.evaluation import evaluate_policy
from stable_baselines3.common.vec_env import DummyVecEnv
from stable_baselines3.ppo import MlpPolicy
from imitation.algorithms.adversarial.gail import GAIL
from imitation.data import rollout
from imitation.data.wrappers import RolloutInfoWrapper
from imitation.rewards.reward_nets import BasicRewardNet
from imitation.util.networks import RunningNorm
from imitation.util.util import make_vec_env
rng = np.random.default_rng(0)
env = gym.make("seals/CartPole-v0")
expert = PPO(policy=MlpPolicy, env=env, n_steps=64)
expert.learn(1000)
rollouts = rollout.rollout(
    expert,
```

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```
make_vec_env(
        "seals/CartPole-v0",
       n_{envs=5},
        post_wrappers=[lambda env, _: RolloutInfoWrapper(env)],
        rng=rng,
   ),
   rollout.make_sample_until(min_timesteps=None, min_episodes=60),
)
venv = make_vec_env("seals/CartPole-v0", n_envs=8, rng=rng)
learner = PPO(env=venv, policy=MlpPolicy)
reward_net = BasicRewardNet(
    venv.observation_space,
   venv.action_space,
   normalize_input_layer=RunningNorm,
gail_trainer = GAIL(
   demonstrations=rollouts,
   demo_batch_size=1024,
   gen_replay_buffer_capacity=2048,
   n_disc_updates_per_round=4,
   venv=venv,
   gen_algo=learner,
   reward_net=reward_net,
)
gail_trainer.train(20000)
rewards, _ = evaluate_policy(learner, venv, 100, return_episode_rewards=True)
print("Rewards:", rewards)
```

### 2.10.2 API

```
Bases: AdversarialTrainer
```

Generative Adversarial Imitation Learning (GAIL).

\_\_init\_\_(\*, demonstrations, demo\_batch\_size, venv, gen\_algo, reward\_net, \*\*kwargs)

Generative Adversarial Imitation Learning.

#### **Parameters**

- demonstrations (Union[Iterable[Trajectory], Iterable[Mapping[str, Union[ndarray, Tensor]]], TransitionsMinimal]) Demonstrations from an expert (optional). Transitions expressed directly as a types.TransitionsMinimal object, a sequence of trajectories, or an iterable of transition batches (mappings from keywords to arrays containing observations, etc).
- **demo\_batch\_size** (int) The number of samples in each batch of expert data. The discriminator batch size is twice this number because each discriminator batch contains a generator sample for every expert sample.

- **venv** (VecEnv) The vectorized environment to train in.
- **gen\_algo** (BaseAlgorithm) The generator RL algorithm that is trained to maximize discriminator confusion. Environment and logger will be set to *venv* and *custom\_logger*.
- **reward\_net** (*RewardNet*) a Torch module that takes an observation, action and next observation tensor as input, then computes the logits. Used as the GAIL discriminator.
- **\*\*kwargs** Passed through to *AdversarialTrainer*.\_\_init\_\_.

#### allow\_variable\_horizon: bool

If True, allow variable horizon trajectories; otherwise error if detected.

property logger: HierarchicalLogger

#### Return type

HierarchicalLogger

logits\_expert\_is\_high(state, action, next\_state, done, log\_policy\_act\_prob=None)

Compute the discriminator's logits for each state-action sample.

#### **Parameters**

- **state** (Tensor) The state of the environment at the time of the action.
- **action** (Tensor) The action taken by the expert or generator.
- **next\_state** (Tensor) The state of the environment after the action.
- **done** (Tensor) whether a *terminal state* (as defined under the MDP of the task) has been reached.
- $\log_{policy\_act\_prob}$  (Optional[Tensor]) The log probability of the action taken by the generator,  $\log P(a|s)$ .

### Return type

Tensor

#### Returns

The logits of the discriminator for each state-action sample.

#### property policy: BasePolicy

Returns a policy imitating the demonstration data.

#### Return type

BasePolicy

#### property reward\_test: RewardNet

Reward used to train policy at "test" time after adversarial training.

#### Return type

RewardNet

#### property reward\_train: RewardNet

Reward used to train generator policy.

#### Return type

RewardNet

### set\_demonstrations(demonstrations)

Sets the demonstration data.

Changing the demonstration data on-demand can be useful for interactive algorithms like DAgger.

#### **Parameters**

**demonstrations** (Union[Iterable[Trajectory], Iterable[Mapping[str, Union[ndarray, Tensor]]], TransitionsMinimal]) — Either a Torch DataLoader, any other iterator that yields dictionaries containing "obs" and "acts" Tensors or NumPy arrays, TransitionKind instance, or a Sequence of Trajectory objects.

#### Return type

None

train(total timesteps, callback=None)

Alternates between training the generator and discriminator.

Every "round" consists of a call to *train\_gen(self.gen\_train\_timesteps)*, a call to *train\_disc*, and finally a call to *callback(round)*.

Training ends once an additional "round" would cause the number of transitions sampled from the environment to exceed *total\_timesteps*.

#### **Parameters**

- **total\_timesteps** (int) An upper bound on the number of transitions to sample from the environment during training.
- **callback** (Optional[Callable[[int], None]]) A function called at the end of every round which takes in a single argument, the round number. Round numbers are in range(total\_timesteps // self.gen\_train\_timesteps).

#### Return type

None

train\_disc(\*, expert\_samples=None, gen\_samples=None)

Perform a single discriminator update, optionally using provided samples.

#### **Parameters**

- **expert\_samples** (Optional[Mapping]) Transition samples from the expert in dictionary form. If provided, must contain keys corresponding to every field of the *Transitions* dataclass except "infos". All corresponding values can be either NumPy arrays or Tensors. Extra keys are ignored. Must contain *self.demo\_batch\_size* samples. If this argument is not provided, then *self.demo\_batch\_size* expert samples from *self.demo\_data\_loader* are used by default.
- **gen\_samples** (Optional[Mapping]) Transition samples from the generator policy in same dictionary form as *expert\_samples*. If provided, must contain exactly *self.demo\_batch\_size* samples. If not provided, then take *len(expert\_samples)* samples from the generator replay buffer.

#### **Return type**

Mapping[str, float]

#### Returns

Statistics for discriminator (e.g. loss, accuracy).

train\_gen(total\_timesteps=None, learn\_kwargs=None)

Trains the generator to maximize the discriminator loss.

After the end of training populates the generator replay buffer (used in discriminator training) with self.disc\_batch\_size transitions.

#### **Parameters**

- **total\_timesteps** (Optional[int]) The number of transitions to sample from *self.venv\_train* during training. By default, *self.gen\_train\_timesteps*.
- learn\_kwargs (Optional[Mapping]) kwargs for the Stable Baselines RLModel.learn() method.

#### Return type

None

#### venv: VecEnv

The original vectorized environment.

#### venv\_train: VecEnv

Like *self.venv*, but wrapped with train reward unless in debug mode.

If debug\_use\_ground\_truth=True was passed into the initializer then self.venv\_train is the same as self.venv.

#### venv\_wrapped: VecEnvWrapper

class imitation.algorithms.adversarial.common.AdversarialTrainer(\*, demonstrations,

demo\_batch\_size, venv,
gen\_algo, reward\_net,
demo\_minibatch\_size=None,
n\_disc\_updates\_per\_round=2,
log\_dir='output/',
disc\_opt\_cls=<class
'torch.optim.adam.Adam'>,
disc\_opt\_kwargs=None,
gen\_train\_timesteps=None,
gen\_replay\_buffer\_capacity=None,
custom\_logger=None,
init\_tensorboard=False,
init\_tensorboard\_graph=False,
debug\_use\_ground\_truth=False,
allow\_variable\_horizon=False)

Bases: DemonstrationAlgorithm[Transitions]

Base class for adversarial imitation learning algorithms like GAIL and AIRL.

\_\_init\_\_(\*, demonstrations, demo\_batch\_size, venv, gen\_algo, reward\_net, demo\_minibatch\_size=None, n\_disc\_updates\_per\_round=2, log\_dir='output', disc\_opt\_cls=<class 'torch.optim.adam.Adam'>, disc\_opt\_kwargs=None, gen\_train\_timesteps=None, gen\_replay\_buffer\_capacity=None, custom\_logger=None, init\_tensorboard=False, init\_tensorboard\_graph=False, debug\_use\_ground\_truth=False, allow\_variable\_horizon=False)

Builds AdversarialTrainer.

#### **Parameters**

- demonstrations (Union[Iterable[Trajectory], Iterable[Mapping[str, Union[ndarray, Tensor]]], TransitionsMinimal]) Demonstrations from an expert (optional). Transitions expressed directly as a types.TransitionsMinimal object, a sequence of trajectories, or an iterable of transition batches (mappings from keywords to arrays containing observations, etc).
- **demo\_batch\_size** (int) The number of samples in each batch of expert data. The discriminator batch size is twice this number because each discriminator batch contains a generator sample for every expert sample.

- **venv** (VecEnv) The vectorized environment to train in.
- **gen\_algo** (BaseAlgorithm) The generator RL algorithm that is trained to maximize discriminator confusion. Environment and logger will be set to *venv* and *custom\_logger*.
- **reward\_net** (*RewardNet*) a Torch module that takes an observation, action and next observation tensors as input and computes a reward signal.
- demo\_minibatch\_size (Optional[int]) size of minibatch to calculate gradients over.
   The gradients are accumulated until the entire batch is processed before making an optimization step. This is useful in GPU training to reduce memory usage, since fewer examples are loaded into memory at once, facilitating training with larger batch sizes, but is generally slower. Must be a factor of demo\_batch\_size. Optional, defaults to demo\_batch\_size.
- n\_disc\_updates\_per\_round (int) The number of discriminator updates after each round of generator updates in AdversarialTrainer.learn().
- **log\_dir** (Union[str, bytes, PathLike]) Directory to store TensorBoard logs, plots, etc. in.
- disc\_opt\_cls (Type[Optimizer]) The optimizer for discriminator training.
- **disc\_opt\_kwargs** (Optional[Mapping]) Parameters for discriminator training.
- **gen\_train\_timesteps** (Optional[int]) The number of steps to train the generator policy for each iteration. If None, then defaults to the batch size (for on-policy) or number of environments (for off-policy).
- **gen\_replay\_buffer\_capacity** (Optional[int]) The capacity of the generator replay buffer (the number of obs-action-obs samples from the generator that can be stored). By default this is equal to *gen\_train\_timesteps*, meaning that we sample only from the most recent batch of generator samples.
- **custom\_logger** (Optional[*HierarchicalLogger*]) Where to log to; if None (default), creates a new logger.
- init\_tensorboard (boo1) If True, makes various discriminator TensorBoard summaries.
- init\_tensorboard\_graph (bool) If both this and init\_tensorboard are True, then write a Tensorboard graph summary to disk.
- **debug\_use\_ground\_truth** (bool) If True, use the ground truth reward for *self.train\_env*. This disables the reward wrapping that would normally replace the environment reward with the learned reward. This is useful for sanity checking that the policy training is functional.
- allow\_variable\_horizon (bool) If False (default), algorithm will raise an exception if it detects trajectories of different length during training. If True, overrides this safety check. WARNING: variable horizon episodes leak information about the reward via termination condition, and can seriously confound evaluation. Read https://imitation.readthedocs.io/en/latest/guide/variable\_horizon.html before overriding this.

#### Raises

**ValueError** – if the batch size is not a multiple of the minibatch size.

#### allow\_variable\_horizon: bool

If True, allow variable horizon trajectories; otherwise error if detected.

property logger: HierarchicalLogger

#### Return type

HierarchicalLogger

**abstract logits\_expert\_is\_high**(*state*, *action*, *next\_state*, *done*, *log\_policy\_act\_prob=None*)

Compute the discriminator's logits for each state-action sample.

A high value corresponds to predicting expert, and a low value corresponds to predicting generator.

#### **Parameters**

- **state** (Tensor) state at time t, of shape (*batch\_size*,) + *state\_shape*.
- **action** (Tensor) action taken at time t, of shape (*batch\_size*,) + *action\_shape*.
- **next\_state** (Tensor) state at time t+1, of shape (*batch\_size*,) + *state\_shape*.
- **done** (Tensor) binary episode completion flag after action at time t, of shape (batch\_size,).
- **log\_policy\_act\_prob** (Optional[Tensor]) log probability of generator policy taking *action* at time t.

#### Return type

Tensor

#### Returns

Discriminator logits of shape (batch\_size,). A high output indicates an expert-like transition.

#### property policy: BasePolicy

Returns a policy imitating the demonstration data.

#### Return type

BasePolicy

### abstract property reward\_test: RewardNet

Reward used to train policy at "test" time after adversarial training.

### Return type

RewardNet

#### abstract property reward\_train: RewardNet

Reward used to train generator policy.

#### Return type

RewardNet

#### set\_demonstrations(demonstrations)

Sets the demonstration data.

Changing the demonstration data on-demand can be useful for interactive algorithms like DAgger.

#### **Parameters**

**demonstrations** (Union[Iterable[Trajectory], Iterable[Mapping[str, Union[ndarray, Tensor]]], TransitionsMinimal]) – Either a Torch DataLoader, any other iterator that yields dictionaries containing "obs" and "acts" Tensors or NumPy arrays, TransitionKind instance, or a Sequence of Trajectory objects.

#### Return type

None

#### train(total\_timesteps, callback=None)

Alternates between training the generator and discriminator.

Every "round" consists of a call to *train\_gen(self.gen\_train\_timesteps)*, a call to *train\_disc*, and finally a call to *callback(round)*.

Training ends once an additional "round" would cause the number of transitions sampled from the environment to exceed *total timesteps*.

#### **Parameters**

- total\_timesteps (int) An upper bound on the number of transitions to sample from the environment during training.
- **callback** (Optional[Callable[[int], None]]) A function called at the end of every round which takes in a single argument, the round number. Round numbers are in range(total\_timesteps // self.gen\_train\_timesteps).

### Return type

None

train\_disc(\*, expert\_samples=None, gen\_samples=None)

Perform a single discriminator update, optionally using provided samples.

#### **Parameters**

- **expert\_samples** (Optional[Mapping]) Transition samples from the expert in dictionary form. If provided, must contain keys corresponding to every field of the *Transitions* dataclass except "infos". All corresponding values can be either NumPy arrays or Tensors. Extra keys are ignored. Must contain *self.demo\_batch\_size* samples. If this argument is not provided, then *self.demo\_batch\_size* expert samples from *self.demo\_data\_loader* are used by default.
- **gen\_samples** (Optional[Mapping]) Transition samples from the generator policy in same dictionary form as *expert\_samples*. If provided, must contain exactly *self.demo\_batch\_size* samples. If not provided, then take *len(expert\_samples)* samples from the generator replay buffer.

#### **Return type**

Mapping[str, float]

#### **Returns**

Statistics for discriminator (e.g. loss, accuracy).

train\_gen(total\_timesteps=None, learn\_kwargs=None)

Trains the generator to maximize the discriminator loss.

After the end of training populates the generator replay buffer (used in discriminator training) with self.disc\_batch\_size transitions.

#### **Parameters**

- **total\_timesteps** (Optional[int]) The number of transitions to sample from *self.venv\_train* during training. By default, *self.gen\_train\_timesteps*.
- **learn\_kwargs** (Optional[Mapping]) kwargs for the Stable Baselines *RLModel.learn()* method.

### Return type

None

#### venv: VecEnv

The original vectorized environment.

# venv\_train: VecEnv

Like self.venv, but wrapped with train reward unless in debug mode.

If debug use ground truth=True was passed into the initializer then self.venv train is the same as self.venv.

venv\_wrapped: VecEnvWrapper

# 2.11 Adversarial Inverse Reinforcement Learning (AIRL)

AIRL, similar to *GAIL*, adversarially trains a policy against a discriminator that aims to distinguish the expert demonstrations from the learned policy. Unlike GAIL, AIRL recovers a reward function that is more generalizable to changes in environment dynamics.

The expert policy must be stochastic.

Note: AIRL paper: Learning Robust Rewards with Adversarial Inverse Reinforcement Learning

# **2.11.1 Example**

Detailed example notebook: Train an Agent using Adversarial Inverse Reinforcement Learning

```
import numpy as np
import gym
from stable_baselines3 import PPO
from stable_baselines3.common.evaluation import evaluate_policy
from stable_baselines3.common.vec_env import DummyVecEnv
from stable_baselines3.ppo import MlpPolicy
from imitation.algorithms.adversarial.airl import AIRL
from imitation.data import rollout
from imitation.data.wrappers import RolloutInfoWrapper
from imitation.rewards.reward_nets import BasicShapedRewardNet
from imitation.util.networks import RunningNorm
from imitation.util.util import make_vec_env
rng = np.random.default_rng(0)
env = gym.make("seals/CartPole-v0")
expert = PPO(policy=MlpPolicy, env=env)
expert.learn(1000)
rollouts = rollout.rollout(
    expert,
   make_vec_env(
        "seals/CartPole-v0",
        rng=rng,
       n_{envs=5}
        post_wrappers=[lambda env, _: RolloutInfoWrapper(env)],
   rollout.make_sample_until(min_timesteps=None, min_episodes=60),
   rng=rng,
)
venv = make_vec_env("seals/CartPole-v0", rng=rng, n_envs=8)
learner = PPO(env=venv, policy=MlpPolicy)
```

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```
reward_net = BasicShapedRewardNet(
    venv.observation_space,
    venv.action_space,
    normalize_input_layer=RunningNorm,
)
airl_trainer = AIRL(
    demonstrations=rollouts,
    demo_batch_size=1024,
    gen_replay_buffer_capacity=2048,
    n_disc_updates_per_round=4,
    venv=venv,
    gen_algo=learner,
    reward_net=reward_net,
)
airl_trainer.train(20000)
rewards, _ = evaluate_policy(learner, venv, 100, return_episode_rewards=True)
print("Rewards:", rewards)
```

#### 2.11.2 API

Bases: AdversarialTrainer

Adversarial Inverse Reinforcement Learning (AIRL).

\_\_init\_\_(\*, demonstrations, demo\_batch\_size, venv, gen\_algo, reward\_net, \*\*kwargs)

Builds an AIRL trainer.

#### **Parameters**

- **demonstrations** (Union[Iterable[*Trajectory*], Iterable[Mapping[str, Union[ndarray, Tensor]]], *TransitionsMinimal*]) Demonstrations from an expert (optional). Transitions expressed directly as a *types.TransitionsMinimal* object, a sequence of trajectories, or an iterable of transition batches (mappings from keywords to arrays containing observations, etc).
- **demo\_batch\_size** (int) The number of samples in each batch of expert data. The discriminator batch size is twice this number because each discriminator batch contains a generator sample for every expert sample.
- **venv** (VecEnv) The vectorized environment to train in.
- **gen\_algo** (BaseAlgorithm) The generator RL algorithm that is trained to maximize discriminator confusion. Environment and logger will be set to *venv* and *custom\_logger*.
- reward\_net (RewardNet) Reward network; used as part of AIRL discriminator.
- **\*\*kwargs** Passed through to *AdversarialTrainer*.\_\_init\_\_.

#### Raises

**TypeError** – If *gen\_algo.policy* does not have an *evaluate\_actions* attribute (present in *ActorCriticPolicy*), needed to compute log-probability of actions.

### allow\_variable\_horizon: bool

If True, allow variable horizon trajectories; otherwise error if detected.

property logger: HierarchicalLogger

#### Return type

HierarchicalLogger

logits\_expert\_is\_high(state, action, next\_state, done, log\_policy\_act\_prob=None)

Compute the discriminator's logits for each state-action sample.

In Fu's AIRL paper (https://arxiv.org/pdf/1710.11248.pdf), the discriminator output was given as

$$D_{\theta}(s, a) = \frac{\exp r_{\theta}(s, a)}{\exp r_{\theta}(s, a) + \pi(a|s)}$$

with a high value corresponding to the expert and a low value corresponding to the generator.

In other words, the discriminator output is the probability that the action is taken by the expert rather than the generator.

The logit of the above is given as

$$logit(D_{\theta}(s, a)) = r_{\theta}(s, a) - log \pi(a|s)$$

which is what is returned by this function.

#### **Parameters**

- **state** (Tensor) The state of the environment at the time of the action.
- **action** (Tensor) The action taken by the expert or generator.
- **next\_state** (Tensor) The state of the environment after the action.
- **done** (Tensor) whether a *terminal state* (as defined under the MDP of the task) has been reached.
- $\log_{policy\_act\_prob}$  (Optional[Tensor]) The log probability of the action taken by the generator,  $\log \pi(a|s)$ .

#### Return type

Tensor

# Returns

The logits of the discriminator for each state-action sample.

#### Raises

**TypeError** – If *log\_policy\_act\_prob* is None.

#### property policy: BasePolicy

Returns a policy imitating the demonstration data.

### Return type

BasePolicy

### property reward\_test: RewardNet

Returns the unshaped version of reward network used for testing.

#### **Return type**

RewardNet

# property reward\_train: RewardNet

Reward used to train generator policy.

#### Return type

RewardNet

#### set\_demonstrations(demonstrations)

Sets the demonstration data.

Changing the demonstration data on-demand can be useful for interactive algorithms like DAgger.

#### **Parameters**

**demonstrations** (Union[Iterable[Trajectory], Iterable[Mapping[str, Union[ndarray, Tensor]]], TransitionsMinimal]) — Either a Torch DataLoader, any other iterator that yields dictionaries containing "obs" and "acts" Tensors or NumPy arrays, TransitionKind instance, or a Sequence of Trajectory objects.

#### Return type

None

train(total\_timesteps, callback=None)

Alternates between training the generator and discriminator.

Every "round" consists of a call to *train\_gen(self.gen\_train\_timesteps)*, a call to *train\_disc*, and finally a call to *callback(round)*.

Training ends once an additional "round" would cause the number of transitions sampled from the environment to exceed *total\_timesteps*.

#### **Parameters**

- **total\_timesteps** (int) An upper bound on the number of transitions to sample from the environment during training.
- **callback** (Optional[Callable[[int], None]]) A function called at the end of every round which takes in a single argument, the round number. Round numbers are in range(total\_timesteps // self.gen\_train\_timesteps).

# Return type

None

train\_disc(\*, expert\_samples=None, gen\_samples=None)

Perform a single discriminator update, optionally using provided samples.

## **Parameters**

- **expert\_samples** (Optional[Mapping]) Transition samples from the expert in dictionary form. If provided, must contain keys corresponding to every field of the *Transitions* dataclass except "infos". All corresponding values can be either NumPy arrays or Tensors. Extra keys are ignored. Must contain *self.demo\_batch\_size* samples. If this argument is not provided, then *self.demo\_batch\_size* expert samples from *self.demo\_data\_loader* are used by default.
- **gen\_samples** (Optional[Mapping]) Transition samples from the generator policy in same dictionary form as *expert\_samples*. If provided, must contain exactly *self.demo\_batch\_size* samples. If not provided, then take *len(expert\_samples)* samples from the generator replay buffer.

## Return type

Mapping[str, float]

#### Returns

Statistics for discriminator (e.g. loss, accuracy).

train\_gen(total\_timesteps=None, learn\_kwargs=None)

Trains the generator to maximize the discriminator loss.

After the end of training populates the generator replay buffer (used in discriminator training) with self.disc\_batch\_size transitions.

#### **Parameters**

- **total\_timesteps** (Optional[int]) The number of transitions to sample from *self.venv\_train* during training. By default, *self.gen\_train\_timesteps*.
- learn\_kwargs (Optional[Mapping]) kwargs for the Stable Baselines RLModel.learn() method.

# Return type

None

#### venv: VecEnv

The original vectorized environment.

#### venv\_train: VecEnv

Like self.venv, but wrapped with train reward unless in debug mode.

If debug\_use\_ground\_truth=True was passed into the initializer then self.venv\_train is the same as self.venv.

# venv\_wrapped: VecEnvWrapper

class imitation.algorithms.adversarial.common.AdversarialTrainer(\*, demonstrations,

demo batch size, venv, gen\_algo, reward\_net, demo\_minibatch\_size=None,  $n\_disc\_updates\_per\_round=2$ , log dir='output/', disc\_opt\_cls=<class 'torch.optim.adam.Adam'>, disc\_opt\_kwargs=None, gen\_train\_timesteps=None, gen\_replay\_buffer\_capacity=None, custom\_logger=None, init tensorboard=False, init\_tensorboard\_graph=False, bug\_use\_ground\_truth=False, allow\_variable\_horizon=False)

Bases: DemonstrationAlgorithm[Transitions]

Base class for adversarial imitation learning algorithms like GAIL and AIRL.

\_\_init\_\_(\*, demonstrations, demo\_batch\_size, venv, gen\_algo, reward\_net, demo\_minibatch\_size=None, n\_disc\_updates\_per\_round=2, log\_dir='output', disc\_opt\_cls=<class 'torch.optim.adam.Adam'>, disc\_opt\_kwargs=None, gen\_train\_timesteps=None, gen\_replay\_buffer\_capacity=None, custom\_logger=None, init\_tensorboard=False, init\_tensorboard\_graph=False, debug\_use\_ground\_truth=False, allow\_variable\_horizon=False)

Builds AdversarialTrainer.

#### **Parameters**

• demonstrations (Union[Iterable[Trajectory], Iterable[Mapping[str, Union[ndarray, Tensor]]], TransitionsMinimal]) - Demonstrations from an expert (optional). Transitions expressed directly as a types.TransitionsMinimal object, a

- sequence of trajectories, or an iterable of transition batches (mappings from keywords to arrays containing observations, etc).
- **demo\_batch\_size** (int) The number of samples in each batch of expert data. The discriminator batch size is twice this number because each discriminator batch contains a generator sample for every expert sample.
- **venv** (VecEnv) The vectorized environment to train in.
- **gen\_algo** (BaseAlgorithm) The generator RL algorithm that is trained to maximize discriminator confusion. Environment and logger will be set to *venv* and *custom\_logger*.
- **reward\_net** (*RewardNet*) a Torch module that takes an observation, action and next observation tensors as input and computes a reward signal.
- demo\_minibatch\_size (Optional[int]) size of minibatch to calculate gradients over.
   The gradients are accumulated until the entire batch is processed before making an optimization step. This is useful in GPU training to reduce memory usage, since fewer examples are loaded into memory at once, facilitating training with larger batch sizes, but is generally slower. Must be a factor of demo\_batch\_size. Optional, defaults to demo\_batch\_size.
- n\_disc\_updates\_per\_round (int) The number of discriminator updates after each round of generator updates in AdversarialTrainer.learn().
- log\_dir (Union[str, bytes, PathLike]) Directory to store TensorBoard logs, plots, etc. in.
- **disc\_opt\_cls** (Type[Optimizer]) The optimizer for discriminator training.
- disc\_opt\_kwargs (Optional[Mapping]) Parameters for discriminator training.
- **gen\_train\_timesteps** (Optional[int]) The number of steps to train the generator policy for each iteration. If None, then defaults to the batch size (for on-policy) or number of environments (for off-policy).
- **gen\_replay\_buffer\_capacity** (Optional[int]) The capacity of the generator replay buffer (the number of obs-action-obs samples from the generator that can be stored). By default this is equal to *gen\_train\_timesteps*, meaning that we sample only from the most recent batch of generator samples.
- **custom\_logger** (Optional[*HierarchicalLogger*]) Where to log to; if None (default), creates a new logger.
- init\_tensorboard (bool) If True, makes various discriminator TensorBoard summaries.
- init\_tensorboard\_graph (bool) If both this and *init\_tensorboard* are True, then write a Tensorboard graph summary to disk.
- **debug\_use\_ground\_truth** (bool) If True, use the ground truth reward for *self.train\_env*. This disables the reward wrapping that would normally replace the environment reward with the learned reward. This is useful for sanity checking that the policy training is functional.
- allow\_variable\_horizon (bool) If False (default), algorithm will raise an exception if it detects trajectories of different length during training. If True, overrides this safety check. WARNING: variable horizon episodes leak information about the reward via termination condition, and can seriously confound evaluation. Read https://imitation.readthedocs.io/en/latest/guide/variable\_horizon.html before overriding this.

#### Raises

**ValueError** – if the batch size is not a multiple of the minibatch size.

#### allow\_variable\_horizon: bool

If True, allow variable horizon trajectories; otherwise error if detected.

# **abstract logits\_expert\_is\_high**(*state*, *action*, *next\_state*, *done*, *log\_policy\_act\_prob=None*)

Compute the discriminator's logits for each state-action sample.

A high value corresponds to predicting expert, and a low value corresponds to predicting generator.

#### **Parameters**

- **state** (Tensor) state at time t, of shape (*batch\_size*,) + *state\_shape*.
- **action** (Tensor) action taken at time t, of shape (*batch\_size*,) + *action\_shape*.
- **next\_state** (Tensor) state at time t+1, of shape (*batch\_size*,) + *state\_shape*.
- **done** (Tensor) binary episode completion flag after action at time t, of shape (batch\_size,).
- **log\_policy\_act\_prob** (Optional[Tensor]) log probability of generator policy taking *action* at time t.

# **Return type**

Tensor

#### Returns

Discriminator logits of shape (batch\_size,). A high output indicates an expert-like transition.

# property policy: BasePolicy

Returns a policy imitating the demonstration data.

# Return type

BasePolicy

# abstract property reward\_test: RewardNet

Reward used to train policy at "test" time after adversarial training.

#### Return type

RewardNet

# abstract property reward\_train: RewardNet

Reward used to train generator policy.

# Return type

RewardNet

#### set\_demonstrations(demonstrations)

Sets the demonstration data.

Changing the demonstration data on-demand can be useful for interactive algorithms like DAgger.

#### **Parameters**

**demonstrations** (Union[Iterable[Trajectory], Iterable[Mapping[str, Union[ndarray, Tensor]]], TransitionsMinimal]) — Either a Torch DataLoader, any other iterator that yields dictionaries containing "obs" and "acts" Tensors or NumPy arrays, TransitionKind instance, or a Sequence of Trajectory objects.

# Return type

None

#### **train**(total timesteps, callback=None)

Alternates between training the generator and discriminator.

Every "round" consists of a call to *train\_gen(self.gen\_train\_timesteps)*, a call to *train\_disc*, and finally a call to *callback(round)*.

Training ends once an additional "round" would cause the number of transitions sampled from the environment to exceed *total timesteps*.

#### **Parameters**

- total\_timesteps (int) An upper bound on the number of transitions to sample from the environment during training.
- **callback** (Optional[Callable[[int], None]]) A function called at the end of every round which takes in a single argument, the round number. Round numbers are in range(total\_timesteps // self.gen\_train\_timesteps).

# Return type

None

train\_disc(\*, expert\_samples=None, gen\_samples=None)

Perform a single discriminator update, optionally using provided samples.

#### **Parameters**

- **expert\_samples** (Optional[Mapping]) Transition samples from the expert in dictionary form. If provided, must contain keys corresponding to every field of the *Transitions* dataclass except "infos". All corresponding values can be either NumPy arrays or Tensors. Extra keys are ignored. Must contain *self.demo\_batch\_size* samples. If this argument is not provided, then *self.demo\_batch\_size* expert samples from *self.demo\_data\_loader* are used by default.
- **gen\_samples** (Optional[Mapping]) Transition samples from the generator policy in same dictionary form as *expert\_samples*. If provided, must contain exactly *self.demo\_batch\_size* samples. If not provided, then take *len(expert\_samples)* samples from the generator replay buffer.

# Return type

Mapping[str, float]

#### Returns

Statistics for discriminator (e.g. loss, accuracy).

train\_gen(total\_timesteps=None, learn\_kwargs=None)

Trains the generator to maximize the discriminator loss.

After the end of training populates the generator replay buffer (used in discriminator training) with self.disc\_batch\_size transitions.

## **Parameters**

- total\_timesteps (Optional[int]) The number of transitions to sample from self.venv\_train during training. By default, self.gen\_train\_timesteps.
- learn\_kwargs (Optional[Mapping]) kwargs for the Stable Baselines RLModel.learn() method.

# Return type

None

```
venv: VecEnv
```

The original vectorized environment.

#### venv\_train: VecEnv

Like self.venv, but wrapped with train reward unless in debug mode.

If debug use ground truth=True was passed into the initializer then self.venv train is the same as self.venv.

venv\_wrapped: VecEnvWrapper

# 2.12 DAgger

DAgger (Dataset Aggregation) iteratively trains a policy using supervised learning on a dataset of observation-action pairs from expert demonstrations (like *behavioral cloning*), runs the policy to gather observations, queries the expert for good actions on those observations, and adds the newly labeled observations to the dataset. DAgger improves on behavioral cloning by training on a dataset that better resembles the observations the trained policy is likely to encounter, but it requires querying the expert online.

Note: DAgger paper: A Reduction of Imitation Learning and Structured Prediction to No-Regret Online Learning

# **2.12.1 Example**

Detailed example notebook: Train an Agent using the DAgger Algorithm

```
import tempfile
import numpy as np
import gym
from stable_baselines3 import PPO
from stable_baselines3.common.evaluation import evaluate_policy
from stable_baselines3.common.vec_env import DummyVecEnv
from stable_baselines3.ppo import MlpPolicy
from imitation.algorithms import bc
from imitation.algorithms.dagger import SimpleDAggerTrainer
rng = np.random.default_rng(0)
env = gym.make("CartPole-v1")
expert = PPO(policy=MlpPolicy, env=env)
expert.learn(1000)
venv = DummyVecEnv([lambda: gym.make("CartPole-v1")])
bc_trainer = bc.BC(
   observation_space=env.observation_space,
   action_space=env.action_space,
   rng=rng,
with tempfile.TemporaryDirectory(prefix="dagger_example_") as tmpdir:
   print(tmpdir)
    dagger_trainer = SimpleDAggerTrainer(
        venv=venv,
```

```
scratch_dir=tmpdir,
    expert_policy=expert,
    bc_trainer=bc_trainer,
    rng=rng,
)
dagger_trainer.train(2000)

reward, _ = evaluate_policy(dagger_trainer.policy, env, 10)
print("Reward:", reward)
```

# 2.12.2 API

Bases: VecEnvWrapper

DAgger VecEnvWrapper for querying and saving expert actions.

Every call to .step(actions) accepts and saves expert actions to self.save\_dir, but only forwards expert actions to the wrapped VecEnv with probability self.beta. With probability 1 - self.beta, a "robot" action (i.e an action from the imitation policy) is forwarded instead.

Demonstrations are saved as TrajectoryWithRew to self.save\_dir at the end of every episode.

```
__init__(venv, get_robot_acts, beta, save_dir, rng)
```

Builds InteractiveTrajectoryCollector.

#### **Parameters**

- venv (VecEnv) vectorized environment to sample trajectories from.
- **get\_robot\_acts** (Callable[[ndarray], ndarray]) get robot actions that can be substituted for human actions. Takes a vector of observations as input & returns a vector of actions.
- **beta** (float) fraction of the time to use action given to .step() instead of robot action. The choice of robot or human action is independently randomized for each individual *Env* at every timestep.
- save\_dir (Union[str, bytes, PathLike]) directory to save collected trajectories in.
- **rng** (Generator) random state for random number generation.

#### close()

Clean up the environment's resources.

# Return type

None

env\_is\_wrapped(wrapper\_class, indices=None)

Check if environments are wrapped with a given wrapper.

#### **Parameters**

- **method\_name** The name of the environment method to invoke.
- indices (Union[None, int, Iterable[int]]) Indices of envs whose method to call
- method\_args Any positional arguments to provide in the call

2.12. DAgger 39

• **method\_kwargs** – Any keyword arguments to provide in the call

# **Return type**

List[bool]

#### **Returns**

True if the env is wrapped, False otherwise, for each env queried.

env\_method(method\_name, \*method\_args, indices=None, \*\*method\_kwargs)

Call instance methods of vectorized environments.

#### **Parameters**

- **method\_name** (str) The name of the environment method to invoke.
- indices (Union[None, int, Iterable[int]]) Indices of envs whose method to call
- method\_args Any positional arguments to provide in the call
- **method\_kwargs** Any keyword arguments to provide in the call

# Return type

List[Any]

# Returns

List of items returned by the environment's method call

```
get_attr(attr_name, indices=None)
```

Return attribute from vectorized environment.

#### **Parameters**

- attr\_name (str) The name of the attribute whose value to return
- indices (Union[None, int, Iterable[int]]) Indices of envs to get attribute from

# Return type

List[Any]

#### **Returns**

List of values of 'attr\_name' in all environments

#### get\_images()

Return RGB images from each environment

# Return type

Sequence[ndarray]

# getattr\_depth\_check(name, already\_found)

See base class.

# Return type

str

#### Returns

name of module whose attribute is being shadowed, if any.

#### getattr\_recursive(name)

Recursively check wrappers to find attribute.

# **Parameters**

**name** (str) – name of attribute to look for

# Return type

Any

```
Returns
             attribute
metadata = {'render.modes': ['human', 'rgb_array']}
render(mode='human')
     Gym environment rendering
         Parameters
             mode (str) – the rendering type
         Return type
             Optional[ndarray]
reset()
     Resets the environment.
         Returns
             first observation of a new trajectory.
         Return type
             obs
seed(seed=None)
     Set the seed for the DAgger random number generator and wrapped VecEnv.
     The DAgger RNG is used along with self.beta to determine whether the expert or robot action is forwarded
     to the wrapped VecEnv.
         Parameters
             seed (Optional[int]) – The random seed. May be None for completely random seeding.
         Return type
             List[Optional[int]]
         Returns
             A list containing the seeds for each individual env. Note that all list elements may be None,
             if the env does not return anything when seeded.
set_attr(attr_name, value, indices=None)
     Set attribute inside vectorized environments.
         Parameters
             • attr_name (str) – The name of attribute to assign new value
             • value (Any) – Value to assign to attr_name
             • indices (Union[None, int, Iterable[int]]) – Indices of envs to assign value
         Return type
             None
         Returns
step(actions)
     Step the environments with the given action
         Parameters
             actions (ndarray) - the action
         Return type
             Tuple[Union[ndarray, Dict[str, ndarray], Tuple[ndarray, . . . ]], ndarray, ndarray,
             List[Dict]]
```

2.12. DAgger 41

#### Returns

observation, reward, done, information

# step\_async(actions)

Steps with a 1 - beta chance of using self.get\_robot\_acts instead.

DAgger needs to be able to inject imitation policy actions randomly at some subset of time steps. This method has a *self.beta* chance of keeping the *actions* passed in as an argument, and a *1 - self.beta* chance of forwarding actions generated by *self.get\_robot\_acts* instead. "robot" (i.e. imitation policy) action if necessary.

At the end of every episode, a *TrajectoryWithRew* is saved to *self.save\_dir*, where every saved action is the expert action, regardless of whether the robot action was used during that timestep.

#### **Parameters**

**actions** (ndarray) – the \_intended\_ demonstrator/expert actions for the current state. This will be executed with probability *self.beta*. Otherwise, a "robot" (typically a BC policy) action will be sampled and executed instead via *self.get\_robot\_act*.

# **Return type**

None

#### step\_wait()

Returns observation, reward, etc after previous step\_async() call.

Stores the transition, and saves trajectory as demo once complete.

#### Return type

```
Tuple[Union[ndarray, Dict[str, ndarray], Tuple[ndarray, ...]], ndarray, ndarray,
List[Dict]]
```

#### Returns

Observation, reward, dones (is terminal?) and info dict.

traj\_accum: Optional[TrajectoryAccumulator]

property unwrapped: VecEnv

#### Return type

VecEnv

Bases: BaseImitationAlgorithm

DAgger training class with low-level API suitable for interactive human feedback.

In essence, this is just BC with some helpers for incrementally resuming training and interpolating between demonstrator/learnt policies. Interaction proceeds in "rounds" in which the demonstrator first provides a fresh set of demonstrations, and then an underlying BC is invoked to fine-tune the policy on the entire set of demonstrations collected in all rounds so far. Demonstrations and policy/trainer checkpoints are stored in a directory with the following structure:

```
scratch-dir-name/
checkpoint-001.pt
checkpoint-002.pt
...
checkpoint-XYZ.pt
checkpoint-latest.pt
demos/
```

#### DEFAULT\_N\_EPOCHS: int = 4

The default number of BC training epochs in extend\_and\_update.

\_\_init\_\_(\*, venv, scratch\_dir, rng, beta\_schedule=None, bc\_trainer, custom\_logger=None)
Builds DAggerTrainer.

#### **Parameters**

- **venv** (VecEnv) Vectorized training environment.
- **scratch\_dir** (Union[str, bytes, PathLike]) Directory to use to store intermediate training information (e.g. for resuming training).
- rng (Generator) random state for random number generation.
- **beta\_schedule** (Optional[Callable[[int], float]]) Provides a value of *beta* (the probability of taking expert action in any given state) at each round of training. If *None*, then *linear\_beta\_schedule* will be used instead.
- **bc\_trainer** (*BC*) A *BC* instance used to train the underlying policy.
- **custom\_logger** (Optional[*HierarchicalLogger*]) Where to log to; if None (default), creates a new logger.

#### allow\_variable\_horizon: bool

If True, allow variable horizon trajectories; otherwise error if detected.

```
property batch_size: int

Return type

int
```

# create\_trajectory\_collector()

Create trajectory collector to extend current round's demonstration set.

# Return type

```
InteractiveTrajectoryCollector
```

#### Returns

A collector configured with the appropriate beta, imitator policy, etc. for the current round. Refer to the documentation for *InteractiveTrajectoryCollector* to see how to use this.

#### extend\_and\_update(bc\_train\_kwargs=None)

Extend internal batch of data and train BC.

Specifically, this method will load new transitions (if necessary), train the model for a while, and advance the round counter. If there are no fresh demonstrations in the demonstration directory for the current round, then this will raise a *NeedsDemosException* instead of training or advancing the round counter. In that case,

2.12. DAgger 43

the user should call .create\_trajectory\_collector() and use the returned InteractiveTrajectoryCollector to produce a new set of demonstrations for the current interaction round.

#### **Parameters**

**bc\_train\_kwargs** (Optional[Mapping[str, Any]]) – Keyword arguments for calling BC.train(). If the  $log\_rollouts\_venv$  key is not provided, then it is set to self.venv by default. If neither of the  $n\_epochs$  and  $n\_batches$  keys are provided, then  $n\_epochs$  is set to  $self.DEFAULT\_N\_EPOCHS$ .

# Return type

int

#### Returns

New round number after advancing the round counter.

## property logger: HierarchicalLogger

Returns logger for this object.

# Return type

HierarchicalLogger

property policy: BasePolicy

# Return type

BasePolicy

## save\_policy(policy\_path)

Save the current policy only (and not the rest of the trainer).

#### **Parameters**

policy\_path (Union[str, bytes, PathLike]) - path to save policy to.

# Return type

None

# save\_trainer()

Create a snapshot of trainer in the scratch/working directory.

The created snapshot can be reloaded with *reconstruct\_trainer()*. In addition to saving one copy of the policy in the trainer snapshot, this method saves a second copy of the policy in its own file. Having a second copy of the policy is convenient because it can be loaded on its own and passed to evaluation routines for other algorithms.

#### Returns

a path to one of the created *DAggerTrainer* checkpoints. policy\_path: a path to one of the created *DAggerTrainer* policies.

#### **Return type**

checkpoint\_path

Bases: DAggerTrainer

Simpler subclass of DAggerTrainer for training with synthetic feedback.

# DEFAULT\_N\_EPOCHS: int = 4

The default number of BC training epochs in extend\_and\_update.

\_\_init\_\_(\*, venv, scratch\_dir, expert\_policy, rng, expert\_trajs=None, \*\*dagger\_trainer\_kwargs)
Builds SimpleDAggerTrainer.

#### **Parameters**

- **venv** (VecEnv) Vectorized training environment. Note that when the robot action is randomly injected (in accordance with *beta\_schedule* argument), every individual environment will get a robot action simultaneously for that timestep.
- **scratch\_dir** (Union[str, bytes, PathLike]) Directory to use to store intermediate training information (e.g. for resuming training).
- **expert\_policy** (BasePolicy) The expert policy used to generate synthetic demonstrations.
- **rng** (Generator) Random state to use for the random number generator.
- **expert\_trajs** (Optional[Sequence[*Trajectory*]]) Optional starting dataset that is inserted into the round 0 dataset.
- dagger\_trainer\_kwargs Other keyword arguments passed to the superclass initializer
   *DAggerTrainer.\_\_init\_\_*.

#### Raises

**ValueError** – The observation or action space does not match between *venv* and *expert\_policy*.

# allow\_variable\_horizon: bool

If True, allow variable horizon trajectories; otherwise error if detected.

# property batch\_size: int

# Return type

int

# create\_trajectory\_collector()

Create trajectory collector to extend current round's demonstration set.

#### Return type

InteractiveTrajectoryCollector

## Returns

A collector configured with the appropriate beta, imitator policy, etc. for the current round. Refer to the documentation for *InteractiveTrajectoryCollector* to see how to use this.

# extend\_and\_update(bc\_train\_kwargs=None)

Extend internal batch of data and train BC.

Specifically, this method will load new transitions (if necessary), train the model for a while, and advance the round counter. If there are no fresh demonstrations in the demonstration directory for the current round, then this will raise a <code>NeedsDemosException</code> instead of training or advancing the round counter. In that case, the user should call <code>.create\_trajectory\_collector()</code> and use the returned <code>InteractiveTrajectoryCollector</code> to produce a new set of demonstrations for the current interaction round.

#### **Parameters**

**bc\_train\_kwargs** (Optional[Mapping[str, Any]]) – Keyword arguments for calling BC.train(). If the  $log\_rollouts\_venv$  key is not provided, then it is set to self.venv by default. If neither of the  $n\_epochs$  and  $n\_batches$  keys are provided, then  $n\_epochs$  is set to  $self.DEFAULT\_N\_EPOCHS$ .

#### Return type

int

2.12. DAgger 45

#### Returns

New round number after advancing the round counter.

property logger: HierarchicalLogger

Returns logger for this object.

#### Return type

HierarchicalLogger

property policy: BasePolicy

#### Return type

BasePolicy

save\_policy(policy\_path)

Save the current policy only (and not the rest of the trainer).

#### **Parameters**

policy\_path (Union[str, bytes, PathLike]) - path to save policy to.

#### Return type

None

# save\_trainer()

Create a snapshot of trainer in the scratch/working directory.

The created snapshot can be reloaded with *reconstruct\_trainer()*. In addition to saving one copy of the policy in the trainer snapshot, this method saves a second copy of the policy in its own file. Having a second copy of the policy is convenient because it can be loaded on its own and passed to evaluation routines for other algorithms.

# Returns

a path to one of the created *DAggerTrainer* checkpoints. policy\_path: a path to one of the created *DAggerTrainer* policies.

# Return type

checkpoint\_path

train(total\_timesteps, \*, rollout\_round\_min\_episodes=3, rollout\_round\_min\_timesteps=500,
 bc\_train\_kwargs=None)

Train the DAgger agent.

The agent is trained in "rounds" where each round consists of a dataset aggregation step followed by BC update step.

During a dataset aggregation step, *self.expert\_policy* is used to perform rollouts in the environment but there is a *1* - *beta* chance (beta is determined from the round number and *self.beta\_schedule*) that the DAgger agent's action is used instead. Regardless of whether the DAgger agent's action is used during the rollout, the expert action and corresponding observation are always appended to the dataset. The number of environment steps in the dataset aggregation stage is determined by the *rollout\_round\_min\** arguments.

During a BC update step, BC.train() is called to update the DAgger agent on all data collected so far.

# **Parameters**

- total\_timesteps (int) The number of timesteps to train inside the environment. In practice this is a lower bound, because the number of timesteps is rounded up to finish the minimum number of episdoes or timesteps in the last DAgger training round, and the environment timesteps are executed in multiples of *self.venv.num\_envs*.
- **rollout\_round\_min\_episodes** (int) The number of episodes the must be completed completed before a dataset aggregation step ends.

- rollout\_round\_min\_timesteps (int) The number of environment timesteps that must be completed before a dataset aggregation step ends. Also, that any round will always train for at least *self.batch\_size* timesteps, because otherwise BC could fail to receive any batches.
- **bc\_train\_kwargs** (Optional[dict]) Keyword arguments for calling *BC.train()*. If the *log\_rollouts\_venv* key is not provided, then it is set to *self.venv* by default. If neither of the *n\_epochs* and *n\_batches* keys are provided, then *n\_epochs* is set to *self.DEFAULT N EPOCHS*.

# Return type None

# 2.13 Density-Based Reward Modeling

Density-based reward modeling is an inverse reinforcement learning (IRL) technique that assigns higher rewards to states or state-action pairs that occur more frequently in an expert's demonstrations. This variant utilizes kernel density estimation to model the underlying distribution of expert demonstrations. It assigns rewards to states or state-action pairs based on their estimated log-likelihood under the distribution of expert demonstrations.

The key intuition behind this method is to incentivize the agent to take actions that resemble the expert's actions in similar states.

While this approach is relatively simple, it does have several drawbacks:

- It assumes that the expert demonstrations are representative of the expert's behavior, which may not always be true.
- It does not provide an interpretable reward function.
- The kernel density estimation is not well-suited for high-dimensional state-action spaces.

# **2.13.1 Example**

Detailed example notebook: Learning a Reward Function using Kernel Density

```
import pprint
import numpy as np

from stable_baselines3 import PPO
from stable_baselines3.common.policies import ActorCriticPolicy

from imitation.algorithms import density as db
from imitation.data import serialize
from imitation.util import util

rng = np.random.default_rng(0)

env = util.make_vec_env("Pendulum-v1", rng=rng, n_envs=2)
rollouts = serialize.load("../tests/testdata/expert_models/pendulum_0/rollouts/final.npz
...")
imitation_trainer = PPO(ActorCriticPolicy, env)
density_trainer = db.DensityAlgorithm(
```

```
venv=env,
   demonstrations=rollouts,
   rl_algo=imitation_trainer,
   rng=rng,
density_trainer.train()
def print_stats(density_trainer, n_trajectories):
   stats = density_trainer.test_policy(n_trajectories=n_trajectories)
   print("True reward function stats:")
   pprint.pprint(stats)
    stats_im = density_trainer.test_policy(true_reward=False, n_trajectories=n_
→trajectories)
   print("Imitation reward function stats:")
   pprint.pprint(stats_im)
print("Stats before training:")
print_stats(density_trainer, 1)
density_trainer.train_policy(100)
print("Stats after training:")
print_stats(density_trainer, 1)
```

# 2.13.2 API

Bases: DemonstrationAlgorithm

Learns a reward function based on density modeling.

Specifically, it constructs a non-parametric estimate of p(s), p(s,a), p(s,s') and then computes a reward using the log of these probabilities.

```
__init__(*, demonstrations, venv, rng, density_type=DensityType.STATE_ACTION_DENSITY, kernel='gaussian', kernel_bandwidth=0.5, rl_algo=None, is_stationary=True, standardise_inputs=True, custom_logger=None, allow_variable_horizon=False)
```

Builds DensityAlgorithm.

# **Parameters**

- demonstrations (Union[Iterable[Trajectory], Iterable[Mapping[str, Union[ndarray, Tensor]]], TransitionsMinimal, None]) expert demonstration trajectories.
- **density\_type** (*DensityType*) type of density to train on: single state, state-action pairs, or state-state pairs.
- **kernel** (str) kernel to use for density estimation with *sklearn.KernelDensity*.

- **kernel\_bandwidth** (float) bandwidth of kernel. If *standardise\_inputs* is true and you are using a Gaussian kernel, then it probably makes sense to set this somewhere between 0.1 and 1.
- **venv** (VecEnv) The environment to learn a reward model in. We don't actually need any environment interaction to fit the reward model, but we use this to extract the observation and action space, and to train the RL algorithm *rl\_algo* (if specified).
- **rng** (Generator) random state for sampling from demonstrations.
- rl\_algo (Optional[BaseAlgorithm]) An RL algorithm to train on the resulting reward model (optional).
- **is\_stationary** (bool) if True, share same density models for all timesteps; if False, use a different density model for each timestep. A non-stationary model is particularly likely to be useful when using STATE\_DENSITY, to encourage agent to imitate entire trajectories, not just a few states that have high frequency in the demonstration dataset. If non-stationary, demonstrations must be trajectories, not transitions (which do not contain timesteps).
- **standardise\_inputs** (bool) if True, then the inputs to the reward model will be standardised to have zero mean and unit variance over the demonstration trajectories. Otherwise, inputs will be passed to the reward model with their ordinary scale.
- **custom\_logger** (Optional[*HierarchicalLogger*]) Where to log to; if None (default), creates a new logger.
- allow\_variable\_horizon (bool) If False (default), algorithm will raise an exception if it detects trajectories of different length during training. If True, overrides this safety check. WARNING: variable horizon episodes leak information about the reward via termination condition, and can seriously confound evaluation. Read https://imitation.readthedocs.io/en/latest/guide/variable\_horizon.html before overriding this.

allow\_variable\_horizon: bool

If True, allow variable horizon trajectories; otherwise error if detected.

buffering\_wrapper: BufferingWrapper

density\_type: DensityType

is\_stationary: bool

kernel: str

kernel\_bandwidth: float

property logger: HierarchicalLogger

**Return type** 

HierarchicalLogger

property policy: BasePolicy

Returns a policy imitating the demonstration data.

Return type
BasePolicy

rl\_algo: Optional[BaseAlgorithm]

# set\_demonstrations(demonstrations)

Sets the demonstration data.

# Return type

None

standardise: bool

```
test_policy(*, n_trajectories=10, true_reward=True)
```

Test current imitation policy on environment & give some rollout stats.

#### **Parameters**

- n\_trajectories (int) number of rolled-out trajectories.
- **true\_reward** (bool) should this use ground truth reward from underlying environment (True), or imitation reward (False)?

#### **Returns**

# rollout statistics collected by

imitation.utils.rollout.rollout\_stats().

# **Return type**

dict

#### train()

Fits the density model to demonstration data self.transitions.

#### Return type

None

train\_policy(n\_timesteps=1000000, \*\*kwargs)

Train the imitation policy for a given number of timesteps.

# **Parameters**

- **n\_timesteps** (int) number of timesteps to train the policy for.
- **kwargs** (*dict*) extra arguments that will be passed to the *learn()* method of the imitation RL model. Refer to Stable Baselines docs for details.

# Return type

None

transitions: Dict[Optional[int], ndarray]

venv: VecEnv

venv\_wrapped: RewardVecEnvWrapper

wrapper\_callback: WrappedRewardCallback

# 2.14 Maximum Causal Entropy Inverse Reinforcement Learning (MCE IRL)

Implements Modeling Interaction via the Principle of Maximum Causal Entropy.

# **2.14.1 Example**

Detailed example notebook: Learn a Reward Function using Maximum Conditional Entropy Inverse Reinforcement Learning

```
from functools import partial
from seals import base_envs
from seals.diagnostics.cliff_world import CliffWorldEnv
import numpy as np
from stable_baselines3.common.vec_env import DummyVecEnv
from imitation.algorithms.mce_irl import (
   MCEIRL,
   mce_occupancy_measures,
   mce_partition_fh,
from imitation.data import rollout
from imitation.rewards import reward_nets
rng = np.random.default_rng(0)
env_creator = partial(CliffWorldEnv, height=4, horizon=8, width=7, use_xy_obs=True)
env_single = env_creator()
state_env_creator = lambda: base_envs.ExposePOMDPStateWrapper(env_creator())
# This is just a vectorized environment because `generate_trajectories` expects one
state_venv = DummyVecEnv([state_env_creator] * 4)
_, _, pi = mce_partition_fh(env_single)
_, om = mce_occupancy_measures(env_single, pi=pi)
reward_net = reward_nets.BasicRewardNet(
   env_single.observation_space,
   env_single.action_space,
   hid_sizes=[256],
   use_action=False,
   use_done=False,
   use_next_state=False,
)
# training on analytically computed occupancy measures
mce_irl = MCEIRL(
```

```
om,
  env_single,
  reward_net,
  log_interval=250,
  optimizer_kwargs={"lr": 0.01},
  rng=rng,
)
occ_measure = mce_irl.train()

imitation_trajs = rollout.generate_trajectories(
  policy=mce_irl.policy,
  venv=state_venv,
  sample_until=rollout.make_min_timesteps(5000),
  rng=rng,
)
print("Imitation stats: ", rollout.rollout_stats(imitation_trajs))
```

### 2.14.2 API

Bases: DemonstrationAlgorithm[TransitionsMinimal]

Tabular MCE IRL.

Reward is a function of observations, but policy is a function of states.

The "observations" effectively exist just to let MCE IRL learn a reward in a reasonable feature space, giving a helpful inductive bias, e.g. that similar states have similar reward.

Since we are performing planning to compute the policy, there is no need for function approximation in the policy.

Creates MCE IRL.

# **Parameters**

- demonstrations (Union[ndarray, Tensor]], TransitionsMinimal, None])
   Demonstrations from an expert (optional). Can be a sequence of trajectories, or transitions, an iterable over mappings that represent a batch of transitions, or a state occupancy measure. The demonstrations must have observations one-hot coded unless demonstrations is a state-occupancy measure.
- env (Tabular Model POMDP) a tabular MDP.
- **rng** (Generator) random state used for sampling from policy.
- reward\_net (RewardNet) a neural network that computes rewards for the supplied observations.

- **optimizer\_cls** (Type[Optimizer]) optimizer to use for supervised training.
- optimizer\_kwargs (Optional[Mapping[str, Any]]) keyword arguments for optimizer construction.
- **discount** (float) the discount factor to use when computing occupancy measure. If not 1.0 (undiscounted), then *demonstrations* must either be a (discounted) state-occupancy measure, or trajectories. Transitions are *not allowed* as we cannot discount them appropriately without knowing the timestep they were drawn from.
- linf\_eps (float) optimisation terminates if the \$l\_{infty}\$ distance between the demonstrator's state occupancy measure and the state occupancy measure for the current reward falls below this value.
- **grad\_12\_eps** (float) optimisation also terminates if the \$ell\_2\$ norm of the MCE IRL gradient falls below this value.
- log\_interval (Optional[int]) how often to log current loss stats (using *logging*). None to disable.
- **custom\_logger** (Optional[*HierarchicalLogger*]) Where to log to; if None (default), creates a new logger.

#### Raises

**ValueError** – if the env horizon is not finite (or an integer).

allow\_variable\_horizon: bool

If True, allow variable horizon trajectories; otherwise error if detected.

demo\_state\_om: Optional[ndarray]

property logger: HierarchicalLogger

# Return type

HierarchicalLogger

# property policy: BasePolicy

Returns a policy imitating the demonstration data.

#### Return type

BasePolicy

# set\_demonstrations(demonstrations)

Sets the demonstration data.

Changing the demonstration data on-demand can be useful for interactive algorithms like DAgger.

#### **Parameters**

**demonstrations** (Union[ndarray, Iterable[Trajectory], Iterable[Mapping[str, Union[ndarray, Tensor]]], TransitionsMinimal]) — Either a Torch DataLoader, any other iterator that yields dictionaries containing "obs" and "acts" Tensors or NumPy arrays, TransitionKind instance, or a Sequence of Trajectory objects.

#### Return type

None

**train**(*max\_iter*=1000)

Runs MCE IRL.

#### **Parameters**

**max\_iter** (int) – The maximum number of iterations to train for. May terminate earlier if *self.linf\_eps* or *self.grad\_l2\_eps* thresholds are reached.

# Return type

ndarray

#### Returns

State occupancy measure for the final reward function. *self.reward\_net* and *self.optimizer* will be updated in-place during optimisation.

Bases: BaseImitationAlgorithm, Generic[TransitionKind]

An algorithm that learns from demonstration: BC, IRL, etc.

**\_\_init\_\_**(\*, demonstrations, custom\_logger=None, allow\_variable\_horizon=False)

Creates an algorithm that learns from demonstrations.

#### **Parameters**

- demonstrations (Union[Iterable[Trajectory], Iterable[Mapping[str, Union[ndarray, Tensor]]], TransitionsMinimal, None]) Demonstrations from an expert (optional). Transitions expressed directly as a types.TransitionsMinimal object, a sequence of trajectories, or an iterable of transition batches (mappings from keywords to arrays containing observations, etc).
- **custom\_logger** (Optional[*HierarchicalLogger*]) Where to log to; if None (default), creates a new logger.
- allow\_variable\_horizon (bool) If False (default), algorithm will raise an exception if it detects trajectories of different length during training. If True, overrides this safety check. WARNING: variable horizon episodes leak information about the reward via termination condition, and can seriously confound evaluation. Read https://imitation.readthedocs.io/en/latest/getting-started/variable-horizon.html before overriding this.

# allow\_variable\_horizon: bool

If True, allow variable horizon trajectories; otherwise error if detected.

# abstract property policy: BasePolicy

Returns a policy imitating the demonstration data.

#### Return type

BasePolicy

# abstract set\_demonstrations(demonstrations)

Sets the demonstration data.

Changing the demonstration data on-demand can be useful for interactive algorithms like DAgger.

#### **Parameters**

**demonstrations** (Union[Iterable[Trajectory], Iterable[Mapping[str, Union[ndarray, Tensor]]], TransitionsMinimal]) — Either a Torch DataLoader, any other iterator that yields dictionaries containing "obs" and "acts" Tensors or NumPy arrays, TransitionKind instance, or a Sequence of Trajectory objects.

# Return type

None

# 2.15 Preference Comparisons

The preference comparison algorithm learns a reward function from preferences between pairs of trajectories. The comparisons are modeled as being generated from a Bradley-Terry (or Boltzmann rational) model, where the probability of preferring trajectory A over B is proportional to the exponential of the difference between the return of trajectory A minus B. In other words, the difference in returns forms a logit for a binary classification problem, and accordingly the reward function is trained using a cross-entropy loss to predict the preference comparison.

#### Note:

- Our implementation is based on the Deep Reinforcement Learning from Human Preferences algorithm.
- An ensemble of reward networks can also be trained instead of a single network. The uncertainty in the preference between the member networks can be used to actively select preference queries.

# **2.15.1 Example**

Detailed example notebook: Learning a Reward Function using Preference Comparisons

```
import numpy as np
from stable_baselines3 import PPO
from stable_baselines3.common.evaluation import evaluate_policy
from stable_baselines3.ppo import MlpPolicy
from imitation.algorithms import preference_comparisons
from imitation.policies.base import FeedForward32Policy, NormalizeFeaturesExtractor
from imitation.rewards.reward_nets import BasicRewardNet
from imitation.rewards.reward_wrapper import RewardVecEnvWrapper
from imitation.util.networks import RunningNorm
from imitation.util.util import make_vec_env
rng = np.random.default_rng(0)
venv = make_vec_env("Pendulum-v1", rng=rng)
reward_net = BasicRewardNet(
   venv.observation_space, venv.action_space, normalize_input_layer=RunningNorm,
)
fragmenter = preference_comparisons.RandomFragmenter(warning_threshold=0, rng=rng)
gatherer = preference_comparisons.SyntheticGatherer(rng=rng)
preference_model = preference_comparisons.PreferenceModel(reward_net)
reward_trainer = preference_comparisons.BasicRewardTrainer(
   preference_model=preference_model,
    loss=preference_comparisons.CrossEntropyRewardLoss(),
    epochs=3,
   rng=rng,
agent = PPO(
```

```
policy=FeedForward32Policy,
    policy_kwargs=dict(
        features_extractor_class=NormalizeFeaturesExtractor,
        features_extractor_kwargs=dict(normalize_class=RunningNorm),
    ),
    env=venv,
    n_{steps=2048} // venv.num_envs,
)
trajectory_generator = preference_comparisons.AgentTrainer(
    algorithm=agent,
    reward_fn=reward_net,
    venv=venv,
    exploration_frac=0.0,
    rng=rng,
)
pref_comparisons = preference_comparisons.PreferenceComparisons(
    trajectory_generator,
    reward_net,
    num_iterations=5,
    fragmenter=fragmenter,
    preference_gatherer=gatherer,
    reward_trainer=reward_trainer,
    initial_epoch_multiplier=1,
pref_comparisons.train(total_timesteps=5_000, total_comparisons=200)
reward, _ = evaluate_policy(agent.policy, venv, 10)
print("Reward:", reward)
```

# 2.15.2 API

class imitation.algorithms.preference\_comparisons.PreferenceComparisons(trajectory\_generator,

```
reward_model,
num iterations,
fragmenter=None,
prefer-
ence gatherer=None,
reward trainer=None,
compari-
son_queue_size=None,
fragment_length=100,
transi-
tion_oversampling=1,
ini-
tial_comparison_frac=0.1,
ini-
tial_epoch_multiplier=200.0,
custom_logger=None,
al-
low_variable_horizon=False,
rng=None,
query_schedule='hyperbolic')
```

Bases: BaseImitationAlgorithm

Main interface for reward learning using preference comparisons.

Initialize the preference comparison trainer.

The loggers of all subcomponents are overridden with the logger used by this class.

## **Parameters**

- **trajectory\_generator** (*TrajectoryGenerator*) generates trajectories while optionally training an RL agent on the learned reward function (can also be a sampler from a static dataset of trajectories though).
- reward\_model (RewardNet) a RewardNet instance to be used for learning the reward
- **num\_iterations** (int) number of times to train the agent against the reward model and then train the reward model against newly gathered preferences.
- **fragmenter** (Optional[*Fragmenter*]) takes in a set of trajectories and returns pairs of fragments for which preferences will be gathered. These fragments could be random, or they could be selected more deliberately (active learning). Default is a random fragmenter.
- **preference\_gatherer** (Optional[*PreferenceGatherer*]) how to get preferences between trajectory fragments. Default (and currently the only option) is to use synthetic preferences based on ground-truth rewards. Human preferences could be implemented here in the future.
- reward\_trainer (Optional[RewardTrainer]) trains the reward model based on pairs of fragments and associated preferences. Default is to use the preference model and loss function from DRLHP.

- **comparison\_queue\_size** (Optional[int]) the maximum number of comparisons to keep in the queue for training the reward model. If None, the queue will grow without bound as new comparisons are added.
- fragment\_length (int) number of timesteps per fragment that is used to elicit preferences
- **transition\_oversampling** (float) factor by which to oversample transitions before creating fragments. Since fragments are sampled with replacement, this is usually chosen > 1 to avoid having the same transition in too many fragments.
- initial\_comparison\_frac (float) fraction of the total\_comparisons argument to train() that will be sampled before the rest of training begins (using a randomly initialized agent). This can be used to pretrain the reward model before the agent is trained on the learned reward, to help avoid irreversibly learning a bad policy from an untrained reward. Note that there will often be some additional pretraining comparisons since comparisons\_per\_iteration won't exactly divide the total number of comparisons. How many such comparisons there are depends discontinuously on total\_comparisons and comparisons\_per\_iteration.
- initial\_epoch\_multiplier (float) before agent training begins, train the reward model for this many more epochs than usual (on fragments sampled from a random agent).
- **custom\_logger** (Optional[*HierarchicalLogger*]) Where to log to; if None (default), creates a new logger.
- allow\_variable\_horizon (bool) If False (default), algorithm will raise an exception if it detects trajectories of different length during training. If True, overrides this safety check. WARNING: variable horizon episodes leak information about the reward via termination condition, and can seriously confound evaluation. Read https://imitation.readthedocs.io/en/latest/guide/variable\_horizon.html before overriding this.
- **rng** (Optional[Generator]) random number generator to use for initializing subcomponents such as fragmenter. Only used when default components are used; if you instantiate your own fragmenter, preference gatherer, etc., you are responsible for seeding them!
- query\_schedule (Union[str, Callable[[float], float]]) one of ("constant", "hyperbolic", "inverse\_quadratic"), or a function that takes in a float between 0 and 1 inclusive, representing a fraction of the total number of timesteps elapsed up to some time T, and returns a potentially unnormalized probability indicating the fraction of *total\_comparisons* that should be queried at that iteration. This function will be called *num\_iterations* times in \_\_init\_\_() with values from *np.linspace(0, 1, num\_iterations)* as input. The outputs will be normalized to sum to 1 and then used to apportion the comparisons among the *num\_iterations* iterations.

# Raises

**ValueError** – if *query\_schedule* is not a valid string or callable.

allow\_variable\_horizon: bool

If True, allow variable horizon trajectories; otherwise error if detected.

property logger: HierarchicalLogger

Return type

HierarchicalLogger

train(total\_timesteps, total\_comparisons, callback=None)

Train the reward model and the policy if applicable.

**Parameters** 

- total\_timesteps (int) number of environment interaction steps
- total\_comparisons (int) number of preferences to gather in total
- **callback** (Optional[Callable[[int], None]]) callback functions called at the end of each iteration

#### Return type

```
Mapping[str, Any]
```

#### Returns

A dictionary with final metrics such as loss and accuracy of the reward model.

Bases: ABC

Base class for all imitation learning algorithms.

```
__init__(*, custom_logger=None, allow_variable_horizon=False)
```

Creates an imitation learning algorithm.

#### **Parameters**

- **custom\_logger** (Optional[*HierarchicalLogger*]) Where to log to; if None (default), creates a new logger.
- allow\_variable\_horizon (bool) If False (default), algorithm will raise an exception if it detects trajectories of different length during training. If True, overrides this safety check. WARNING: variable horizon episodes leak information about the reward via termination condition, and can seriously confound evaluation. Read https://imitation.readthedocs.io/en/latest/getting-started/variable-horizon.html before overriding this.

#### allow\_variable\_horizon: bool

If True, allow variable horizon trajectories; otherwise error if detected.

property logger: HierarchicalLogger

# Return type

HierarchicalLogger

download this notebook here

# 2.16 Train an Agent using Behavior Cloning

Behavior cloning is the most naive approach to imitation learning. We take the transitions of trajectories taken by some expert and use them as training samples to train a new policy. The method has many drawbacks and often does not work. However in this example, where we train an agent for the CartPole-v1 environment, it is feasible.

First we need some kind of expert in CartPole-v1 so we can sample some expert trajectories. For convenience we just train one using the stable-baselines3 library.

```
import gym
from stable_baselines3 import PPO
from stable_baselines3.ppo import MlpPolicy
env = gym.make("CartPole-v1")
expert = PPO(
```

```
policy=MlpPolicy,
  env=env,
  seed=0,
  batch_size=64,
  ent_coef=0.0,
  learning_rate=0.0003,
  n_epochs=10,
  n_steps=64,
)
expert.learn(1000) # Note: set to 1000000 to train a proficient expert
```

```
<stable_baselines3.ppo.ppo.PPO at 0x7f2184792fa0>
```

Let's quickly check if the expert is any good. We usually should be able to reach a reward of 500, which is the maximum achievable value.

```
from stable_baselines3.common.evaluation import evaluate_policy
reward, _ = evaluate_policy(expert, env, 10)
print(reward)
```

```
51.9
```

Now we can use the expert to sample some trajectories. We flatten them right away since we are only interested in the individual transitions for behavior cloning. imitation comes with a number of helper functions that makes collecting those transitions really easy. First we collect 50 episode rollouts, then we flatten them to just the transitions that we need for training. Note that the rollout function requires a vectorized environment and needs the RolloutInfoWrapper around each of the environments.

```
from imitation.data import rollout
from imitation.data.wrappers import RolloutInfoWrapper
from stable_baselines3.common.vec_env import DummyVecEnv
import numpy as np

rng = np.random.default_rng()
rollouts = rollout.rollout(
    expert,
    DummyVecEnv([lambda: RolloutInfoWrapper(env)]),
    rollout.make_sample_until(min_timesteps=None, min_episodes=50),
    rng=rng,
)
transitions = rollout.flatten_trajectories(rollouts)
```

Let's have a quick look at what we just generated using those library functions:

```
The `rollout` function generated a list of 50 <class 'imitation.data.types.

TrajectoryWithRew'>.

After flattening, this list is turned into a <class 'imitation.data.types.Transitions'>...

object containing 1779 transitions.

The transitions object contains arrays for: obs, acts, infos, next_obs, dones."
```

After we collected our transitions, it's time to set up our behavior cloning algorithm.

```
from imitation.algorithms import bc

bc_trainer = bc.BC(
   observation_space=env.observation_space,
   action_space=env.action_space,
   demonstrations=transitions,
   rng=rng,
)
```

As you can see the untrained policy only gets poor rewards:

```
reward_before_training, _ = evaluate_policy(bc_trainer.policy, env, 10)
print(f"Reward before training: {reward_before_training}")
```

```
Reward before training: 23.7
```

After training, we can match the rewards of the expert (500):

```
bc_trainer.train(n_epochs=1)
reward_after_training, _ = evaluate_policy(bc_trainer.policy, env, 10)
print(f"Reward after training: {reward_after_training}")
```

```
| batch_size | 32
| bc/
                - 1
   batch
    batch | 0 |
ent_loss | -0.000693 |
   entropy
                0.693
    epoch
                | 0
    12_loss
                | 0
   12_norm
               | 72.5
   loss
                0.693
           | 0.693
    neglogp
    prob_true_act | 0.5
    samples_so_far | 32
Reward after training: 65.7
```

download this notebook here

# 2.17 Train an Agent using the DAgger Algorithm

The DAgger algorithm is an extension of behavior cloning. In behavior cloning, the training trajectories are recorded directly from an expert. In DAgger, the learner generates the trajectories but an expert corrects the actions with the optimal actions in each of the visited states. This ensures that the state distribution of the training data matches that of the learner's current policy.

First we need an expert to learn from:

```
import gym
from stable_baselines3 import PPO
from stable_baselines3.ppo import MlpPolicy

env = gym.make("CartPole-v1")
expert = PPO(
    policy=MlpPolicy,
    env=env,
    seed=0,
    batch_size=64,
    ent_coef=0.0,
    learning_rate=0.0003,
    n_epochs=10,
    n_steps=64,
)
expert.learn(1000) # Note: set to 1000000 to train a proficient expert
```

```
<stable_baselines3.ppo.ppo.PPO at 0x7fa214199e50>
```

Then we can construct a DAgger trainer und use it to train the policy on the cartpole environment.

```
import tempfile
import gym
import numpy as np
from stable_baselines3.common.vec_env import DummyVecEnv
from imitation.algorithms import bc
from imitation.algorithms.dagger import SimpleDAggerTrainer
venv = DummyVecEnv([lambda: gym.make("CartPole-v1")])
bc_trainer = bc.BC(
   observation_space=env.observation_space,
   action_space=env.action_space,
   rng=np.random.default_rng(),
)
with tempfile.TemporaryDirectory(prefix="dagger_example_") as tmpdir:
   print(tmpdir)
    dagger_trainer = SimpleDAggerTrainer(
        venv=venv,
        scratch_dir=tmpdir,
        expert_policy=expert,
```

```
bc_trainer=bc_trainer,
    rng=np.random.default_rng(),
)
dagger_trainer.train(2000)
```

```
/tmp/dagger_example_twcgrzsh
| batch_size | 32
| bc/
               - 1
   batch | 0 | ent_loss | -0.000693 |
   entropy
               0.693
   epoch
               | 0
   12_loss
               | 0
   12_norm
              | 72.5
   loss
               0.693
   neglogp | 0.693
   prob_true_act | 0.5
    samples_so_far | 32
| rollout/
               | 47
   return_max
   return_mean | 28.8
   return_min | 15
             | 11.3
   return_std
| batch_size | 32
| bc/
              batch
               | 0
   ent_loss | -0.000557 |
   entropy
              0.557
               | 0
   epoch
   12_loss
                | 0
   12 norm
              | 78.8
   loss
               0.344
   neglogp
             | 0.345
   prob_true_act | 0.72
    samples_so_far | 32
| rollout/
              | 59
   return_max
   return_mean | 49.2
   return_min | 38
   return_std
| batch_size
              | 32
| bc/
               batch
                | 0
              | -0.000157 |
    ent_loss
               | 0.157
    entropy
   epoch
                | 0
```

```
12_loss
                   | 0
    12_norm
                   | 95.6
    loss
                   0.0713
    neglogp
                   0.0715
    prob_true_act | 0.939
    samples_so_far | 32
| rollout/
    return_max
                   | 57
                   | 45.4
    return_mean
    return_min
                   | 36
                   | 6.83
    return_std
| batch_size
                   | 32
| bc/
    batch
                  1 0
    ent_loss
                   | -9.16e-05 |
    entropy
                   0.0916
    epoch
                   | 0
    12_loss
    12_norm
                   | 109
    loss
                   0.0362
    neglogp
                   0.0363
    prob_true_act | 0.968
    samples_so_far | 32
| rollout/
    return_max
                  | 67
                   | 50.4
    return_mean
    return_min
                   | 40
                   | 10.4
    return_std
```

Finally, the evaluation shows, that we actually trained a policy that solves the environment (500 is the max reward).

```
from stable_baselines3.common.evaluation import evaluate_policy
reward, _ = evaluate_policy(dagger_trainer.policy, env, 10)
print(reward)
```

```
52.3
```

download this notebook here

# 2.18 Train an Agent using Generative Adversarial Imitation Learning

The idea of generative adversarial imitation learning is to train a discriminator network to distinguish between expert trajectories and learner trajectories. The learner is trained using a traditional reinforcement learning algorithm such as PPO and is rewarded for trajectories that make the discriminator think that it was an expert trajectory.

As usual, we first need an expert. Note that we now use a variant of the CartPole environment from the seals package, which has fixed episode durations. Read more about why we do this here.

```
import gym
from stable_baselines3 import PPO
from stable_baselines3.ppo import MlpPolicy
import seals # needed to load environments

env = gym.make("seals/CartPole-v0")
expert = PPO(
    policy=MlpPolicy,
    env=env,
    seed=0,
    batch_size=64,
    ent_coef=0.0,
    learning_rate=0.0003,
    n_epochs=10,
    n_steps=64,
)
expert.learn(1000) # Note: set to 100000 to train a proficient expert
```

```
KeyError
                                          Traceback (most recent call last)
File ~/checkouts/readthedocs.org/user_builds/imitation/envs/stable/lib/python3.8/site-
→packages/gym/envs/registration.py:158, in EnvRegistry.spec(self, path)
   157 try:
--> 158
           return self.env_specs[id]
   159 except KeyError:
    160
            # Parse the env name and check to see if it matches the non-version
            # part of a valid env (could also check the exact number here)
KeyError: 'seals/CartPole-v0'
During handling of the above exception, another exception occurred:
DeprecatedEnv
                                          Traceback (most recent call last)
Cell In[1], line 6
      3 from stable_baselines3.ppo import MlpPolicy
      4 import seals # needed to load environments
----> 6 env = gym.make("seals/CartPole-v0")
      7 expert = PPO(
            policy=MlpPolicy,
      9
           env=env,
   (\ldots)
     15
           n_{steps=64},
     16)
     17 expert.learn(1000) # Note: set to 100000 to train a proficient expert
```

```
File ~/checkouts/readthedocs.org/user_builds/imitation/envs/stable/lib/python3.8/site-
→packages/gym/envs/registration.py:235, in make(id, **kwargs)
   234 def make(id, **kwargs):
           return registry.make(id, **kwargs)
File ~/checkouts/readthedocs.org/user_builds/imitation/envs/stable/lib/python3.8/site-
→packages/gym/envs/registration.py:128, in EnvRegistry.make(self, path, **kwargs)
    126 else:
    127
            logger.info("Making new env: %s", path)
--> 128 spec = self.spec(path)
   129 env = spec.make(**kwargs)
    130 return env
File ~/checkouts/readthedocs.org/user_builds/imitation/envs/stable/lib/python3.8/site-

¬packages/gym/envs/registration.py:185, in EnvRegistry.spec(self, path)
    176 toytext_envs = [
    177
            "KellyCoinflip",
   178
            "KellyCoinflipGeneralized",
   (\ldots)
   182
            "HotterColder".
   183
   184 if matching_envs:
--> 185
           raise error.DeprecatedEnv(
                "Env {} not found (valid versions include {})".format(
    186
    187
                    id, matching_envs
    188
                )
   189
           )
    190 elif env_name in algorithmic_envs:
    191
            raise error.UnregisteredEnv(
    192
                "Algorithmic environment {} has been moved out of Gym. Install it via
→`pip install gym-algorithmic` and add `import gym_algorithmic` before using it.".
→format(
    193
                    id
    194
               )
    195
            )
DeprecatedEnv: Env seals/CartPole-v0 not found (valid versions include ['CartPole-v0',
```

We generate some expert trajectories, that the discriminator needs to distinguish from the learner's trajectories.

```
from imitation.data import rollout
from imitation.data.wrappers import RolloutInfoWrapper
from imitation.util.util import make_vec_env
from stable_baselines3.common.vec_env import DummyVecEnv
import numpy as np

rng = np.random.default_rng()
rollouts = rollout.rollout(
    expert,
    make_vec_env(
```

```
"seals/CartPole-v0",
    n_envs=5,
    post_wrappers=[lambda env, _: RolloutInfoWrapper(env)],
    rng=rng,
),
    rollout.make_sample_until(min_timesteps=None, min_episodes=60),
    rng=rng,
)
```

Now we are ready to set up our GAIL trainer. Note, that the reward\_net is actually the network of the discriminator. We evaluate the learner before and after training so we can see if it made any progress.

```
from imitation.algorithms.adversarial.gail import GAIL
from imitation.rewards.reward_nets import BasicRewardNet
from imitation.util.networks import RunningNorm
from imitation.util.util import make_vec_env
from stable_baselines3 import PPO
from stable_baselines3.common.evaluation import evaluate_policy
from stable_baselines3.common.vec_env import DummyVecEnv
import gym
venv = make_vec_env("seals/CartPole-v0", n_envs=8, rng=rng)
learner = PPO(
   env=venv,
   policy=MlpPolicy,
   batch_size=64,
   ent_coef=0.0,
   learning_rate=0.0003,
   n_{epochs=10},
reward_net = BasicRewardNet(
   venv.observation_space, venv.action_space, normalize_input_layer=RunningNorm
gail_trainer = GAIL(
   demonstrations=rollouts,
    demo_batch_size=1024,
   gen_replay_buffer_capacity=2048,
   n_disc_updates_per_round=4,
   venv=venv,
   gen_algo=learner,
   reward_net=reward_net,
learner_rewards_before_training, _ = evaluate_policy(
   learner, venv, 100, return_episode_rewards=True
gail_trainer.train(20000) # Note: set to 300000 for better results
learner_rewards_after_training, _ = evaluate_policy(
   learner, venv, 100, return_episode_rewards=True
)
```

When we look at the histograms of rewards before and after learning, we can see that the learner is not perfect yet, but it made some progress at least. If not, just re-run the above cell.

```
import matplotlib.pyplot as plt
import numpy as np

print(np.mean(learner_rewards_after_training))
print(np.mean(learner_rewards_before_training))

plt.hist(
    [learner_rewards_before_training, learner_rewards_after_training],
    label=["untrained", "trained"],
)
plt.legend()
plt.show()
```

download this notebook here

# 2.19 Train an Agent using Adversarial Inverse Reinforcement Learning

As usual, we first need an expert. Note that we now use a variant of the CartPole environment from the seals package, which has fixed episode durations. Read more about why we do this here.

```
import gym
from stable_baselines3 import PPO
from stable_baselines3.ppo import MlpPolicy
import seals # needed to load environments

env = gym.make("seals/CartPole-v0")
expert = PPO(
    policy=MlpPolicy,
    env=env,
    seed=0,
    batch_size=64,
    ent_coef=0.0,
    learning_rate=0.0003,
    n_epochs=10,
    n_steps=64,
)
expert.learn(1000) # Note: set to 100000 to train a proficient expert
```

```
KeyError Traceback (most recent call last)

File ~/checkouts/readthedocs.org/user_builds/imitation/envs/stable/lib/python3.8/site-

packages/gym/envs/registration.py:158, in EnvRegistry.spec(self, path)

157 try:

--> 158    return self.env_specs[id]

159 except KeyError:

160    # Parse the env name and check to see if it matches the non-version

161    # part of a valid env (could also check the exact number here)
```

```
KeyError: 'seals/CartPole-v0'
During handling of the above exception, another exception occurred:
DeprecatedEnv
                                          Traceback (most recent call last)
Cell In[1], line 6
      3 from stable_baselines3.ppo import MlpPolicy
      4 import seals # needed to load environments
---> 6 env = gym.make("seals/CartPole-v0")
      7 expert = PPO(
           policy=MlpPolicy,
      9
            env=env,
   (\ldots)
     15
           n_{steps=64}
     16)
     17 expert.learn(1000) # Note: set to 100000 to train a proficient expert
File ~/checkouts/readthedocs.org/user_builds/imitation/envs/stable/lib/python3.8/site-
-packages/gym/envs/registration.py:235, in make(id, **kwargs)
    234 def make(id, **kwargs):
--> 235
            return registry.make(id, **kwargs)
File ~/checkouts/readthedocs.org/user_builds/imitation/envs/stable/lib/python3.8/site-
→packages/gym/envs/registration.py:128, in EnvRegistry.make(self, path, **kwargs)
    126 else:
    127
            logger.info("Making new env: %s", path)
--> 128 spec = self.spec(path)
    129 env = spec.make(**kwargs)
    130 return env
File ~/checkouts/readthedocs.org/user_builds/imitation/envs/stable/lib/python3.8/site-
-packages/gym/envs/registration.py:185, in EnvRegistry.spec(self, path)
    176 toytext_envs = [
   177
            "KellyCoinflip",
   178
            "KellyCoinflipGeneralized",
   (...)
   182
            "HotterColder",
   183
    184 if matching_envs:
--> 185
            raise error.DeprecatedEnv(
   186
                "Env {} not found (valid versions include {})".format(
   187
                    id, matching_envs
   188
   189
    190 elif env_name in algorithmic_envs:
            raise error.UnregisteredEnv(
   191
                "Algorithmic environment {} has been moved out of Gym. Install it via
→`pip install gym-algorithmic` and add `import gym_algorithmic` before using it.".
→format(
    193
                    id
    194
                )
```

```
DeprecatedEnv: Env seals/CartPole-v0 not found (valid versions include ['CartPole-v0',

→'CartPole-v1'])
```

We generate some expert trajectories, that the discriminator needs to distinguish from the learner's trajectories.

```
from imitation.data import rollout
from imitation.data.wrappers import RolloutInfoWrapper
from imitation.util.util import make_vec_env
from stable_baselines3.common.vec_env import DummyVecEnv
import numpy as np

rng = np.random.default_rng()
rollouts = rollout.rollout(
    expert,
    make_vec_env(
        "seals/CartPole-v0",
        n_envs=5,
        post_wrappers=[lambda env, _: RolloutInfoWrapper(env)],
        rng=rng,
    ),
    rollout.make_sample_until(min_timesteps=None, min_episodes=60),
    rng=rng,
)
```

Now we are ready to set up our AIRL trainer. Note, that the reward\_net is actually the network of the discriminator. We evaluate the learner before and after training so we can see if it made any progress.

```
from imitation.algorithms.adversarial.airl import AIRL
from imitation.rewards.reward_nets import BasicShapedRewardNet
from imitation.util.networks import RunningNorm
from imitation.util.util import make_vec_env
from stable_baselines3 import PPO
from stable_baselines3.common.evaluation import evaluate_policy
import gym
import seals
venv = make_vec_env("seals/CartPole-v0", n_envs=8, rng=rng)
learner = PPO(
    env=venv,
   policy=MlpPolicy,
   batch_size=64,
   ent_coef=0.0,
   learning_rate=0.0003,
   n_{epochs=10},
reward_net = BasicShapedRewardNet(
   venv.observation_space, venv.action_space, normalize_input_layer=RunningNorm
)
```

```
airl_trainer = AIRL(
    demonstrations=rollouts,
    demo_batch_size=1024,
    gen_replay_buffer_capacity=2048,
    n_disc_updates_per_round=4,
    venv=venv,
    gen_algo=learner,
    reward_net=reward_net,
)

learner_rewards_before_training, _ = evaluate_policy(
    learner, venv, 100, return_episode_rewards=True
)
airl_trainer.train(20000) # Note: set to 300000 for better results
learner_rewards_after_training, _ = evaluate_policy(
    learner, venv, 100, return_episode_rewards=True
)
```

When we look at the histograms of rewards before and after learning, we can see that the learner is not perfect yet, but it made some progress at least. If not, just re-run the above cell.

```
import matplotlib.pyplot as plt
import numpy as np

print(np.mean(learner_rewards_after_training))
print(np.mean(learner_rewards_before_training))

plt.hist(
    [learner_rewards_before_training, learner_rewards_after_training],
    label=["untrained", "trained"],
)
plt.legend()
plt.show()
```

download this notebook here

# 2.20 Learning a Reward Function using Preference Comparisons

The preference comparisons algorithm learns a reward function by comparing trajectory segments to each other.

To set up the preference comparisons algorithm, we first need to set up a lot of its internals beforehand:

```
import random
from imitation.algorithms import preference_comparisons
from imitation.rewards.reward_nets import BasicRewardNet
from imitation.util.networks import RunningNorm
from imitation.util.util import make_vec_env
from imitation.policies.base import FeedForward32Policy, NormalizeFeaturesExtractor
import gym
from stable_baselines3 import PPO
import numpy as np
```

```
rng = np.random.default_rng(0)
venv = make_vec_env("Pendulum-v1", rng=rng)
reward_net = BasicRewardNet(
   venv.observation_space, venv.action_space, normalize_input_layer=RunningNorm
)
fragmenter = preference_comparisons.RandomFragmenter(
   warning_threshold=0,
   rng=rng,
gatherer = preference_comparisons.SyntheticGatherer(rng=rng)
preference_model = preference_comparisons.PreferenceModel(reward_net)
reward_trainer = preference_comparisons.BasicRewardTrainer(
   preference_model=preference_model,
   loss=preference_comparisons.CrossEntropyRewardLoss(),
   epochs=3,
   rng=rng,
agent = PPO(
   policy=FeedForward32Policy,
   policy_kwargs=dict(
        features_extractor_class=NormalizeFeaturesExtractor,
        features_extractor_kwargs=dict(normalize_class=RunningNorm),
   ),
   env=venv,
   seed=0,
   n_steps=2048 // venv.num_envs,
   batch_size=64,
   ent_coef=0.0,
   learning_rate=0.0003,
   n_epochs=10,
)
trajectory_generator = preference_comparisons.AgentTrainer(
   algorithm=agent,
   reward_fn=reward_net,
   venv=venv,
   exploration_frac=0.0,
   rng=rng,
pref_comparisons = preference_comparisons.PreferenceComparisons(
   trajectory_generator,
   reward_net,
   num_iterations=5,
   fragmenter=fragmenter,
   preference_gatherer=gatherer,
   reward_trainer=reward_trainer,
```

```
fragment_length=100,
  transition_oversampling=1,
  initial_comparison_frac=0.1,
  allow_variable_horizon=False,
  initial_epoch_multiplier=1,
)
```

Then we can start training the reward model. Note that we need to specify the total timesteps that the agent should be trained and how many fragment comparisons should be made.

```
pref_comparisons.train(
    total_timesteps=5_000, # For good performance this should be 1_000_000
    total_comparisons=200, # For good performance this should be 5_000
)
```

```
Query schedule: [20, 51, 41, 34, 29, 25]
Collecting 40 fragments (4000 transitions)
Requested 4000 transitions but only 0 in buffer. Sampling 4000 additional transitions.
Creating fragment pairs
Gathering preferences
Dataset now contains 20 comparisons
Training agent for 1000 timesteps
_____
                             1
| raw/
   | 200
   agent/rollout/ep_rew_wrapped_mean | 70.9
                      | 4965
   agent/time/fps
   agent/time/iterations
                             | 1
   agent/time/time_elapsed
                             | 0
   agent/time/total_timesteps | 2048
| mean/
   agent/rollout/ep_rew_wrapped_mean | 70.9
   agent/time/fps
                    | 4.96e+03 |
   agent/time/iterations
                               | 1
   agent/time/time_elapsed
                               | 0
   agent/time/total_timesteps
                              | 2.05e+03 |
   agent/train/approx_kl
                               0.00522
   agent/train/clip_fraction
                               0.033
   agent/train/clip_range
                                0.2
   agent/train/entropy_loss
                               | -1.42
   agent/train/explained_variance
                              | -0.0565
                               0.0003
   agent/train/learning_rate
   agent/train/loss
                                0.538
   agent/train/n_updates
                               | 10
   agent/train/policy_gradient_loss
                                -0.00434
   agent/train/std
                                | 1
   agent/train/value_loss
                                | 6.93
```

```
preferences/entropy
                                  0.00589
    reward/epoch-0/train/accuracy | 0.7
    reward/epoch-0/train/gt_reward_loss | 0.00125
    reward/epoch-0/train/loss | 0.663
    reward/epoch-1/train/accuracy | 0.75
    reward/epoch-1/train/gt_reward_loss | 0.00125
    reward/epoch-1/train/loss | 0.551 reward/epoch-2/train/accuracy | 0.9
    reward/epoch-2/train/gt_reward_loss | 0.00125
    reward/epoch-2/train/loss | 0.416
| reward/
    final/train/accuracy
                                    | 0.9
    final/train/gt_reward_loss
                                   | 0.00125
    final/train/loss
                                     0.416
Collecting 102 fragments (10200 transitions)
Requested 10200 transitions but only 1600 in buffer. Sampling 8600 additional.
→transitions.
Creating fragment pairs
Gathering preferences
Dataset now contains 71 comparisons
Training agent for 1000 timesteps
_____
                                  1
| raw/
    | 200
    agent/rollout/ep_rew_wrapped_mean | 54.5
                          | 4935
    agent/time/fps
    agent/time/iterations
                                  | 1
    agent/time/time_elapsed
                                | 0
| 4096
    agent/time/total_timesteps
    agent/train/approx_kl | 0.00522125 | agent/train/clip_fraction | 0.033 | agent/train/clip_range | 0.2 | agent/train/entropy_loss | -1.42 | agent/train/explained_variance | -0.0565 | agent/train/learning_rate
    agent/train/learning_rate | 0.0003
    agent/train/loss | 0.5 agent/train/n_updates | 10
                                  0.538
    agent/train/policy_gradient_loss | -0.00434
    agent/train/std
                        | 1
    agent/train/value_loss
| mean/
    agent/rollout/ep_rew_wrapped_mean | 54.5
                         | 4.94e+03 |
    agent/time/fps
    agent/time/iterations
                                    | 1
    agent/time/time_elapsed
                                     | 0
    agent/time/total_timesteps
                                    | 4.1e+03 |
```

```
agent/train/approx_kl
                                       0.00491
                                       0.0267
    agent/train/clip_fraction
    agent/train/clip_range
                                       0.2
    agent/train/entropy_loss
                                       | -1.42
    agent/train/explained_variance
                                       | -0.0464
    agent/train/learning_rate
                                       0.0003
    agent/train/loss
                                       0.743
    agent/train/n_updates
                                       | 20
    agent/train/policy_gradient_loss
                                       | -0.00231
    agent/train/std
                                       | 1
                                       | 2.25
    agent/train/value_loss
    preferences/entropy
                                       0.022
    reward/epoch-0/train/accuracy
                                       0.811
    reward/epoch-0/train/gt_reward_loss | 0.00811
    reward/epoch-0/train/loss
                                       0.339
    reward/epoch-1/train/accuracy
                                       0.9
    reward/epoch-1/train/gt_reward_loss | 0.0081
    reward/epoch-1/train/loss
                                       0.194
    reward/epoch-2/train/accuracy
                                       0.948
    reward/epoch-2/train/gt_reward_loss | 0.0081
    reward/epoch-2/train/loss
                                       0.102
| reward/
    final/train/accuracy
                                       0.948
    final/train/gt_reward_loss
                                       0.0081
    final/train/loss
                                       0.102
Collecting 82 fragments (8200 transitions)
Requested 8200 transitions but only 1600 in buffer. Sampling 6600 additional transitions.
Creating fragment pairs
Gathering preferences
Dataset now contains 112 comparisons
Training agent for 1000 timesteps
_____
| raw/
    agent/rollout/ep_len_mean
                                     1 200
    agent/rollout/ep_rew_mean
                                     | -1.28e+03
    agent/rollout/ep_rew_wrapped_mean | 46.4
    agent/time/fps
                                     | 4954
    agent/time/iterations
                                     1 1
    agent/time/time_elapsed
                                     | 0
                                     | 6144
    agent/time/total_timesteps
    agent/train/approx_kl
                                     0.004912718
                                     0.0267
    agent/train/clip_fraction
    agent/train/clip_range
                                     0.2
    agent/train/entropy_loss
                                     | -1.42
    agent/train/explained_variance
                                     -0.0464
    agent/train/learning_rate
                                     0.0003
    agent/train/loss
                                     0.743
    agent/train/n_updates
                                     1 20
    agent/train/policy_gradient_loss | -0.00231
    agent/train/std
                                     | 1
    agent/train/value_loss
                                     1 2.25
```

```
| mean/
    agent/rollout/ep_len_mean
                                   | 200
    agent/rollout/ep_rew_mean | -1.28e+03 |
    agent/rollout/ep_rew_wrapped_mean | 46.4
                           | 4.95e+03 |
    agent/time/fps
    agent/time/iterations
                                    | 1
    agent/time/time_elapsed
                                   | 0
    agent/time/total_timesteps
                                   | 6.14e+03 |
                                   0.0017
    agent/train/approx_kl
    agent/train/clip_fraction
                                   0.00176
                                    0.2
    agent/train/clip_range
    agent/train/entropy_loss
                                   | -1.42
    agent/train/explained_variance | 0.214
    agent/train/learning_rate
                                   0.0003
    agent/train/loss
                                    | 1.94
    agent/train/n_updates
                                    1 30
    agent/train/policy_gradient_loss | -0.000454 |
    agent/train/std
                                    0.991
    agent/train/value_loss
                                    | 3.72
    preferences/entropy
                                    | 1.11e-06
    reward/epoch-0/train/accuracy | 0.969
    reward/epoch-0/train/gt_reward_loss | 0.00607
                            | 0.105
    reward/epoch-0/train/loss
    reward/epoch-1/train/accuracy | 0.969
    reward/epoch-1/train/gt_reward_loss | 0.0106
    reward/epoch-1/train/loss | 0.0978
reward/epoch-2/train/accuracy | 0.977
    reward/epoch-2/train/gt_reward_loss | 0.00607
    reward/epoch-2/train/loss | 0.0845
| reward/
    final/train/accuracy
                                   0.977
    final/train/gt_reward_loss
                                   0.00607
    final/train/loss
                                    0.0845
Collecting 68 fragments (6800 transitions)
Requested 6800 transitions but only 1600 in buffer. Sampling 5200 additional transitions.
Creating fragment pairs
Gathering preferences
Dataset now contains 146 comparisons
Training agent for 1000 timesteps
| raw/
    agent/rollout/ep_rew_wrapped_mean | 45
                        | 4998
    agent/time/fps
    agent/time/iterations
                                 | 1
    agent/time/time_elapsed
                                  1 0
    agent/time/total_timesteps
                                  8192
    agent/train/approx_kl
                                  | 0.0016984465 |
```

```
agent/train/clip_fraction
                                      0.00176
    agent/train/clip_range
                                      0.2
                                     | -1.42
    agent/train/entropy_loss
    agent/train/explained_variance
                                     0.214
    agent/train/learning_rate
                                     1 0.0003
    agent/train/loss
                                     | 1.94
    agent/train/n_updates
                                      1 30
    agent/train/policy_gradient_loss | -0.000454
    agent/train/std
                                     0.991
                                     | 3.72
    agent/train/value_loss
| mean/
    agent/rollout/ep_len_mean
                                       200
    agent/rollout/ep_rew_mean
                                      | -1.29e+03 |
    agent/rollout/ep_rew_wrapped_mean | 45
    agent/time/fps
                                       | 5e+03
    agent/time/iterations
                                       1 1
    agent/time/time_elapsed
                                       | 0
    agent/time/total_timesteps
                                       | 8.19e+03
    agent/train/approx_kl
                                       0.00147
    agent/train/clip_fraction
                                       0.00308
    agent/train/clip_range
                                       0.2
    agent/train/entropy_loss
                                       | -1.4
    agent/train/explained_variance
                                       0.267
                                       0.0003
    agent/train/learning_rate
    agent/train/loss
                                       | 2.95
    agent/train/n_updates
                                       | 40
    agent/train/policy_gradient_loss
                                       -0.000462
    agent/train/std
                                       0.973
    agent/train/value_loss
                                       | 4.44
                                       0.000701
    preferences/entropy
    reward/epoch-0/train/accuracy
                                       1 0.975
    reward/epoch-0/train/gt_reward_loss | 0.00488
    reward/epoch-0/train/loss
                                       0.0804
    reward/epoch-1/train/accuracy
                                       0.97
    reward/epoch-1/train/gt_reward_loss | 0.0077
    reward/epoch-1/train/loss
                                   | 0.0931
    reward/epoch-2/train/accuracy
                                       1 0.975
    reward/epoch-2/train/gt_reward_loss | 0.00488
    reward/epoch-2/train/loss
                                       0.0702
| reward/
    final/train/accuracy
                                       0.975
    final/train/gt_reward_loss
                                       0.00488
    final/train/loss
                                       0.0702
Collecting 58 fragments (5800 transitions)
Requested 5800 transitions but only 1600 in buffer. Sampling 4200 additional transitions.
Creating fragment pairs
Gathering preferences
Dataset now contains 175 comparisons
Training agent for 1000 timesteps
```

|  |              | <br>(continu |
|--|--------------|--------------|
| ,  |              |              |
| raw/                                       | 200          |              |
| agent/rollout/ep_len_mean                  | 200          |              |
| • • • •                                    | -1.26e+03    |              |
| agent/rollout/ep_rew_wrapped_mean          |              |              |
| agent/time/fps                             | 4920         |              |
| agent/time/iterations                      | 1            |              |
| agent/time/time_elapsed                    | 0            |              |
| agent/time/total_timesteps                 | 10240        |              |
| agent/train/approx_kl                      | 0.0014707824 |              |
| agent/train/clip_fraction                  | 0.00308      |              |
| agent/train/clip_range                     | 0.2          |              |
|  | -1.4         |              |
|  | 0.267        |              |
|  | 0.0003       |              |
| agent/train/loss                           | 2.95         |              |
| agent/train/n_updates                      | 40           |              |
|  | -0.000462    |              |
| agent/train/std                            | 0.973        |              |
|  | 4.44         |              |
| agent/train/varue_1055                     |              |              |
|  |              |              |
| ean/                                       | 1            |              |
| agent/rollout/ep_len_mean                  | 200          |              |
| agent/rollout/ep_rew_mean                  | -1.26e+03    |              |
| agent/rollout/ep_rew_wrapped_mean          | 46.1         |              |
| agent/time/fps                             | 4.92e+03     |              |
| agent/time/iterations                      | 1            |              |
| agent/time/time_elapsed                    | 0            |              |
| agent/time/total_timesteps                 | 1.02e+04     |              |
| agent/train/approx_kl                      | 0.00458      |              |
| agent/train/clip_fraction                  | 0.0319       |              |
| agent/train/clip_range                     | 0.2          |              |
| agent/train/entropy_loss                   | -1.4         |              |
| agent/train/explained_variance             | 0.265        |              |
| agent/train/learning_rate                  | 0.203        |              |
| agent/train/learning_rate agent/train/loss | 1.72         |              |
| <u>-</u>                                   |              |              |
| agent/train/n_updates                      | 50           |              |
| agent/train/policy_gradient_loss           | -0.00411     |              |
| agent/train/std                            | 0.982        |              |
| agent/train/value_loss                     | 7.02         |              |
| preferences/entropy                        | 0.00086      |              |
| reward/epoch-0/train/accuracy              | 0.974        |              |
| reward/epoch-0/train/gt_reward_loss        | 0.00409      |              |
| reward/epoch-0/train/loss                  | 0.103        |              |
| reward/epoch-1/train/accuracy              | 0.969        |              |
| reward/epoch-1/train/gt_reward_loss        | 0.00409      |              |
| reward/epoch-1/train/loss                  | 0.0948       |              |
| reward/epoch-2/train/accuracy              | 0.963        |              |
| reward/epoch-2/train/gt_reward_loss        | •            |              |
| reward/epoch-2/train/loss                  | 0.106        |              |
| reward/                                    |              |              |
|  | ı            |              |

```
0.963
    final/train/accuracy
    final/train/gt_reward_loss | 0.0051
    final/train/loss
                                    0.106
Collecting 50 fragments (5000 transitions)
Requested 5000 transitions but only 1600 in buffer. Sampling 3400 additional transitions.
Creating fragment pairs
Gathering preferences
Dataset now contains 200 comparisons
Training agent for 1000 timesteps
    agent/rollout/ep_len_mean | 200
agent/rollout/ep_rew_mean | -1.26e+03
    agent/rollout/ep_rew_wrapped_mean | 50.8
                       | 4976
    agent/time/fps
    agent/time/iterations
agent/time/time_elapsed | 0 |
agent/time/total_timesteps | 12288 |
| 0.0045790263 |
    agent/train/approx_kl | 0.00457
agent/train/clip_fraction | 0.0319
    agent/train/clip_range | 0.2
    agent/train/explained_variance | 0.265
    agent/train/learning_rate | 0.0003
                                  | 1.72
    agent/train/loss
    agent/train/n_updates | 50
    agent/train/policy_gradient_loss | -0.00411
                                  0.982
    agent/train/std
    agent/train/value_loss
                                   7.02
    agent/rollout/ep_rew_wrapped_mean | 50.8
                             | 4.98e+03 |
    agent/time/fps
    agent/time/iterations
                                    | 1
    agent/time/time_elapsed
                                    | 0
    agent/time/total_timesteps
                                    | 1.23e+04 |
    agent/train/approx_kl
                                    0.0026
                                   | 0.014
    agent/train/clip_fraction
    agent/train/clip_range
                                    0.2
    agent/train/entropy_loss
                                    | -1.4
    agent/train/explained_variance | 0.37
    agent/train/learning_rate
                                    0.0003
                                    | 3.02
    agent/train/loss
    agent/train/n_updates
    agent/train/policy_gradient_loss | -0.0024
                                     0.974
    agent/train/std
    agent/train/value_loss
                                     | 7.18
    preferences/entropy
                                     0.00229
```

```
reward/epoch-0/train/accuracy
                                       0.969
    reward/epoch-0/train/gt_reward_loss | 0.00355
    reward/epoch-0/train/loss
                                     0.0883
    reward/epoch-1/train/accuracy
                                    | 0.969
    reward/epoch-1/train/gt_reward_loss | 0.00355
    reward/epoch-1/train/loss
                                       0.084
    reward/epoch-2/train/accuracy
                                      0.955
    reward/epoch-2/train/gt_reward_loss | 0.0059
    reward/epoch-2/train/loss
                                       0.0948
| reward/
    final/train/accuracy
                                       0.955
    final/train/gt_reward_loss
                                       0.0059
    final/train/loss
                                       0.0948
```

```
{'reward_loss': 0.0947954399245126, 'reward_accuracy': 0.9553571428571429}
```

After we trained the reward network using the preference comparisons algorithm, we can wrap our environment with that learned reward.

```
from imitation.rewards.reward_wrapper import RewardVecEnvWrapper
learned_reward_venv = RewardVecEnvWrapper(venv, reward_net.predict_processed)
```

Now we can train an agent, that only sees those learned reward.

```
from stable_baselines3 import PPO
from stable_baselines3.ppo import MlpPolicy

learner = PPO(
    policy=MlpPolicy,
    env=learned_reward_venv,
    seed=0,
    batch_size=64,
    ent_coef=0.0,
    learning_rate=0.0003,
    n_epochs=10,
    n_steps=64,
)
learner.learn(1000) # Note: set to 1000000 to train a proficient expert
```

```
<stable_baselines3.ppo.ppo.PPO at 0x7f3e449969d0>
```

Then we can evaluate it using the original reward.

```
from stable_baselines3.common.evaluation import evaluate_policy
reward, _ = evaluate_policy(learner.policy, venv, 10)
print(reward)
```

```
-1015.7399708
```

download this notebook here

# 2.21 Learning a Reward Function using Preference Comparisons on Atari

In this case, we will use a convolutional neural network for our policy and reward model. We will also shape the learned reward model with the policy's learned value function, since these shaped rewards will be more informative for training - incentivizing agents to move to high-value states. In the interests of execution time, we will only do a little bit of training - much less than in the previous preference comparison notebook. To run this notebook, be sure to install the atari extras, for example by running pip install imitation[atari].

First, we will set up the environment, reward network, et cetera.

```
import torch as th
import gym
from gym.wrappers import TimeLimit
import numpy as np
from seals.util import AutoResetWrapper
from stable_baselines3 import PPO
from stable_baselines3.common.atari_wrappers import AtariWrapper
from stable_baselines3.common.env_util import make_vec_env
from stable_baselines3.common.vec_env import VecFrameStack
from stable_baselines3.ppo import CnnPolicy
from imitation.algorithms import preference_comparisons
from imitation.data.wrappers import RolloutInfoWrapper
from imitation.policies.base import NormalizeFeaturesExtractor
from imitation.rewards.reward_nets import CnnRewardNet
device = th.device("cuda" if th.cuda.is_available() else "cpu")
rng = np.random.default_rng()
# Here we ensure that our environment has constant-length episodes by resetting
# it when done, and running until 100 timesteps have elapsed.
# For real training, you will want a much longer time limit.
def constant_length_asteroids(num_steps):
    atari_env = gym.make("AsteroidsNoFrameskip-v4")
   preprocessed_env = AtariWrapper(atari_env)
    endless_env = AutoResetWrapper(preprocessed_env)
   limited_env = TimeLimit(endless_env, max_episode_steps=num_steps)
   return RolloutInfoWrapper(limited_env)
# For real training, you will want a vectorized environment with 8 environments in.
⇔parallel.
```

```
# This can be done by passing in n_envs=8 as an argument to make_vec_env.
venv = make_vec_env(constant_length_asteroids, env_kwargs={"num_steps": 100})
venv = VecFrameStack(venv, n_stack=4)
reward_net = CnnRewardNet(
    venv.observation_space,
    venv.action_space,
).to(device)
fragmenter = preference_comparisons.RandomFragmenter(warning_threshold=0, rng=rng)
gatherer = preference_comparisons.SyntheticGatherer(rng=rng)
preference_model = preference_comparisons.PreferenceModel(reward_net)
reward_trainer = preference_comparisons.BasicRewardTrainer(
   preference_model=preference_model,
   loss=preference_comparisons.CrossEntropyRewardLoss(),
    epochs=3,
   rng=rng,
)
agent = PPO(
   policy=CnnPolicy,
   env=venv,
   n_steps=16, # To train on atari well, set this to 128
   batch_size=16, # To train on atari well, set this to 256
   ent\_coef=0.01,
    learning_rate=0.00025,
   n_epochs=4,
)
trajectory_generator = preference_comparisons.AgentTrainer(
   algorithm=agent,
   reward_fn=reward_net,
   venv=venv,
   exploration_frac=0.0,
   rng=rng,
pref_comparisons = preference_comparisons.PreferenceComparisons(
    trajectory_generator,
   reward_net,
   num_iterations=2,
    fragmenter=fragmenter,
   preference_gatherer=gatherer,
   reward_trainer=reward_trainer,
    fragment_length=10,
   transition_oversampling=1,
   initial_comparison_frac=0.1,
   allow_variable_horizon=False,
   initial_epoch_multiplier=1,
```

```
TypeError
                                          Traceback (most recent call last)
Cell In[1], line 66
     48 reward_trainer = preference_comparisons.BasicRewardTrainer(
            preference_model=preference_model,
    50
            loss=preference_comparisons.CrossEntropyRewardLoss(),
     51
            epochs=3.
     52
            rng=rng,
     53)
     55 \text{ agent} = PPO(
     56
            policy=CnnPolicy,
     57
            env=venv.
   (\ldots)
     63
           n_epochs=4,
     64)
---> 66 trajectory_generator = preference_comparisons.AgentTrainer(
     67
            algorithm=agent,
     68
            reward_fn=reward_net,
    69
            venv=venv,
     70
            exploration_frac=0.0,
     71
            rng=rng,
     74 pref_comparisons = preference_comparisons.PreferenceComparisons(
     75
            trajectory_generator,
     76
            reward_net,
   (...)
     85
            initial_epoch_multiplier=1,
     86)
File ~/checkouts/readthedocs.org/user_builds/imitation/envs/stable/lib/python3.8/site-
packages/imitation/algorithms/preference_comparisons.py:182, in AgentTrainer.__init__
→(self, algorithm, reward_fn, venv, rng, exploration_frac, switch_prob, random_prob, __
172 # The BufferingWrapper records all trajectories, so we can return
    173 # them after training. This should come first (before the wrapper that
   174 # changes the reward function), so that we return the original environment
   (\ldots)
    179 # SB3 may move the image-channel dimension in the observation space, making
   180 # `algorithm.get_env()` not match with `reward_fn`.
   181 self.buffering_wrapper = wrappers.BufferingWrapper(venv)
--> 182 self.venv = self.reward_venv_wrapper = reward_wrapper.RewardVecEnvWrapper(
   183
            self.buffering_wrapper,
   184
            reward_fn=self.reward_fn,
   185 )
    187 self.log_callback = self.reward_venv_wrapper.make_log_callback()
    189 self.algorithm.set_env(self.venv)
File ~/checkouts/readthedocs.org/user_builds/imitation/envs/stable/lib/python3.8/site-
→packages/imitation/rewards/reward_wrapper.py:73, in RewardVecEnvWrapper.__init__(self,_
→venv, reward_fn, ep_history)
     71 self._old_obs = None
     72 self._actions = None
---> 73 self.reset()
                                                                            (continues on next page)
```

```
File ~/checkouts/readthedocs.org/user_builds/imitation/envs/stable/lib/python3.8/site-
→packages/imitation/rewards/reward_wrapper.py:84, in RewardVecEnvWrapper.reset(self)
     83 def reset(self):
            self._old_obs = self.venv.reset()
---> 84
     85
            return self._old_obs
File ~/checkouts/readthedocs.org/user_builds/imitation/envs/stable/lib/python3.8/site-
packages/imitation/data/wrappers.py:54, in BufferingWrapper.reset(self, **kwargs)
     52 self._init_reset = True
     53 self.n_transitions = 0
---> 54 obs = self.venv.reset(**kwargs)
     55 self._traj_accum = rollout.TrajectoryAccumulator()
     56 for i, ob in enumerate(obs):
File ~/checkouts/readthedocs.org/user_builds/imitation/envs/stable/lib/python3.8/site-
→packages/stable_baselines3/common/vec_env/vec_frame_stack.py:38, in VecFrameStack.
→reset(self)
     37 def reset(self) -> Union[np.ndarray, Dict[str, np.ndarray]]:
---> 38
            observation = self.venv.reset() # pytype:disable=annotation-type-mismatch
            observation = self.stacked_obs.reset(observation)
     39
     40
            return observation
File ~/checkouts/readthedocs.org/user_builds/imitation/envs/stable/lib/python3.8/site-
→packages/stable_baselines3/common/vec_env/dummy_vec_env.py:74, in DummyVecEnv.
→reset(self)
     72 def reset(self) -> VecEnvObs:
            for env_idx in range(self.num_envs):
     73
---> 74
                obs = self.envs[env_idx].reset()
     75
                self._save_obs(env_idx, obs)
     76
            return self._obs_from_buf()
File ~/checkouts/readthedocs.org/user_builds/imitation/envs/stable/lib/python3.8/site-
→packages/stable_baselines3/common/monitor.py:84, in Monitor.reset(self, **kwargs)
                raise ValueError(f"Expected you to pass keyword argument {key} into reset
٠")
            self.current_reset_info[key] = value
---> 84 return self.env.reset(**kwargs)
File ~/checkouts/readthedocs.org/user_builds/imitation/envs/stable/lib/python3.8/site-

-packages/imitation/data/wrappers.py:189, in RolloutInfoWrapper.reset(self, **kwargs)
    188 def reset(self, **kwargs):
--> 189
            new_obs = super().reset(**kwargs)
    190
            self._obs = [new_obs]
    191
            self._rews = []
File ~/checkouts/readthedocs.org/user_builds/imitation/envs/stable/lib/python3.8/site-
→packages/gym/core.py:292, in Wrapper.reset(self, **kwargs)
   291 def reset(self, **kwargs):
--> 292
            return self.env.reset(**kwargs)
File ~/checkouts/readthedocs.org/user_builds/imitation/envs/stable/lib/python3.8/site-
```

```
-packages/gym/wrappers/time_limit.py:27, in TimeLimit.reset(self, **kwargs)
     25 def reset(self, **kwargs):
            self._elapsed_steps = 0
---> 27
            return self.env.reset(**kwargs)
File ~/checkouts/readthedocs.org/user_builds/imitation/envs/stable/lib/python3.8/site-
→packages/gymnasium/core.py:467, in Wrapper.reset(self, seed, options)
    463 def reset(
    464
            self, *, seed: int | None = None, options: dict[str, Any] | None = None
    465 ) -> tuple[WrapperObsType, dict[str, Any]]:
           """Uses the :meth:`reset` of the :attr:`env` that can be overwritten to...
→change the returned data."""
--> 467
           return self.env.reset(seed=seed, options=options)
File ~/checkouts/readthedocs.org/user_builds/imitation/envs/stable/lib/python3.8/site-
→packages/gym/core.py:292, in Wrapper.reset(self, **kwargs)
    291 def reset(self, **kwargs):
--> 292
            return self.env.reset(**kwargs)
File ~/checkouts/readthedocs.org/user_builds/imitation/envs/stable/lib/python3.8/site-

¬packages/gym/core.py:333, in RewardWrapper.reset(self, **kwargs)
    332 def reset(self, **kwargs):
           return self.env.reset(**kwargs)
File ~/checkouts/readthedocs.org/user_builds/imitation/envs/stable/lib/python3.8/site-

¬packages/gym/core.py:319, in ObservationWrapper.reset(self, **kwargs)
    318 def reset(self, **kwargs):
--> 319
            observation = self.env.reset(**kwargs)
    320
            return self.observation(observation)
File ~/checkouts/readthedocs.org/user_builds/imitation/envs/stable/lib/python3.8/site-
→packages/stable_baselines3/common/atari_wrappers.py:85, in FireResetEnv.reset(self,_
→**kwargs)
     84 def reset(self, **kwargs) -> np.ndarray:
---> 85
            self.env.reset(**kwargs)
            obs, _, done, _ = self.env.step(1)
     86
     87
            if done:
File ~/checkouts/readthedocs.org/user_builds/imitation/envs/stable/lib/python3.8/site-
→packages/stable_baselines3/common/atari_wrappers.py:132, in EpisodicLifeEnv.reset(self,
→ **kwargs)
   123 """
    124 Calls the Gym environment reset, only when lives are exhausted.
   125 This way all states are still reachable even though lives are episodic,
   (\dots)
   129 :return: the first observation of the environment
   130 """
   131 if self.was_real_done:
--> 132
           obs = self.env.reset(**kwargs)
   133 else:
   134
            # no-op step to advance from terminal/lost life state
    135
            obs, _, done, _ = self.env.step(0)
```

```
File ~/checkouts/readthedocs.org/user_builds/imitation/envs/stable/lib/python3.8/site-
→packages/gym/core.py:292, in Wrapper.reset(self, **kwargs)
   291 def reset(self, **kwargs):
            return self.env.reset(**kwargs)
File ~/checkouts/readthedocs.org/user_builds/imitation/envs/stable/lib/python3.8/site-
→packages/stable_baselines3/common/atari_wrappers.py:58, in NoopResetEnv.reset(self,_
→**kwargs)
     57 def reset(self, **kwargs) -> np.ndarray:
            self.env.reset(**kwargs)
---> 58
            if self.override_num_noops is not None:
                noops = self.override_num_noops
     60
File ~/checkouts/readthedocs.org/user_builds/imitation/envs/stable/lib/python3.8/site-

-packages/gym/wrappers/time_limit.py:27, in TimeLimit.reset(self, **kwargs)
     25 def reset(self, **kwargs):
     26
            self._elapsed_steps = 0
            return self.env.reset(**kwargs)
---> 27
TypeError: reset() got an unexpected keyword argument 'options'
```

We are now ready to train the reward model.

```
pref_comparisons.train(
    total_timesteps=16,
    total_comparisons=15,
)
```

We can now wrap the environment with the learned reward model, shaped by the policy's learned value function. Note that if we were training this for real, we would want to normalize the output of the reward net as well as the value function, to ensure their values are on the same scale. To do this, use the NormalizedRewardNet class from src/imitation/rewards/reward\_nets.py on reward\_net, and modify the potential to add a RunningNorm module from src/imitation/util/networks.py.

```
from imitation.rewards.reward_nets import ShapedRewardNet, cnn_transpose
from imitation.rewards.reward_wrapper import RewardVecEnvWrapper

def value_potential(state):
    state_ = cnn_transpose(state)
    return agent.policy.predict_values(state_)

shaped_reward_net = ShapedRewardNet(
    base=reward_net,
    potential=value_potential,
    discount_factor=0.99,
)

# GOTCHA: When using the NormalizedRewardNet wrapper, you should deactivate updating
# during evaluation by passing update_stats=False to the predict_processed method.
learned_reward_venv = RewardVecEnvWrapper(venv, shaped_reward_net.predict_processed)
```

Next, we train an agent that sees only the shaped, learned reward.

```
learner = PPO(
    policy=CnnPolicy,
    env=learned_reward_venv,
    seed=0,
    batch_size=64,
    ent_coef=0.0,
    learning_rate=0.0003,
    n_epochs=10,
    n_steps=64,
)
learner.learn(1000)
```

We now evaluate the learner using the original reward.

```
from stable_baselines3.common.evaluation import evaluate_policy
reward, _ = evaluate_policy(learner.policy, venv, 10)
print(reward)
```

#### 2.21.1 Generating rollouts

When generating rollouts in image environments, be sure to use the agent's get\_env() function rather than using the original environment.

The learner re-arranges the observations space to put the channel environment in the first dimension, and get\_env() will correctly provide a wrapped environment doing this.

```
from imitation.data import rollout

rollouts = rollout.rollout(
    learner,
    # Note that passing venv instead of agent.get_env()
    # here would fail.
    learner.get_env(),
    rollout.make_sample_until(min_timesteps=None, min_episodes=3),
    rng=rng,
)
```

download this notebook here

# 2.22 Learn a Reward Function using Maximum Conditional Entropy Inverse Reinforcement Learning

Here, we're going to take a tabular environment with a pre-defined reward function, Cliffworld, and solve for the optimal policy. We then generate demonstrations from this policy, and use them to learn an approximation to the true reward function with MCE IRL. Finally, we directly compare the learned reward to the ground-truth reward (which we have access to in this example).

Cliffworld is a POMDP, and its "observations" consist of the (partial) observations proper and the (full) hidden environment state. We use DictExtractWrapper to extract only the hidden states from the environment, turning it into a

fully observable MDP to make computing the optimal policy easy.

```
from functools import partial
from seals import base_envs
from seals.diagnostics.cliff_world import CliffWorldEnv
from stable_baselines3.common.vec_env import DummyVecEnv
import numpy as np
from imitation.algorithms.mce_irl import (
   MCEIRL,
   mce_occupancy_measures,
   mce_partition_fh,
   TabularPolicy,
from imitation.data import rollout
from imitation.rewards import reward_nets
env_creator = partial(CliffWorldEnv, height=4, horizon=40, width=7, use_xy_obs=True)
env_single = env_creator()
state_env_creator = lambda: base_envs.ExposePOMDPStateWrapper(env_creator())
# This is just a vectorized environment because `generate_trajectories` expects one
state_venv = DummyVecEnv([state_env_creator] * 4)
```

Then we derive an expert policy using Bellman backups. We analytically compute the occupancy measures, and also sample some expert trajectories.

```
_, _, pi = mce_partition_fh(env_single)
_, om = mce_occupancy_measures(env_single, pi=pi)
rng = np.random.default_rng()
expert = TabularPolicy(
   state_space=env_single.state_space,
   action_space=env_single.action_space,
   pi=pi,
   rng=rng,
)
expert_trajs = rollout.generate_trajectories(
   policy=expert,
   venv=state_venv,
    sample_until=rollout.make_min_timesteps(5000),
   rng=rng,
)
print("Expert stats: ", rollout.rollout_stats(expert_trajs))
```

```
AssertionError Traceback (most recent call last)
```

```
Cell In[2], line 6
      3 _, om = mce_occupancy_measures(env_single, pi=pi)
      5 rng = np.random.default_rng()
----> 6 expert = TabularPolicy(
      7
            state_space=env_single.state_space,
      8
            action_space=env_single.action_space,
      9
            pi=pi,
     10
            rng=rng,
     11)
     13 expert_trajs = rollout.generate_trajectories(
     14
            policy=expert,
     15
            venv=state_venv,
     16
            sample_until=rollout.make_min_timesteps(5000),
     17
            rng=rng,
     18)
     20 print("Expert stats: ", rollout.rollout_stats(expert_trajs))
File ~/checkouts/readthedocs.org/user_builds/imitation/envs/stable/lib/python3.8/site-
packages/imitation/algorithms/mce_irl.py:174, in TabularPolicy.__init__(self, state_
→space, action_space, pi, rng)
    157 def __init__(
    158
            self.
   159
            state_space: gym.Space,
   (...)
   162
            rng: np.random.Generator,
   163 ) -> None:
            """Builds TabularPolicy.
   164
   165
   166
            Args:
   (\ldots)
                    `deterministic=False`.
   172
   173
--> 174
            assert isinstance(state_space, gym.spaces.Discrete), "state not tabular"
            assert isinstance(action_space, gym.spaces.Discrete), "action not tabular"
   175
   176
            # What we call state space here is observation space in SB3 nomenclature.
AssertionError: state not tabular
```

#### 2.22.1 Training the reward function

The true reward here is not linear in the reduced feature space (i.e (x, y) coordinates). Finding an appropriate linear reward is impossible, but an MLP should Just Work<sup>TM</sup>.

```
import matplotlib.pyplot as plt
import torch as th

def train_mce_irl(demos, hidden_sizes, lr=0.01, **kwargs):
    reward_net = reward_nets.BasicRewardNet(
        env_single.observation_space,
        env_single.action_space,
```

```
hid_sizes=hidden_sizes,
    use_action=False,
    use_done=False,
    use_next_state=False,
)
mce_irl = MCEIRL(
    demos,
    env_single,
    reward_net.
    log_interval=250,
    optimizer_kwargs=dict(lr=lr),
   rng=rng,
occ_measure = mce_irl.train(**kwargs)
imitation_trajs = rollout.generate_trajectories(
    policy=mce_irl.policy,
    venv=state_venv,
    sample_until=rollout.make_min_timesteps(5000),
    rng=rng,
)
print("Imitation stats: ", rollout.rollout_stats(imitation_trajs))
plt.figure(figsize=(10, 5))
plt.subplot(1, 2, 1)
env_single.draw_value_vec(occ_measure)
plt.title("Occupancy for learned reward")
plt.xlabel("Gridworld x-coordinate")
plt.ylabel("Gridworld y-coordinate")
plt.subplot(1, 2, 2)
_, true_occ_measure = mce_occupancy_measures(env_single)
env_single.draw_value_vec(true_occ_measure)
plt.title("Occupancy for true reward")
plt.xlabel("Gridworld x-coordinate")
plt.ylabel("Gridworld y-coordinate")
plt.show()
plt.figure(figsize=(10, 5))
plt.subplot(1, 2, 1)
env_single.draw_value_vec(
    reward_net(th.as_tensor(env_single.observation_matrix), None, None, None)
    .detach()
    .numpy()
)
plt.title("Learned reward")
plt.xlabel("Gridworld x-coordinate")
plt.ylabel("Gridworld y-coordinate")
plt.subplot(1, 2, 2)
env_single.draw_value_vec(env_single.reward_matrix)
plt.title("True reward")
plt.xlabel("Gridworld x-coordinate")
```

```
plt.ylabel("Gridworld y-coordinate")
plt.show()
return mce_irl
```

As you can see, a linear reward model cannot fit the data. Even though we're training the model on analytically computed occupancy measures for the optimal policy, the resulting reward and occupancy frequencies diverge sharply.

```
train_mce_irl(om, hidden_sizes=[])
```

Now, let's try using a very simple nonlinear reward model: an MLP with a single hidden layer. We first train it on the analytically computed occupancy measures. This should give a very precise result.

```
train_mce_irl(om, hidden_sizes=[256])
```

Then we train it on trajectories sampled from the expert. This gives a stochastic approximation to occupancy measure, so performance is a little worse. Using more expert trajectories should improve performance – try it!

```
mce_irl_from_trajs = train_mce_irl(expert_trajs[0:10], hidden_sizes=[256])
```

While the learned reward function is quite different from the true reward function, it induces a virtually identical occupancy measure over the states. In particular, states below the top row get almost the same reward as top-row states. This is because in Cliff World, there is an upward-blowing wind which will push the agent toward the top row with probability 0.3 at every timestep.

Even though the agent only gets reward in the top row squares, and maximum reward in the top righthand square, the reward model considers it to be almost as good to end up in one of the squares below the top rightmost square, since the wind will eventually blow the agent to the goal square.

download this notebook here

# 2.23 Learning a Reward Function using Kernel Density

This demo shows how to train a Pendulum agent (exciting!) with our simple density-based imitation learning baselines. DensityTrainer has a few interesting parameters, but the key ones are:

- 1. density\_type: this governs whether density is measured on (s,s') pairs (db.STATE\_STATE\_DENSITY), (s,a) pairs (db.STATE\_ACTION\_DENSITY), or single states (db.STATE\_DENSITY).
- 2. is\_stationary: determines whether a separate density model is used for each time step t (False), or the same model is used for transitions at all times (True).
- 3. standardise\_inputs: if True, each dimension of the agent state vectors will be normalised to have zero mean and unit variance over the training dataset. This can be useful when not all elements of the demonstration vector are on the same scale, or when some elements have too wide a variation to be captured by the fixed kernel width (1 for Gaussian kernel).
- 4. kernel: changes the kernel used for non-parametric density estimation. gaussian and exponential are the best bets; see the sklearn docs for the rest.

```
import pprint

from imitation.algorithms import density as db
from imitation.data import types
from imitation.util import util
```

```
# Set FAST = False for longer training. Use True for testing and CI.
FAST = True

if FAST:
    N_VEC = 1
    N_TRAJECTORIES = 1
    N_ITERATIONS = 1
    N_RL_TRAIN_STEPS = 100

else:
    N_VEC = 8
    N_TRAJECTORIES = 10
    N_ITERATIONS = 100
    N_ITERATIONS = 100
    N_RL_TRAIN_STEPS = int(1e5)
```

```
from stable_baselines3.common.policies import ActorCriticPolicy
from stable_baselines3 import PPO
from huggingface_sb3 import load_from_hub
from imitation.data import rollout
from stable_baselines3.common.vec_env import DummyVecEnv
from imitation.data.wrappers import RolloutInfoWrapper
import gym
import numpy as np
rng = np.random.default_rng()
env_name = "Pendulum-v1"
expert = PPO.load(
   load_from_hub("HumanCompatibleAI/ppo-Pendulum-v1", "ppo-Pendulum-v1.zip")
rollout_env = DummyVecEnv(
    [lambda: RolloutInfoWrapper(gym.make(env_name)) for _ in range(N_VEC)]
rollouts = rollout.rollout(
   expert,
   rollout_env,
   rollout.make_sample_until(min_timesteps=2000, min_episodes=57),
   rng=rng,
env = util.make_vec_env(env_name, n_envs=N_VEC, rng=rng)
imitation_trainer = PPO(ActorCriticPolicy, env, learning_rate=3e-4, n_steps=2048)
density_trainer = db.DensityAlgorithm(
   venv=env,
   rng=rng,
   demonstrations=rollouts,
   rl_algo=imitation_trainer,
   density_type=db.DensityType.STATE_ACTION_DENSITY,
   is_stationary=True,
   kernel="gaussian",
```

```
kernel_bandwidth=0.2, # found using divination & some palm reading
    standardise_inputs=True,
)
density_trainer.train()
```

```
NotImplementedError
                                       Traceback (most recent call last)
Cell In[3], line 13
    11 rng = np.random.default_rng()
    12 env_name = "Pendulum-v1"
---> 13 expert = PP0.load(
           load_from_hub("HumanCompatibleAI/ppo-Pendulum-v1", "ppo-Pendulum-v1.zip")
    15).policy
    16 rollout_env = DummyVecEnv(
           [lambda: RolloutInfoWrapper(gym.make(env_name)) for _ in range(N_VEC)]
    18)
    19 rollouts = rollout.rollout(
    2.0
           expert,
    21
           rollout_env.
    22
           rollout.make_sample_until(min_timesteps=2000, min_episodes=57),
    23
           rng=rng,
    24 )
File ~/checkouts/readthedocs.org/user_builds/imitation/envs/stable/lib/python3.8/site-
→env, device, custom_objects, print_system_info, force_reset, **kwargs)
   715 model.__dict__.update(data)
   716 model.__dict__.update(kwargs)
--> 717 model._setup_model()
   719 try:
   720
           # put state_dicts back in place
   72.1
           model.set_parameters(params, exact_match=True, device=device)
File ~/checkouts/readthedocs.org/user_builds/imitation/envs/stable/lib/python3.8/site-

-packages/stable_baselines3/ppo/ppo.py:167, in PPO._setup_model(self)

   166 def _setup_model(self) -> None:
--> 167
           super()._setup_model()
           # Initialize schedules for policy/value clipping
   169
   170
           self.clip_range = get_schedule_fn(self.clip_range)
File ~/checkouts/readthedocs.org/user_builds/imitation/envs/stable/lib/python3.8/site-
-packages/stable_baselines3/common/on_policy_algorithm.py:111, in OnPolicyAlgorithm._
107 self.set_random_seed(self.seed)
   109 buffer_cls = DictRolloutBuffer if isinstance(self.observation_space, spaces.
→Dict) else RolloutBuffer
--> 111 self.rollout_buffer = buffer_cls(
   112
           self.n_steps,
   113
           self.observation_space,
   114
           self.action_space,
   115
           device=self.device,
   116
           gamma=self.gamma,
```

```
117
            gae_lambda=self.gae_lambda,
    118
            n_envs=self.n_envs,
    119)
    120 self.policy = self.policy_class( # pytype:disable=not-instantiable
            self.observation_space,
   121
   122
            self.action_space,
   (...)
   125
            **self.policy_kwargs # pytype:disable=not-instantiable
    126)
    127 self.policy = self.policy.to(self.device)
File ~/checkouts/readthedocs.org/user_builds/imitation/envs/stable/lib/python3.8/site-
→packages/stable_baselines3/common/buffers.py:348, in RolloutBuffer.__init__(self,_
→buffer_size, observation_space, action_space, device, gae_lambda, gamma, n_envs)
    338 def __init__(
    339
            self.
   340
            buffer_size: int,
   (...)
   346
            n_{envs}: int = 1,
   347 ):
            super().__init__(buffer_size, observation_space, action_space, device, n_
--> 348
→envs=n_envs)
    349
            self.gae_lambda = gae_lambda
            self.gamma = gamma
    350
File ~/checkouts/readthedocs.org/user_builds/imitation/envs/stable/lib/python3.8/site-
--packages/stable_baselines3/common/buffers.py:50, in BaseBuffer.__init__(self, buffer_

¬size, observation_space, action_space, device, n_envs)

     48 self.observation_space = observation_space
     49 self.action_space = action_space
---> 50 self.obs_shape = get_obs_shape(observation_space)
     52 self.action_dim = get_action_dim(action_space)
     53 \text{ self.pos} = 0
File ~/checkouts/readthedocs.org/user_builds/imitation/envs/stable/lib/python3.8/site-

-packages/stable_baselines3/common/preprocessing.py:169, in get_obs_shape(observation_
→space)
    166
            return {key: get_obs_shape(subspace) for (key, subspace) in observation_

¬space.spaces.items()} # type: ignore[misc]
    168 else:
--> 169
            raise NotImplementedError(f"{observation_space} observation space is not_

    supported")

NotImplementedError: Box([-1. -1. -8.], [1. 1. 8.], (3,), float32) observation space is_
→not supported
```

```
def print_stats(density_trainer, n_trajectories, epoch=""):
   stats = density_trainer.test_policy(n_trajectories=n_trajectories)
   print("True reward function stats:")
   pprint.pprint(stats)
   stats_im = density_trainer.test_policy(
        true_reward=False,
```

```
n_trajectories=n_trajectories,
)
print(f"Imitation reward function stats, epoch {epoch}:")
pprint.pprint(stats_im)

novice_stats = density_trainer.test_policy(n_trajectories=N_TRAJECTORIES)
print("Stats before training:")
print_stats(density_trainer, 1)

print("Stats after training:")
for i in range(N_ITERATIONS):
    density_trainer.train_policy(N_RL_TRAIN_STEPS)
    print_stats(density_trainer, 1, epoch=str(i))
```

download this notebook here

# 2.24 Train Behavior Cloning in a Custom Environment

You can use imitation to train a policy (and, for many imitation learning algorithm, learn rewards) in a custom environment.

#### 2.24.1 Step 1: Define the environment

We will use a simple ObservationMatching environment as an example. The premise is simple – the agent receives a vector of observations, and must output a vector of actions that matches the observations as closely as possible.

If you have your own environment that you'd like to use, you can replace the code below with your own environment. Make sure it complies with the standard Gym API, and that the observation and action spaces are specified correctly.

```
import numpy as np
import gym

from gym.spaces import Box
from gym.utils import seeding

class ObservationMatchingEnv(gym.Env):
    def __init__(self, num_options: int = 2):
        self.num_options = num_options
        self.observation_space = Box(0, 1, shape=(num_options,), dtype=np.float32)
        self.action_space = Box(0, 1, shape=(num_options,), dtype=np.float32)
        self.seed()

def seed(self, seed=None):
        self.np_random, seed = seeding.np_random(seed)
        return [seed]

def reset(self):
        self.state = self.np_random.uniform(size=self.num_options)
```

```
return self.state

def step(self, action):
    reward = -np.abs(self.state - action).mean()
    self.state = self.np_random.uniform(size=self.num_options)
    return self.state, reward, False, {}
```

#### 2.24.2 Step 2: create the environment

From here, we have two options:

- Add the environment to the gym registry, and use it with existing utilities (e.g. make)
- Use the environment directly

You only need to execute the cells in step 2a, or step 2b to proceed.

At the end of these steps, we want to have:

- env: a single environment that we can use for training an expert with SB3
- venv: a vectorized environment where each individual environment is wrapped in RolloutInfoWrapper, that we can use for collecting rollouts with imitation

#### Step 2a (recommended): add the environment to the gym registry

The standard approach is adding the environment to the gym registry.

```
gym.register(
   id="custom/ObservationMatching-v0",
   entry_point=ObservationMatchingEnv, # This can also be the path to the class, e.g.
   →`observation_matching:ObservationMatchingEnv`
   max_episode_steps=500,
)
```

After registering, you can create an environment is gym.make(env\_id) which automatically handles the TimeLimit wrapper.

To create a vectorized env, you can use the make\_vec\_env helper function (Option A), or create it directly (Options B1 and B2)

```
from gym.wrappers import TimeLimit
from imitation.data import rollout
from imitation.data.wrappers import RolloutInfoWrapper
from imitation.util.util import make_vec_env
from stable_baselines3.common.vec_env import DummyVecEnv, SubprocVecEnv

# Create a single environment for training an expert with SB3
env = gym.make("custom/ObservationMatching-v0")

# Create a vectorized environment for training with `imitation`
# Option A: use the `make_vec_env` helper function - make sure to pass `post_
```

```
→wrappers=[lambda env, _: RolloutInfoWrapper(env)]`
venv = make_vec_env(
   "custom/ObservationMatching-v0",
   rng=np.random.default_rng(),
   n_{envs=4},
   post_wrappers=[lambda env, _: RolloutInfoWrapper(env)],
)
# Option B1: use a custom env creator, and create VecEnv directly
# def _make_env():
     """Helper function to create a single environment. Put any logic here, but make_
→sure to return a RolloutInfoWrapper."""
     _env = gym.make("custom/ObservationMatching-v0")
#
     _env = RolloutInfoWrapper(_env)
#
     return _env
# venv = DummyVecEnv([_make_env for _ in range(4)])
# # Option B2: we can also use a parallel VecEnv implementation
# venv = SubprocVecEnv([_make_env for _ in range(4)])
```

#### Step 2b: directly use the environment

Alternatively, we can directly initialize the environment by instantiating the class we created earlier, and handle all the additional logic ourselves.

```
from gym.wrappers import TimeLimit
from imitation.data import rollout
from imitation.data.wrappers import RolloutInfoWrapper
from stable_baselines3.common.vec_env import DummyVecEnv
import numpy as np
# Create a single environment for training with SB3
env = ObservationMatchingEnv()
env = TimeLimit(env, max_episode_steps=500)
# Create a vectorized environment for training with `imitation`
# Option A: use a helper function to create multiple environments
def _make_env():
    """Helper function to create a single environment. Put any logic here, but make sure
→to return a RolloutInfoWrapper."""
   _env = ObservationMatchingEnv()
   _env = TimeLimit(_env, max_episode_steps=500)
    _env = RolloutInfoWrapper(_env)
   return _env
venv = DummyVecEnv([_make_env for _ in range(4)])
```

#### 2.24.3 Step 3: Training

And now we're just about done! Whether you used step 2a or 2b, your environment should now be ready to use with SB3 and imitation.

For the sake of completeness, we'll train a BC model, the same way as in the first tutorial, but with our custom environment.

Keep in mind that while we're using BC in this tutorial, you can just as easily use any of the other algorithms with the environment prepared in this way.

```
from stable_baselines3 import PPO
from stable_baselines3.ppo import MlpPolicy
from stable_baselines3.common.evaluation import evaluate_policy
from gym.wrappers import TimeLimit
expert = PPO(
   policy=MlpPolicy,
   env=env,
   seed=0,
   batch_size=64,
   ent_coef=0.0,
   learning_rate=0.0003,
   n_epochs=10,
   n_{steps=64},
)
reward, _ = evaluate_policy(expert, env, 10)
print(f"Reward before training: {reward}")
# Note: if you followed step 2a, i.e. registered the environment, you can use the
→environment name directly
# expert = PPO(
      policy=MlpPolicy,
#
      env="custom/ObservationMatching-v0",
#
      seed=0,
      batch_size=64,
#
#
      ent_coef=0.0,
      learning_rate=0.0003,
```

```
# n_epochs=10,
# n_steps=64,
#)
expert.learn(10_000) # Note: set to 1000000 to train a proficient expert
reward, _ = evaluate_policy(expert, env, 10)
print(f"Expert reward: {reward}")
```

```
Reward before training: -249.90842133699917
Expert reward: -101.44076811687555
```

```
rng = np.random.default_rng()
rollouts = rollout.rollout(
    expert,
    venv,
    rollout.make_sample_until(min_timesteps=None, min_episodes=50),
    rng=rng,
)
transitions = rollout.flatten_trajectories(rollouts)
```

```
from imitation.algorithms import bc

bc_trainer = bc.BC(
   observation_space=env.observation_space,
   action_space=env.action_space,
   demonstrations=transitions,
   rng=rng,
)
```

As before, the untrained policy only gets poor rewards:

```
reward_before_training, _ = evaluate_policy(bc_trainer.policy, env, 10)
print(f"Reward before training: {reward_before_training}")
```

```
Reward before training: -249.54872955018655
```

After training, we can get much closer to the expert's performance:

```
bc_trainer.train(n_epochs=1)
reward_after_training, _ = evaluate_policy(bc_trainer.policy, env, 10)
print(f"Reward after training: {reward_after_training}")
```

```
| batch_size
               | 32
| bc/
                batch
                | 0
                | -0.00284 |
   ent_loss
                | 2.84
   entropy
   epoch
                1 0
   12_loss
                | 0
   12 norm
                | 68.5
```

```
loss
                  | 2.2
                  | 2.21
    neglogp
    prob_true_act | 0.115
    samples_so_far | 32
| batch_size
                  | 32
| bc/
                 batch
                | 500
    ent_loss
                 | -0.00182 |
                 | 1.82
    entropy
    epoch
                 | 0
    12_loss
                  | 0
    12_norm
                  | 74.6
    loss
                  | 1
    neglogp
                | 1.01
    prob_true_act | 0.37
    samples_so_far | 16032
Reward after training: -35.68957635128172
```

download this notebook here

# 2.25 Reliably compare algorithm performance

Did we actually match the expert performance or was it just luck? Did this hyperparameter change actually improve the performance of our algorithm? These are questions that we need to answer when we want to compare the performance of different algorithms or hyperparameters.

imitation provides some tools to help you answer these questions. For demonstration purposes, we will use Behavior Cloning on the CartPole-v1 environment. We will compare different variants of the trained algorithm, and also compare it with a more sophisticated algorithm, DAgger.

As in the first tutorial, we will start by training an expert.

```
import gym
from stable_baselines3 import PPO
from stable_baselines3.ppo import MlpPolicy

env = gym.make("CartPole-v1")
expert = PPO(
    policy=MlpPolicy,
    env=env,
    seed=0,
    batch_size=64,
    ent_coef=0.0,
    learning_rate=0.0003,
    n_epochs=10,
    n_steps=64,
)
expert.learn(10_000) # set to 100_000 for better performance
```

```
<stable_baselines3.ppo.ppo.PPO at 0x7f3b1865ae50>
```

For comparison, let's also train a not-quite-expert.

```
not_expert = PPO(
    policy=MlpPolicy,
    env=env,
    seed=0,
    batch_size=64,
    ent_coef=0.0,
    learning_rate=0.0003,
    n_epochs=10,
    n_steps=64,
)
not_expert.learn(1_000) # set to 10_000 for slightly better performance
```

```
<stable_baselines3.ppo.ppo.PPO at 0x7f3a3eb87ee0>
```

So are they any good? Let's quickly get a point estimate of their performance.

```
from stable_baselines3.common.evaluation import evaluate_policy
env.seed(0)
expert_reward, _ = evaluate_policy(expert, env, 1)
not_expert_reward, _ = evaluate_policy(not_expert, env, 1)
print(f"Expert reward: {expert_reward:.2f}")
print(f"Not expert reward: {not_expert_reward:.2f}")
```

```
Expert reward: 178.00
Not expert reward: 87.00
```

But wait! We only ran the evaluation once. What if we got lucky? Let's run the evaluation a few more times and see what happens.

```
expert_reward, _ = evaluate_policy(expert, env, 10)
not_expert_reward, _ = evaluate_policy(not_expert, env, 10)
print(f"Expert reward: {expert_reward:.2f}")
print(f"Not expert reward: {not_expert_reward:.2f}")
```

```
Expert reward: 206.70
Not expert reward: 60.80
```

Seems a bit more robust now, but how certain are we? Fortunately, imitation provides us with tools to answer this.

We will perform a permutation test using the is\_significant\_reward\_improvement function. We want to be very certain – let's set the bar high and require a p-value of 0.001.

```
from imitation.testing.reward_improvement import is_significant_reward_improvement
```

```
expert_rewards, _ = evaluate_policy(expert, env, 10, return_episode_rewards=True)
not_expert_rewards, _ = evaluate_policy(
    not_expert, env, 10, return_episode_rewards=True
)
significant = is_significant_reward_improvement(
    not_expert_rewards, expert_rewards, 0.001
)
print(
    f"The expert is {'NOT ' if not significant else ''}significantly better than the not-
    expert."
)
```

```
The expert is significantly better than the not-expert.
```

Huh, turns out we set the bar too high. We could lower our standards, but that's for cowards. Instead, we can collect more data and try again.

```
from imitation.testing.reward_improvement import is_significant_reward_improvement

expert_rewards, _ = evaluate_policy(expert, env, 100, return_episode_rewards=True)
not_expert_rewards, _ = evaluate_policy(
    not_expert, env, 100, return_episode_rewards=True
)

significant = is_significant_reward_improvement(
    not_expert_rewards, expert_rewards, 0.001
)

print(
    f"The expert is {'NOT ' if not significant else ''}significantly better than the not-
    expert."
)
```

```
The expert is significantly better than the not-expert.
```

Here we go! We can now be 99.9% confident that the expert is better than the not-expert – in this specific case, with these specific trained models. It might still be an extraordinary stroke of luck, or a conspiracy to make us choose the wrong algorithm, but outside of that, we can be pretty sure our data's correct.

We can use the same principle to with imitation learning algorithms. Let's train a behavior cloning algorithm and see how it compares to the expert. This time, we can lower the bar to the standard "scientific" threshold of 0.05.

Like in the first tutorial, we will start by collecting some expert data. But to spice it up, let's also get some data from the not-quite-expert.

```
from imitation.data import rollout
from imitation.data.wrappers import RolloutInfoWrapper
from stable_baselines3.common.vec_env import DummyVecEnv
import numpy as np
rng = np.random.default_rng()
```

```
expert_rollouts = rollout.rollout(
    expert,
    DummyVecEnv([lambda: RolloutInfoWrapper(env)]),
    rollout.make_sample_until(min_timesteps=None, min_episodes=50),
    rng=rng,
)
expert_transitions = rollout.flatten_trajectories(expert_rollouts)

not_expert_rollouts = rollout.rollout(
    not_expert,
    DummyVecEnv([lambda: RolloutInfoWrapper(env)]),
    rollout.make_sample_until(min_timesteps=None, min_episodes=50),
    rng=rng,
)
not_expert_transitions = rollout.flatten_trajectories(not_expert_rollouts)
```

Let's try cloning an expert and a non-expert, and see how they compare.

```
from imitation.algorithms import bc

expert_bc_trainer = bc.BC(
    observation_space=env.observation_space,
    action_space=env.action_space,
    demonstrations=expert_transitions,
    rng=rng,
)

not_expert_bc_trainer = bc.BC(
    observation_space=env.observation_space,
    action_space=env.action_space,
    demonstrations=not_expert_transitions,
    rng=rng,
)
```

```
expert_bc_trainer.train(n_epochs=2)
not_expert_bc_trainer.train(n_epochs=2)
```

```
| batch_size | 32
| bc/
              batch
             | 0
            | -0.000693 |
   ent_loss
   entropy
              0.693
   epoch
              | 0
   12_loss
               | 0
   12_norm
              | 72.5
   loss
               0.692
          0.693
   neglogp
   prob_true_act | 0.5
   samples_so_far | 32
```

```
| batch_size | 32
| bc/
               | 500
    batch
    ent_loss | -0.000549 |
entropy | 0.549 |
    epoch
                 | 1
    12_loss
                 | 0
    12_norm
                 | 80
    loss
                 0.53
    neglogp
                | 0.53
    prob_true_act | 0.64
    samples_so_far | 16032
| batch_size | 32
| bc/
                batch | 0 | ent_loss | -0.000693 | entropy | 0.693 |
                 | 0
    epoch
    12_loss
                 | 0
    12_norm
                | 72.5
    loss
                | 0.693
    neglogp
            | 0.693
    prob_true_act | 0.5
    samples_so_far | 32
```

```
bc_expert_rewards, _ = evaluate_policy(
   expert_bc_trainer.policy, env, 10, return_episode_rewards=True
bc_not_expert_rewards, _ = evaluate_policy(
   not_expert_bc_trainer.policy, env, 10, return_episode_rewards=True
significant = is_significant_reward_improvement(
   bc_not_expert_rewards, bc_expert_rewards, 0.05
print(f"Cloned expert rewards: {bc_expert_rewards}")
print(f"Cloned not-expert rewards: {bc_not_expert_rewards}")
print(
    f"Cloned expert is {'NOT ' if not significant else ''}significantly better than the
→cloned not-expert."
```

```
Cloned expert rewards: [209.0, 197.0, 185.0, 228.0, 180.0, 243.0, 149.0, 240.0, 192.0, ___
\rightarrow 171.07
Cloned not-expert rewards: [48.0, 118.0, 58.0, 50.0, 44.0, 46.0, 48.0, 46.0, 85.0, 47.0]
Cloned expert is significantly better than the cloned not-expert.
```

How about comparing the expert clone to the expert itself?

```
bc_clone_rewards, _ = evaluate_policy(
    expert_bc_trainer.policy, env, 10, return_episode_rewards=True
)

expert_rewards, _ = evaluate_policy(expert, env, 10, return_episode_rewards=True)

significant = is_significant_reward_improvement(bc_clone_rewards, expert_rewards, 0.05)

print(f"Cloned expert rewards: {bc_clone_rewards}")

print(f"Expert rewards: {expert_rewards}")

print(
    f"Expert is {'NOT ' if not significant else ''}significantly better than the cloned____expert."
)
```

```
Cloned expert rewards: [183.0, 187.0, 179.0, 196.0, 198.0, 155.0, 163.0, 188.0, 189.0, ... 183.0]

Expert rewards: [186.0, 178.0, 177.0, 165.0, 173.0, 192.0, 171.0, 173.0, 190.0, 226.0]

Expert is NOT significantly better than the cloned expert.
```

Turns out the expert is significantly better than the clone – again, in this case. Note, however, that this is not proof that the clone is as good as the expert – there's a subtle difference between the two claims in the context of hypothesis testing.

Note: if you changed the duration of the training at the beginning of this tutorial, you might get different results. While this might break the narrative in this tutorial, it's a good learning opportunity.

When comparing the performance of two agents, algorithms, hyperparameter sets, always remember the scope of what you're testing. In this tutorial, we have one instance of an expert – but RL training is famously unstable, so another training run with another random seed would likely produce a slightly different result. So ideally, we would like to repeat this procedure several times, training the same agent with different random seeds, and then compare the average performance of the two agents.

Even then, this is just on one environment, with one algorithm. So be wary of generalizing your results too much.

We can also use the same method to compare different algorithms. While CartPole is pretty easy, we can make it more difficult by decreasing the number of episodes in our dataset, and generating them with a suboptimal policy:

```
rollouts = rollout.rollout(
    expert,
    DummyVecEnv([lambda: RolloutInfoWrapper(env)]),
    rollout.make_sample_until(min_timesteps=None, min_episodes=1),
    rng=rng,
)
transitions = rollout.flatten_trajectories(rollouts)
```

Let's try training a behavior cloning algorithm on this dataset.

Note that for DAgger, we have to cheat a little bit - it's allowed to use the expert policy to generate additional data. For the purposes of this tutorial, we'll stick with this to avoid spending hours training an expert for a more complex environment.

So while this little experiment isn't definitive proof that DAgger is better than BC, you can use the same method to compare any two algorithms.

```
from imitation.algorithms.dagger import SimpleDAggerTrainer
import tempfile
bc_trainer = bc.BC(
   observation_space=env.observation_space,
   action_space=env.action_space,
   demonstrations=transitions,
   rng=rng,
)
bc_trainer.train(n_epochs=1)
with tempfile.TemporaryDirectory(prefix="dagger_example_") as tmpdir:
   print(tmpdir)
   dagger_bc_trainer = bc.BC(
        observation_space=env.observation_space,
        action_space=env.action_space,
       rng=np.random.default_rng(),
   )
    dagger_trainer = SimpleDAggerTrainer(
        venv=DummyVecEnv([lambda: RolloutInfoWrapper(env)]),
        scratch_dir=tmpdir,
        expert_policy=expert,
        bc_trainer=dagger_bc_trainer,
        rng=np.random.default_rng(),
   dagger_trainer.train(5000)
```

```
| batch_size | 32
ent_loss | -0.000693 |
entropy | 0.693 |
              | 0
   epoch
              | 0
   12 loss
   12_norm
             | 72.5
   loss
              0.693
   neglogp | 0.693
   prob_true_act | 0.5
   samples_so_far | 32
/tmp/dagger_example_36cg86w0
| batch_size | 32
              - 1
| bc/
             | 0
   batch
   ent_loss
              | -0.000693 |
   entropy
              0.693
   epoch
              | 0
```

|                      |           | (continued from previous page |
|----------------------|-----------|-------------------------------|
| 12_loss              | 0         |                               |
| 12_norm              | 72.5      |                               |
| loss                 | 0.692     |                               |
| neglogp              | 0.693     |                               |
| prob_true_act        | 0.5       |                               |
| samples_so_far       |           |                               |
| rollout/             | <br>I     |                               |
| return_max           | 35        |                               |
| return_mean          | 20.4      |                               |
| return_min           | 14        |                               |
|                      | 7.5       |                               |
|                      |           |                               |
|                      |           |                               |
| batch_size           | 32        |                               |
| bc/                  | İ         |                               |
| batch                | 0         |                               |
| ent_loss             | -0.000665 |                               |
| entropy              | 0.665     |                               |
| epoch                | 0.003     |                               |
| 12_loss              | 0         |                               |
|                      | 75.4      |                               |
| loss                 | 0.551     |                               |
|                      | 0.551     |                               |
|                      | 0.582     |                               |
| samples_so_far       |           |                               |
| rollout/             | J2<br>    |                               |
|                      | <br>  07  |                               |
| return_max           | 97        |                               |
| •                    | 49.8      |                               |
|                      | 36        |                               |
| return_std<br>       | 23.7      |                               |
|                      |           |                               |
| batch_size           | 32        |                               |
| bc/                  | i<br>I    |                               |
| batch                | 0         |                               |
| ent_loss             | -0.000194 |                               |
|                      | 0.194     |                               |
| epoch                | 0.134     |                               |
| l2_loss              | 0         |                               |
| 12_1055<br>  12_norm | 87.9      |                               |
| l loss               | 0.19      |                               |
| 1055<br>  neglogp    | 0.19      |                               |
|                      | 0.19      |                               |
| prob_true_act        | •         |                               |
| samples_so_far       | ) 34<br>I |                               |
| rollout/             | <br>  220 |                               |
| return_max           | 229       |                               |
| return_mean          | 160       |                               |
| return_min           | 108       |                               |
| return_std           | 51.6      |                               |
|                      |           |                               |
| <br>  batch_size     |           |                               |
| i naich S1Ze         | 32        |                               |

|                      |           | (continued from previous page |
|----------------------|-----------|-------------------------------|
| bc/                  | 1         |                               |
| batch                | 0         |                               |
| ent_loss             | -0.00025  |                               |
| entropy              | 0.25      |                               |
| epoch                | 0         |                               |
| 12_loss              | 0         |                               |
| l 12_norm            | 96.3      |                               |
| loss                 | 0.22      |                               |
| neglogp              | 0.22      |                               |
| prob_true_act        | 0.845     |                               |
| samples_so_far       |           |                               |
| rollout/             | 1 1       |                               |
| return_max           | 311       |                               |
| return_mean          | 244       |                               |
| return_min           | 192       |                               |
|                      | 39.7      |                               |
| return_std           | 39.7      |                               |
|                      |           |                               |
| batch_size           | 32        |                               |
| bc/                  | İ         |                               |
| batch                | 0         |                               |
| ent_loss             | -0.000113 |                               |
| entropy              | 0.113     |                               |
| epoch                | 0.113     |                               |
| l2_loss              | 0         |                               |
| 12_1033<br>  12_norm | 109       |                               |
| loss                 | 0.0608    |                               |
| neglogp              | 0.061     |                               |
| prob_true_act        | 0.95      |                               |
| samples_so_far       |           |                               |
|                      | 32<br>    |                               |
| rollout/             | 1 270     |                               |
| return_max           | 279       |                               |
| return_mean          | 194       |                               |
|                      | 168       |                               |
| return_std           | 42.6      |                               |
|                      |           |                               |
| batch_size           | 32        |                               |
| bc/                  | <br>I     |                               |
| batch                | 0         |                               |
| ent_loss             | -7.77e-05 |                               |
| entropy              | 0.0777    |                               |
| epoch                | 0.0777    |                               |
| l2_loss              | 0         |                               |
| 12_1033<br>12_norm   | 120       |                               |
| loss                 | 0.043     |                               |
|                      | 0.043     |                               |
| neglogp              |           |                               |
| prob_true_act        | 0.964     |                               |
| samples_so_far       | 32        |                               |
| rollout/             |           |                               |
| return_max           | 235       |                               |
| return_mean          | 188       |                               |

|                |           | (continued from previous page) |
|----------------|-----------|--------------------------------|
| return_min     | 162       |                                |
|                | 25.9      |                                |
|                |           |                                |
|                |           |                                |
| batch_size     | 32        |                                |
| bc/            | 1         |                                |
| batch          | 0         |                                |
| ent_loss       | -8.86e-05 |                                |
| entropy        | 0.0886    |                                |
| epoch          | 0         |                                |
| 12_loss        | 0         |                                |
| 12_norm        | 131       |                                |
| loss           | 0.0434    |                                |
|                | 0.0435    |                                |
| _              | 0.963     |                                |
| samples_so_far | 32        |                                |
| rollout/       | 1         |                                |
| return_max     | 178       |                                |
| return_mean    | 155       |                                |
| ' ·            | 147       |                                |
| return_std     | 11.6      |                                |
|                |           |                                |
|                |           |                                |
| batch_size     | 32        |                                |
| bc/            | 1         |                                |
| batch          | 500       |                                |
| ent_loss       | -1.42e-05 |                                |
| entropy        | 0.0142    |                                |
| epoch          | 3         |                                |
| 12_loss        | 0         |                                |
| 12_norm        | 139       |                                |
| loss           | 0.00379   |                                |
| neglogp        | 0.00381   |                                |
|                | 0.996     |                                |
| samples_so_far | 16032     |                                |
| rollout/       | I         |                                |
| return_max     | 269       |                                |
| return_mean    | 223       |                                |
| return_min     | 157       |                                |
| return_std     | 39.1      |                                |
|                |           |                                |
|                |           |                                |
| batch_size     | 32        |                                |
| bc/            | 1         |                                |
| batch          | 0         |                                |
| ent_loss       | -1.12e-05 |                                |
| entropy        | 0.0112    |                                |
| epoch          | 0         |                                |
| 12_loss        | 0         |                                |
| 12_norm        | 140       |                                |
| loss           | 0.00267   |                                |
| neglogp        | 0.00268   |                                |
|                |           | (continues on next page        |

|                   |            | (continued from previous page) |
|-------------------|------------|--------------------------------|
| prob_true_act     | 0.997      |                                |
| samples_so_far    | 32         |                                |
| rollout/          | 1          |                                |
| return_max        | 294        |                                |
| return_mean       | 202        |                                |
| return_min        | 158        |                                |
| return_std        | 48.9<br>   |                                |
| batch_size        | <br>  32   | <u>.</u>                       |
| bc/               | İ          |                                |
| batch             | 500        |                                |
| ent_loss          | -2.06e-05  |                                |
| entropy           | 0.0206     |                                |
| epoch             | 3          |                                |
| 12_loss           | 0          |                                |
| 12_norm           | 147        |                                |
| loss              | 0.0057     |                                |
| neglogp           | 0.00573    |                                |
| prob_true_act     | 0.994      |                                |
| samples_so_far    | 16032      |                                |
| rollout/          | 1          |                                |
| return_max        | 500        |                                |
| return_mean       | 257        |                                |
| return_min        | 161        |                                |
| return_std        | 124        |                                |
|                   |            |                                |
|                   |            |                                |
| batch_size        | 32         |                                |
| bc/               |            |                                |
| batch             | 0          |                                |
| ent_loss          | -4.18e-05  |                                |
| entropy           | 0.0418     |                                |
| epoch             | 0          |                                |
| 12_loss           | 0<br>  148 |                                |
| 12_norm<br>  loss | 0.0244     |                                |
| neglogp           | 0.0244     |                                |
| prob_true_act     | 0.98       |                                |
| samples_so_far    |            |                                |
| rollout/          | 52         |                                |
| return_max        | 500        |                                |
| return_mean       | 237        |                                |
| return_min        | 141        |                                |
| return_std        | 133        |                                |
|                   | ,<br>      |                                |
| batch_size        | <br>  32   | -                              |
| bc/               | I          |                                |
| batch             | 500        |                                |
| ent_loss          | -2.85e-05  |                                |
| entropy           | 0.0285     |                                |
|                   |            | (continues on next nage)       |

```
1 2
    epoch
    12_loss
                    | 0
    12_norm
                    | 154
    loss
                    0.0124
    neglogp
                    0.0124
    prob_true_act
                   0.989
    samples_so_far | 16032
| rollout/
                    | 255
    return_max
                     208
    return_mean
    return_min
                    | 166
    return_std
```

After training both BC and DAgger, let's compare their performances again! We expect DAgger to be better – after all, it's a more advanced algorithm. But is it significantly better?

```
bc_rewards, _ = evaluate_policy(bc_trainer.policy, env, 10, return_episode_rewards=True)
dagger_rewards, _ = evaluate_policy(
    dagger_trainer.policy, env, 10, return_episode_rewards=True
)
significant = is_significant_reward_improvement(bc_rewards, dagger_rewards, 0.05)
```

```
BC rewards: [107.0, 149.0, 100.0, 104.0, 114.0, 111.0, 96.0, 115.0, 140.0, 181.0]

DAgger rewards: [182.0, 500.0, 162.0, 183.0, 148.0, 141.0, 169.0, 165.0, 153.0, 172.0]

Our DAgger agent is significantly better than BC.
```

If you increased the number of training iterations for the expert (in the first cell of the tutorial), you should see that DAgger indeed performs better than BC. If you didn't, you likely see the opposite result. Yet another reason to be careful when interpreting results!

Finally, let's take a moment, to remember the limitations of this experiment. We're comparing two algorithms on one environment, with one dataset. We're also using a suboptimal expert policy, which might not be the best choice for BC. If you want to convince yourself that DAgger is better than BC, you should pick out a more complex environment, you should run this experiment several times, with different random seeds and perform some hyperparameter optimization to make sure we're not just using unlucky hyperparameters. At the end, we would also need to run the same hypothesis test across average returns of several independent runs.

But now you have all the pieces of the puzzle to do that!

# **CHAPTER**

# **THREE**

# **API REFERENCE**

| imitation | imitation: implementations of imitation and reward |
|-----------|--|
|           | learning algorithms.                               |

# 3.1 imitation

imitation: implementations of imitation and reward learning algorithms.

# Modules

| s handling environment data.                    |
|---|
| defining policies and methods to manipulate     |
| g.  |
| ents a variety of regularization techniques for |
| ghts.   |
| models: neural network modules, serialization,  |
| essing, etc.                                    |
| nd-line scripts.                                |
| methods for unit tests.                         |
| utility functions: e.g.                         |
|   |

# 3.1.1 imitation.algorithms

Implementations of imitation and reward learning algorithms.

# **Modules**

| imitation.algorithms.adversarial        | Adversarial imitation learning algorithms, AIRL and     |
|---|---|
|   | GAIL.   |
| imitation.algorithms.base               | Module of base classes and helper methods for imitation |
|   | learning algorithms.                                    |
| imitation.algorithms.bc                 | Behavioural Cloning (BC).                               |
| imitation.algorithms.dagger             | DAgger (https://arxiv.org/pdf/1011.0686.pdf).           |
| imitation.algorithms.density            | Density-based baselines for imitation learning.         |
| <pre>imitation.algorithms.mce_irl</pre> | Finite-horizon tabular Maximum Causal Entropy IRL.      |
| imitation.algorithms.                   | Learning reward models using preference comparisons.    |
| preference_comparisons                  |   |

# imitation.algorithms.adversarial

Adversarial imitation learning algorithms, AIRL and GAIL.

### **Modules**

| imitation.algorithms.adversarial.airl   | Adversarial Inverse Reinforcement Learning (AIRL).       |
|---|--|
| imitation.algorithms.adversarial.common | Core code for adversarial imitation learning, shared be- |
|   | tween GAIL and AIRL.                                     |
| imitation.algorithms.adversarial.gail   | Generative Adversarial Imitation Learning (GAIL).        |

# imitation.algorithms.adversarial.airl

Adversarial Inverse Reinforcement Learning (AIRL).

### Classes

| AIRL(*, demonstrations, demo_batch_size,) | Adversarial Inverse Reinforcement Learning (AIRL). |
|---|--|

Bases: AdversarialTrainer

Adversarial Inverse Reinforcement Learning (AIRL).

\_\_init\_\_(\*, demonstrations, demo\_batch\_size, venv, gen\_algo, reward\_net, \*\*kwargs)
Builds an AIRL trainer.

# **Parameters**

• demonstrations (Union[Iterable[Trajectory], Iterable[Mapping[str, Union[ndarray, Tensor]]], TransitionsMinimal]) — Demonstrations from an expert (optional). Transitions expressed directly as a types.TransitionsMinimal object, a sequence of trajectories, or an iterable of transition batches (mappings from keywords to arrays containing observations, etc).

- **demo\_batch\_size** (int) The number of samples in each batch of expert data. The discriminator batch size is twice this number because each discriminator batch contains a generator sample for every expert sample.
- **venv** (VecEnv) The vectorized environment to train in.
- **gen\_algo** (BaseAlgorithm) The generator RL algorithm that is trained to maximize discriminator confusion. Environment and logger will be set to *venv* and *custom\_logger*.
- reward\_net (RewardNet) Reward network; used as part of AIRL discriminator.
- **\*\*kwargs** Passed through to *AdversarialTrainer*.\_\_init\_\_.

### Raises

**TypeError** – If *gen\_algo.policy* does not have an *evaluate\_actions* attribute (present in *ActorCriticPolicy*), needed to compute log-probability of actions.

logits\_expert\_is\_high(state, action, next\_state, done, log\_policy\_act\_prob=None)

Compute the discriminator's logits for each state-action sample.

In Fu's AIRL paper (https://arxiv.org/pdf/1710.11248.pdf), the discriminator output was given as

$$D_{\theta}(s, a) = \frac{\exp r_{\theta}(s, a)}{\exp r_{\theta}(s, a) + \pi(a|s)}$$

with a high value corresponding to the expert and a low value corresponding to the generator.

In other words, the discriminator output is the probability that the action is taken by the expert rather than the generator.

The logit of the above is given as

$$logit(D_{\theta}(s, a)) = r_{\theta}(s, a) - log \pi(a|s)$$

which is what is returned by this function.

### **Parameters**

- **state** (Tensor) The state of the environment at the time of the action.
- **action** (Tensor) The action taken by the expert or generator.
- **next\_state** (Tensor) The state of the environment after the action.
- **done** (Tensor) whether a *terminal state* (as defined under the MDP of the task) has been reached.
- $\log_{policy\_act\_prob}$  (Optional[Tensor]) The log probability of the action taken by the generator,  $\log \pi(a|s)$ .

### Return type

Tensor

### Returns

The logits of the discriminator for each state-action sample.

### Raises

**TypeError** – If *log\_policy\_act\_prob* is None.

### property reward\_test: RewardNet

Returns the unshaped version of reward network used for testing.

### Return type

RewardNet

# property reward\_train: RewardNet

Reward used to train generator policy.

## **Return type**

RewardNet

venv: VecEnv

The original vectorized environment.

#### venv\_train: VecEnv

Like *self.venv*, but wrapped with train reward unless in debug mode.

If debug\_use\_ground\_truth=True was passed into the initializer then self.venv\_train is the same as self.venv.

venv\_wrapped: VecEnvWrapper

# imitation.algorithms.adversarial.common

Core code for adversarial imitation learning, shared between GAIL and AIRL.

### **Functions**

| compute_train_stats() | Train statistics for GAIL/AIRL discriminator. |
|-----------------------|---|

### **Classes**

| AdversarialTrainer(*, demonstrations,[,]) | Base class for adversarial imitation learning algorithms |
|---|--|
|   | like GAIL and AIRL.                                      |

class imitation.algorithms.adversarial.common.AdversarialTrainer(\*, demonstrations,

demo\_batch\_size, venv, gen algo, reward net, demo\_minibatch\_size=None,  $n\_disc\_updates\_per\_round=2$ , log\_dir='output/', disc\_opt\_cls=<class 'torch.optim.adam.Adam'>, disc\_opt\_kwargs=None, gen\_train\_timesteps=None, gen\_replay\_buffer\_capacity=None, custom\_logger=None, init\_tensorboard=False, init\_tensorboard\_graph=False, debug\_use\_ground\_truth=False, low\_variable\_horizon=False)

Bases: DemonstrationAlgorithm[Transitions]

Base class for adversarial imitation learning algorithms like GAIL and AIRL.

\_\_init\_\_(\*, demonstrations, demo\_batch\_size, venv, gen\_algo, reward\_net, demo\_minibatch\_size=None, n\_disc\_updates\_per\_round=2, log\_dir='output', disc\_opt\_cls=<class 'torch.optim.adam.Adam'>, disc\_opt\_kwargs=None, gen\_train\_timesteps=None, gen\_replay\_buffer\_capacity=None, custom\_logger=None, init\_tensorboard=False, init\_tensorboard\_graph=False, debug\_use\_ground\_truth=False, allow\_variable\_horizon=False)

Builds AdversarialTrainer.

#### **Parameters**

- **demonstrations** (Union[Iterable[*Trajectory*], Iterable[Mapping[str, Union[ndarray, Tensor]]], *TransitionsMinimal*]) Demonstrations from an expert (optional). Transitions expressed directly as a *types.TransitionsMinimal* object, a sequence of trajectories, or an iterable of transition batches (mappings from keywords to arrays containing observations, etc).
- **demo\_batch\_size** (int) The number of samples in each batch of expert data. The discriminator batch size is twice this number because each discriminator batch contains a generator sample for every expert sample.
- **venv** (VecEnv) The vectorized environment to train in.
- **gen\_algo** (BaseAlgorithm) The generator RL algorithm that is trained to maximize discriminator confusion. Environment and logger will be set to *venv* and *custom\_logger*.
- **reward\_net** (*RewardNet*) a Torch module that takes an observation, action and next observation tensors as input and computes a reward signal.
- demo\_minibatch\_size (Optional[int]) size of minibatch to calculate gradients over.
   The gradients are accumulated until the entire batch is processed before making an optimization step. This is useful in GPU training to reduce memory usage, since fewer examples are loaded into memory at once, facilitating training with larger batch sizes, but is generally slower. Must be a factor of demo\_batch\_size. Optional, defaults to demo\_batch\_size.
- n\_disc\_updates\_per\_round (int) The number of discriminator updates after each round of generator updates in AdversarialTrainer.learn().
- **log\_dir** (Union[str, bytes, PathLike]) Directory to store TensorBoard logs, plots, etc. in.
- disc\_opt\_cls (Type[Optimizer]) The optimizer for discriminator training.
- **disc\_opt\_kwargs** (Optional[Mapping]) Parameters for discriminator training.
- **gen\_train\_timesteps** (Optional[int]) The number of steps to train the generator policy for each iteration. If None, then defaults to the batch size (for on-policy) or number of environments (for off-policy).
- **gen\_replay\_buffer\_capacity** (Optional[int]) The capacity of the generator replay buffer (the number of obs-action-obs samples from the generator that can be stored). By default this is equal to *gen\_train\_timesteps*, meaning that we sample only from the most recent batch of generator samples.
- **custom\_logger** (Optional[*HierarchicalLogger*]) Where to log to; if None (default), creates a new logger.
- init\_tensorboard (bool) If True, makes various discriminator TensorBoard summaries.
- init\_tensorboard\_graph (bool) If both this and *init\_tensorboard* are True, then write a Tensorboard graph summary to disk.

- **debug\_use\_ground\_truth** (bool) If True, use the ground truth reward for *self.train\_env*. This disables the reward wrapping that would normally replace the environment reward with the learned reward. This is useful for sanity checking that the policy training is functional.
- allow\_variable\_horizon (bool) If False (default), algorithm will raise an exception if it detects trajectories of different length during training. If True, overrides this safety check. WARNING: variable horizon episodes leak information about the reward via termination condition, and can seriously confound evaluation. Read https://imitation.readthedocs.io/en/latest/guide/variable\_horizon.html before overriding this.

### Raises

**ValueError** – if the batch size is not a multiple of the minibatch size.

**abstract logits\_expert\_is\_high**(*state*, *action*, *next\_state*, *done*, *log\_policy\_act\_prob=None*)

Compute the discriminator's logits for each state-action sample.

A high value corresponds to predicting expert, and a low value corresponds to predicting generator.

### **Parameters**

- **state** (Tensor) state at time t, of shape (*batch\_size*,) + *state\_shape*.
- **action** (Tensor) action taken at time t, of shape (*batch\_size*,) + *action\_shape*.
- **next\_state** (Tensor) state at time t+1, of shape (batch size,) + state shape.
- **done** (Tensor) binary episode completion flag after action at time t, of shape (batch\_size,).
- **log\_policy\_act\_prob** (Optional[Tensor]) log probability of generator policy taking *action* at time t.

# Return type

Tensor

### **Returns**

Discriminator logits of shape (batch\_size,). A high output indicates an expert-like transition.

# property policy: BasePolicy

Returns a policy imitating the demonstration data.

### **Return type**

BasePolicy

### abstract property reward\_test: RewardNet

Reward used to train policy at "test" time after adversarial training.

### Return type

RewardNet

# abstract property reward\_train: RewardNet

Reward used to train generator policy.

#### Return type

RewardNet

# set\_demonstrations(demonstrations)

Sets the demonstration data.

Changing the demonstration data on-demand can be useful for interactive algorithms like DAgger.

### **Parameters**

**demonstrations** (Union[Iterable[Trajectory], Iterable[Mapping[str, Union[ndarray, Tensor]]], TransitionsMinimal]) — Either a Torch DataLoader, any other iterator that yields dictionaries containing "obs" and "acts" Tensors or NumPy arrays, TransitionKind instance, or a Sequence of Trajectory objects.

### Return type

None

train(total timesteps, callback=None)

Alternates between training the generator and discriminator.

Every "round" consists of a call to *train\_gen(self.gen\_train\_timesteps)*, a call to *train\_disc*, and finally a call to *callback(round)*.

Training ends once an additional "round" would cause the number of transitions sampled from the environment to exceed *total\_timesteps*.

#### **Parameters**

- **total\_timesteps** (int) An upper bound on the number of transitions to sample from the environment during training.
- **callback** (Optional[Callable[[int], None]]) A function called at the end of every round which takes in a single argument, the round number. Round numbers are in range(total\_timesteps // self.gen\_train\_timesteps).

# Return type

None

train\_disc(\*, expert\_samples=None, gen\_samples=None)

Perform a single discriminator update, optionally using provided samples.

### **Parameters**

- **expert\_samples** (Optional[Mapping]) Transition samples from the expert in dictionary form. If provided, must contain keys corresponding to every field of the *Transitions* dataclass except "infos". All corresponding values can be either NumPy arrays or Tensors. Extra keys are ignored. Must contain *self.demo\_batch\_size* samples. If this argument is not provided, then *self.demo\_batch\_size* expert samples from *self.demo\_data\_loader* are used by default.
- **gen\_samples** (Optional[Mapping]) Transition samples from the generator policy in same dictionary form as *expert\_samples*. If provided, must contain exactly *self.demo\_batch\_size* samples. If not provided, then take *len(expert\_samples)* samples from the generator replay buffer.

#### **Return type**

Mapping[str, float]

### Returns

Statistics for discriminator (e.g. loss, accuracy).

train\_gen(total\_timesteps=None, learn\_kwargs=None)

Trains the generator to maximize the discriminator loss.

After the end of training populates the generator replay buffer (used in discriminator training) with self.disc\_batch\_size transitions.

### **Parameters**

- **total\_timesteps** (Optional[int]) The number of transitions to sample from *self.venv\_train* during training. By default, *self.gen\_train\_timesteps*.
- learn\_kwargs (Optional[Mapping]) kwargs for the Stable Baselines RLModel.learn() method.

### **Return type**

None

#### venv: VecEnv

The original vectorized environment.

### venv\_train: VecEnv

Like *self.venv*, but wrapped with train reward unless in debug mode.

If debug\_use\_ground\_truth=True was passed into the initializer then self.venv\_train is the same as self.venv.

# venv\_wrapped: VecEnvWrapper

Train statistics for GAIL/AIRL discriminator.

#### **Parameters**

- disc\_logits\_expert\_is\_high (Tensor) discriminator logits produced by AdversarialTrainer.logits\_expert\_is\_high.
- **labels\_expert\_is\_one** (Tensor) integer labels describing whether logit was for an expert (0) or generator (1) sample.
- disc\_loss (Tensor) final discriminator loss.

### Return type

Mapping[str, float]

### Returns

A mapping from statistic names to float values.

# imitation.algorithms.adversarial.gail

Generative Adversarial Imitation Learning (GAIL).

### **Classes**

| GAIL(*, demonstrations, demo_batch_size,) | Generative Adversarial Imitation Learning (GAIL).       |
|---|---|
| RewardNetFromDiscriminatorLogit(base)     | Converts the discriminator logits raw value to a reward |
|   | signal.   |

Bases: AdversarialTrainer

Generative Adversarial Imitation Learning (GAIL).

\_\_init\_\_(\*, demonstrations, demo\_batch\_size, venv, gen\_algo, reward\_net, \*\*kwargs)

Generative Adversarial Imitation Learning.

#### **Parameters**

- **demonstrations** (Union[Iterable[*Trajectory*], Iterable[Mapping[str, Union[ndarray, Tensor]]], *TransitionsMinimal*]) Demonstrations from an expert (optional). Transitions expressed directly as a *types.TransitionsMinimal* object, a sequence of trajectories, or an iterable of transition batches (mappings from keywords to arrays containing observations, etc).
- **demo\_batch\_size** (int) The number of samples in each batch of expert data. The discriminator batch size is twice this number because each discriminator batch contains a generator sample for every expert sample.
- **venv** (VecEnv) The vectorized environment to train in.
- **gen\_algo** (BaseAlgorithm) The generator RL algorithm that is trained to maximize discriminator confusion. Environment and logger will be set to *venv* and *custom\_logger*.
- **reward\_net** (*RewardNet*) a Torch module that takes an observation, action and next observation tensor as input, then computes the logits. Used as the GAIL discriminator.
- **\*\*kwargs** Passed through to *AdversarialTrainer*.\_\_*init*\_\_.

### allow\_variable\_horizon: bool

If True, allow variable horizon trajectories; otherwise error if detected.

logits\_expert\_is\_high(state, action, next\_state, done, log\_policy\_act\_prob=None)

Compute the discriminator's logits for each state-action sample.

### **Parameters**

- **state** (Tensor) The state of the environment at the time of the action.
- **action** (Tensor) The action taken by the expert or generator.
- **next\_state** (Tensor) The state of the environment after the action.
- done (Tensor) whether a terminal state (as defined under the MDP of the task) has been reached.
- $\log_{policy\_act\_prob}$  (Optional[Tensor]) The log probability of the action taken by the generator,  $\log P(a|s)$ .

### Return type

Tensor

### **Returns**

The logits of the discriminator for each state-action sample.

# property reward\_test: RewardNet

Reward used to train policy at "test" time after adversarial training.

### Return type

RewardNet

### property reward\_train: RewardNet

Reward used to train generator policy.

# **Return type**

RewardNet

venv: VecEnv

The original vectorized environment.

venv\_train: VecEnv

Like self.venv, but wrapped with train reward unless in debug mode.

If debug use ground truth=True was passed into the initializer then self.venv train is the same as self.venv.

venv\_wrapped: VecEnvWrapper

class imitation.algorithms.adversarial.gail.RewardNetFromDiscriminatorLogit(base)

Bases: RewardNet

Converts the discriminator logits raw value to a reward signal.

Wrapper for reward network that takes in the logits of the discriminator probability distribution and outputs the corresponding reward for the GAIL algorithm.

Below is the derivation of the transformation that needs to be applied.

The GAIL paper defines the cost function of the generator as:

$$\log D$$

as shown on line 5 of Algorithm 1. In the paper, D is the probability distribution learned by the discriminator, where D(X)=1 if the trajectory comes from the generator, and D(X)=0 if it comes from the expert. In this implementation, we have decided to use the opposite convention that D(X)=0 if the trajectory comes from the generator, and D(X)=1 if it comes from the expert. Therefore, the resulting cost function is:

$$\log(1-D)$$

Since our algorithm trains using a reward function instead of a loss function, we need to invert the sign to get:

$$R = -\log(1 - D) = \log\frac{1}{1 - D}$$

Now, let L be the output of our reward net, which gives us the logits of D (L = logit D). We can write:

$$D = \operatorname{sigmoid} L = \frac{1}{1 + e^{-L}}$$

Since  $1 - \operatorname{sigmoid}(L)$  is the same as  $\operatorname{sigmoid}(-L)$ , we can write:

$$R = -\log \operatorname{sigmoid}(-L)$$

which is a non-decreasing map from the logits of D to the reward.

Builds LogSigmoidRewardNet to wrap reward net.

forward(state, action, next\_state, done)

Compute rewards for a batch of transitions and keep gradients.

Return type

Tensor

training: bool

# imitation.algorithms.base

Module of base classes and helper methods for imitation learning algorithms.

#### **Functions**

| <pre>make_data_loader(transitions, batch_size[,])</pre> | Converts demonstration data to Torch data loader. |
|---|---|

### **Classes**

| <pre>BaseImitationAlgorithm(*[, custom_logger,])</pre>  | Base class for all imitation learning algorithms.     |
|---|---|
| <pre>DemonstrationAlgorithm(*, demonstrations[,])</pre> | An algorithm that learns from demonstration: BC, IRL, |
|   | etc.  |

Bases: ABC

Base class for all imitation learning algorithms.

**\_\_init\_\_**(\*, custom\_logger=None, allow\_variable\_horizon=False)

Creates an imitation learning algorithm.

### **Parameters**

- **custom\_logger** (Optional[*HierarchicalLogger*]) Where to log to; if None (default), creates a new logger.
- allow\_variable\_horizon (bool) If False (default), algorithm will raise an exception if it detects trajectories of different length during training. If True, overrides this safety check. WARNING: variable horizon episodes leak information about the reward via termination condition, and can seriously confound evaluation. Read https://imitation.readthedocs.io/en/latest/getting-started/variable-horizon.html before overriding this.

### allow\_variable\_horizon: bool

If True, allow variable horizon trajectories; otherwise error if detected.

property logger: HierarchicalLogger

# Return type

HierarchicalLogger

Bases: BaseImitationAlgorithm, Generic[TransitionKind]

An algorithm that learns from demonstration: BC, IRL, etc.

**\_\_init\_\_**(\*, demonstrations, custom\_logger=None, allow\_variable\_horizon=False)

Creates an algorithm that learns from demonstrations.

#### **Parameters**

- **demonstrations** (Union[Iterable[*Trajectory*], Iterable[Mapping[str, Union[ndarray, Tensor]]], *TransitionsMinimal*, None]) Demonstrations from an expert (optional). Transitions expressed directly as a *types.TransitionsMinimal* object, a sequence of trajectories, or an iterable of transition batches (mappings from keywords to arrays containing observations, etc).
- **custom\_logger** (Optional[*HierarchicalLogger*]) Where to log to; if None (default), creates a new logger.
- allow\_variable\_horizon (bool) If False (default), algorithm will raise an exception if it detects trajectories of different length during training. If True, overrides this safety check. WARNING: variable horizon episodes leak information about the reward via termination condition, and can seriously confound evaluation. Read https://imitation.readthedocs.io/en/latest/getting-started/variable-horizon.html before overriding this.

#### allow\_variable\_horizon: bool

If True, allow variable horizon trajectories; otherwise error if detected.

## abstract property policy: BasePolicy

Returns a policy imitating the demonstration data.

### Return type

BasePolicy

### abstract set\_demonstrations(demonstrations)

Sets the demonstration data.

Changing the demonstration data on-demand can be useful for interactive algorithms like DAgger.

### **Parameters**

**demonstrations** (Union[Iterable[Trajectory], Iterable[Mapping[str, Union[ndarray, Tensor]]], TransitionsMinimal]) — Either a Torch DataLoader, any other iterator that yields dictionaries containing "obs" and "acts" Tensors or NumPy arrays, TransitionKind instance, or a Sequence of Trajectory objects.

### Return type

None

imitation.algorithms.base.make\_data\_loader(transitions, batch\_size, data\_loader\_kwargs=None)
Converts demonstration data to Torch data loader.

#### **Parameters**

- transitions (Union[Iterable[Trajectory], Iterable[Mapping[str, Union[ndarray, Tensor]]], TransitionsMinimal]) Transitions expressed directly as a types.TransitionsMinimal object, a sequence of trajectories, or an iterable of transition batches (mappings from keywords to arrays containing observations, etc).
- **batch\_size** (int) The size of the batch to create. Does not change the batch size if *transitions* is already an iterable of transition batches.
- data\_loader\_kwargs (Optional[Mapping[str, Any]]) Arguments to pass to th data.DataLoader.

### Return type

Iterable[Mapping[str, Union[ndarray, Tensor]]]

### Returns

An iterable of transition batches.

#### Raises

- **ValueError** if *transitions* is an iterable over transition batches with batch size not equal to *batch\_size*; or if *transitions* is transitions or a sequence of trajectories with total timesteps less than *batch\_size*.
- **TypeError** if *transitions* is an unsupported type.

# imitation.algorithms.bc

Behavioural Cloning (BC).

Trains policy by applying supervised learning to a fixed dataset of (observation, action) pairs generated by some expert demonstrator.

### **Functions**

| enumerate_batches(batch_it)                          | Prepends batch stats before the batches of a batch itera- |
|--|---|
|  | tor.  |
| <pre>reconstruct_policy(policy_path[, device])</pre> | Reconstruct a saved policy.                               |

### **Classes**

| BC(*, observation_space, action_space, rng) | Behavioral cloning (BC).                                  |
|---|---|
| BCLogger(logger)                            | Utility class to help logging information relevant to Be- |
|   | havior Cloning.   |
| BCTrainingMetrics(neglogp, entropy,)        | Container for the different components of behavior        |
|   | cloning loss.   |
| BatchIteratorWithEpochEndCallback()         | Loops through batches from a batch loader and calls a     |
|   | callback after every epoch.                               |
| BehaviorCloningLossCalculator(ent_weight,)  | Functor to compute the loss used in Behavior Cloning.     |
| RolloutStatsComputer(venv, n_episodes)      | Computes statistics about rollouts.                       |

 $Bases: {\it DemonstrationAlgorithm}$ 

Behavioral cloning (BC).

Recovers a policy via supervised learning from observation-action pairs.

\_\_init\_\_(\*, observation\_space, action\_space, rng, policy=None, demonstrations=None, batch\_size=32, minibatch\_size=None, optimizer\_cls=<class 'torch.optim.adam.Adam'>, optimizer\_kwargs=None, ent\_weight=0.001, l2\_weight=0.0, device='auto', custom\_logger=None)

Builds BC.

#### **Parameters**

- **observation\_space** (Space) the observation space of the environment.
- action\_space (Space) the action space of the environment.
- **rng** (Generator) the random state to use for the random number generator.

- policy (Optional[ActorCriticPolicy]) a Stable Baselines3 policy; if unspecified, defaults to FeedForward32Policy.
- demonstrations (Union[Iterable[Trajectory], Iterable[Mapping[str, Union[ndarray, Tensor]]], TransitionsMinimal, None]) Demonstrations from an expert (optional). Transitions expressed directly as a types.TransitionsMinimal object, a sequence of trajectories, or an iterable of transition batches (mappings from keywords to arrays containing observations, etc).
- batch\_size (int) The number of samples in each batch of expert data.
- minibatch\_size (Optional[int]) size of minibatch to calculate gradients over. The gradients are accumulated until *batch\_size* examples are processed before making an optimization step. This is useful in GPU training to reduce memory usage, since fewer examples are loaded into memory at once, facilitating training with larger batch sizes, but is generally slower. Must be a factor of *batch\_size*. Optional, defaults to *batch\_size*.
- optimizer\_cls (Type[Optimizer]) optimiser to use for supervised training.
- **optimizer\_kwargs** (Optional[Mapping[str, Any]]) keyword arguments, excluding learning rate and weight decay, for optimiser construction.
- **ent\_weight** (float) scaling applied to the policy's entropy regularization.
- 12\_weight (float) scaling applied to the policy's L2 regularization.
- **device** (Union[str, device]) name/identity of device to place policy on.
- **custom\_logger** (Optional[*HierarchicalLogger*]) Where to log to; if None (default), creates a new logger.

#### Raises

**ValueError** – If *weight\_decay* is specified in *optimizer\_kwargs* (use the parameter *l2\_weight* instead), or if the batch size is not a multiple of the minibatch size.

### allow\_variable\_horizon: bool

If True, allow variable horizon trajectories; otherwise error if detected.

### property policy: ActorCriticPolicy

Returns a policy imitating the demonstration data.

### Return type

ActorCriticPolicy

### save\_policy(policy\_path)

Save policy to a path. Can be reloaded by .reconstruct\_policy().

## **Parameters**

policy\_path (Union[str, bytes, PathLike]) - path to save policy to.

### Return type

None

### set\_demonstrations(demonstrations)

Sets the demonstration data.

Changing the demonstration data on-demand can be useful for interactive algorithms like DAgger.

#### **Parameters**

**demonstrations** (Union[Iterable[Trajectory], Iterable[Mapping[str, Union[ndarray, Tensor]]], TransitionsMinimal]) — Either a Torch DataLoader, any other iterator that yields dictionaries containing "obs" and "acts" Tensors or NumPy arrays, TransitionKind instance, or a Sequence of Trajectory objects.

# Return type

None

train(\*, n\_epochs=None, n\_batches=None, on\_epoch\_end=None, on\_batch\_end=None, log\_interval=500, log\_rollouts\_venv=None, log\_rollouts\_n\_episodes=5, progress\_bar=True, reset\_tensorboard=False)

Train with supervised learning for some number of epochs.

Here an 'epoch' is just a complete pass through the expert data loader, as set by  $self.set\_expert\_data\_loader()$ . Note, that when you specify  $n\_batches$  smaller than the number of batches in an epoch, the *on epoch end* callback will never be called.

#### **Parameters**

- **n\_epochs** (Optional[int]) Number of complete passes made through expert data before ending training. Provide exactly one of *n\_epochs* and *n\_batches*.
- **n\_batches** (Optional[int]) Number of batches loaded from dataset before ending training. Provide exactly one of *n\_epochs* and *n\_batches*.
- on\_epoch\_end (Optional[Callable[[], None]]) Optional callback with no parameters to run at the end of each epoch.
- on\_batch\_end (Optional[Callable[[], None]]) Optional callback with no parameters to run at the end of each batch.
- **log\_interval** (int) Log stats after every log\_interval batches.
- log\_rollouts\_venv (Optional[VecEnv]) If not None, then this VecEnv (whose observation and actions spaces must match *self.observation\_space* and *self.action\_space*) is used to generate rollout stats, including average return and average episode length. If None, then no rollouts are generated.
- **log\_rollouts\_n\_episodes** (int) Number of rollouts to generate when calculating rollout stats. Non-positive number disables rollouts.
- **progress\_bar** (bool) If True, then show a progress bar during training.
- **reset\_tensorboard** (bool) If True, then start plotting to Tensorboard from x=0 even if .*train()* logged to Tensorboard previously. Has no practical effect if .*train()* is being called for the first time.

```
class imitation.algorithms.bc.BCLogger(logger)
    Bases: object

Utility class to help logging information relevant to Behavior Cloning.
__init__(logger)
    Create new BC logger.

Parameters
    logger (HierarchicalLogger) - The logger to feed all the information to.

log_batch(batch_num, batch_size, num_samples_so_far, training_metrics, rollout_stats)

log_epoch(epoch_number)
reset_tensorboard_steps()
```

Bases: object

Container for the different components of behavior cloning loss.

```
__init__(neglogp, entropy, ent_loss, prob_true_act, l2_norm, l2_loss, loss)
     ent_loss: Tensor
     entropy: Optional[Tensor]
     12_loss: Tensor
     12_norm: Tensor
     loss: Tensor
     neglogp: Tensor
     prob_true_act: Tensor
class imitation.algorithms.bc.BatchIteratorWithEpochEndCallback(batch_loader, n_epochs,
                                                                       n_batches, on_epoch_end)
     Bases: object
     Loops through batches from a batch loader and calls a callback after every epoch.
     Will throw an exception when an epoch contains no batches.
     __init__(batch_loader, n_epochs, n_batches, on_epoch_end)
     batch_loader: Iterable[Mapping[str, Union[ndarray, Tensor]]]
     n_batches: Optional[int]
     n_epochs: Optional[int]
     on_epoch_end: Optional[Callable[[int], None]]
class imitation.algorithms.bc.BehaviorCloningLossCalculator(ent_weight, l2_weight)
     Bases: object
     Functor to compute the loss used in Behavior Cloning.
     __init__(ent_weight, l2_weight)
     ent_weight: float
     12_weight: float
class imitation.algorithms.bc.RolloutStatsComputer(venv, n_episodes)
     Bases: object
     Computes statistics about rollouts.
          Parameters
               • venv (Optional[VecEnv]) – The vectorized environment in which to compute the rollouts.
               • n_episodes (int) – The number of episodes to base the statistics on.
     __init__(venv, n_episodes)
     n_episodes: int
     venv: Optional[VecEnv]
```

imitation.algorithms.bc.enumerate\_batches(batch\_it)

Prepends batch stats before the batches of a batch iterator.

# Return type

Iterable[Tuple[Int, int, int], Mapping[str, Union[ndarray, Tensor]]]]

imitation.algorithms.bc.reconstruct\_policy(policy\_path, device='auto')

Reconstruct a saved policy.

#### **Parameters**

- **policy\_path** (str) path where .*save\_policy()* has been run.
- **device** (Union[device, str]) device on which to load the policy.

### **Returns**

policy with reloaded weights.

# Return type

policy

# imitation.algorithms.dagger

DAgger (https://arxiv.org/pdf/1011.0686.pdf).

Interactively trains policy by collecting some demonstrations, doing BC, collecting more demonstrations, doing BC again, etc. Initially the demonstrations just come from the expert's policy; over time, they shift to be drawn more and more from the imitator's policy.

### **Functions**

| reconstruct_trainer(scratch_dir, venv[,]) | Reconstruct trainer from the latest snapshot in some |
|---|--|
|   | working directory.                                   |

### **Classes**

| BetaSchedule()                                   | Computes beta (% of time demonstration action used)       |
|--|---|
|  | from training round.                                      |
| DAggerTrainer(*, venv, scratch_dir, rng[,])      | DAgger training class with low-level API suitable for in- |
|  | teractive human feedback.                                 |
| ExponentialBetaSchedule(decay_probability)       | Exponentially decaying schedule for beta.                 |
| <pre>InteractiveTrajectoryCollector(venv,)</pre> | DAgger VecEnvWrapper for querying and saving expert       |
|  | actions.  |
| LinearBetaSchedule(rampdown_rounds)              | Linearly-decreasing schedule for beta.                    |
| SimpleDAggerTrainer(*, venv, scratch_dir,)       | Simpler subclass of DAggerTrainer for training with       |
|  | synthetic feedback.                                       |

# **Exceptions**

| NeedsDemosException | Signals demos need to be collected for current round be- |
|---------------------|--|
|                     | fore continuing.   |

class imitation.algorithms.dagger.BetaSchedule

Bases: ABC

Computes beta (% of time demonstration action used) from training round.

Bases: BaseImitationAlgorithm

DAgger training class with low-level API suitable for interactive human feedback.

In essence, this is just BC with some helpers for incrementally resuming training and interpolating between demonstrator/learnt policies. Interaction proceeds in "rounds" in which the demonstrator first provides a fresh set of demonstrations, and then an underlying BC is invoked to fine-tune the policy on the entire set of demonstrations collected in all rounds so far. Demonstrations and policy/trainer checkpoints are stored in a directory with the following structure:

```
scratch-dir-name/
    checkpoint-001.pt
    checkpoint-002.pt
    ...
    checkpoint-XYZ.pt
    checkpoint-latest.pt
    demos/
        round-000/
        demos_round_000_000.npz
        demos_round_000_001.npz
    ...
    round-001/
        demos_round_001_000.npz
    ...
    round-XYZ/
    ...
```

# DEFAULT\_N\_EPOCHS: int = 4

The default number of BC training epochs in extend\_and\_update.

**\_\_init\_\_**(\*, venv, scratch\_dir, rng, beta\_schedule=None, bc\_trainer, custom\_logger=None)
Builds DAggerTrainer.

### **Parameters**

- **venv** (VecEnv) Vectorized training environment.
- **scratch\_dir** (Union[str, bytes, PathLike]) Directory to use to store intermediate training information (e.g. for resuming training).
- **rng** (Generator) random state for random number generation.

- **beta\_schedule** (Optional[Callable[[int], float]]) Provides a value of *beta* (the probability of taking expert action in any given state) at each round of training. If *None*, then *linear\_beta\_schedule* will be used instead.
- **bc\_trainer** (*BC*) A *BC* instance used to train the underlying policy.
- **custom\_logger** (Optional[*HierarchicalLogger*]) Where to log to; if None (default), creates a new logger.

### property batch\_size: int

### Return type

int

# create\_trajectory\_collector()

Create trajectory collector to extend current round's demonstration set.

### Return type

InteractiveTrajectoryCollector

#### Returns

A collector configured with the appropriate beta, imitator policy, etc. for the current round. Refer to the documentation for *InteractiveTrajectoryCollector* to see how to use this.

### extend\_and\_update(bc\_train\_kwargs=None)

Extend internal batch of data and train BC.

Specifically, this method will load new transitions (if necessary), train the model for a while, and advance the round counter. If there are no fresh demonstrations in the demonstration directory for the current round, then this will raise a *NeedsDemosException* instead of training or advancing the round counter. In that case, the user should call *.create\_trajectory\_collector()* and use the returned *InteractiveTrajectoryCollector* to produce a new set of demonstrations for the current interaction round.

### **Parameters**

**bc\_train\_kwargs** (Optional[Mapping[str, Any]]) – Keyword arguments for calling BC.train(). If the  $log\_rollouts\_venv$  key is not provided, then it is set to self.venv by default. If neither of the  $n\_epochs$  and  $n\_batches$  keys are provided, then  $n\_epochs$  is set to  $self.DEFAULT\_N\_EPOCHS$ .

# Return type

int

### Returns

New round number after advancing the round counter.

# property logger: HierarchicalLogger

Returns logger for this object.

### Return type

HierarchicalLogger

# property policy: BasePolicy

### Return type

BasePolicy

### save\_policy(policy\_path)

Save the current policy only (and not the rest of the trainer).

#### Parameters

policy\_path (Union[str, bytes, PathLike]) - path to save policy to.

### Return type

None

### save\_trainer()

Create a snapshot of trainer in the scratch/working directory.

The created snapshot can be reloaded with *reconstruct\_trainer()*. In addition to saving one copy of the policy in the trainer snapshot, this method saves a second copy of the policy in its own file. Having a second copy of the policy is convenient because it can be loaded on its own and passed to evaluation routines for other algorithms.

#### Returns

a path to one of the created *DAggerTrainer* checkpoints. policy\_path: a path to one of the created *DAggerTrainer* policies.

# Return type

checkpoint\_path

class imitation.algorithms.dagger.ExponentialBetaSchedule(decay\_probability)

Bases: BetaSchedule

Exponentially decaying schedule for beta.

\_\_init\_\_(decay\_probability)

Builds ExponentialBetaSchedule.

#### **Parameters**

**decay\_probability** (float) – the decay factor for beta.

#### Raises

**ValueError** – if *decay\_probability* not within (0, 1].

Bases: VecEnvWrapper

DAgger VecEnvWrapper for querying and saving expert actions.

Every call to .step(actions) accepts and saves expert actions to self.save\_dir, but only forwards expert actions to the wrapped VecEnv with probability self.beta. With probability 1 - self.beta, a "robot" action (i.e an action from the imitation policy) is forwarded instead.

Demonstrations are saved as *TrajectoryWithRew* to *self.save\_dir* at the end of every episode.

```
__init__(venv, get_robot_acts, beta, save_dir, rng)
```

Builds InteractiveTrajectoryCollector.

#### **Parameters**

- **venv** (VecEnv) vectorized environment to sample trajectories from.
- **get\_robot\_acts** (Callable[[ndarray], ndarray]) get robot actions that can be substituted for human actions. Takes a vector of observations as input & returns a vector of actions.
- **beta** (float) fraction of the time to use action given to .step() instead of robot action. The choice of robot or human action is independently randomized for each individual *Env* at every timestep.
- save\_dir (Union[str, bytes, PathLike]) directory to save collected trajectories in.
- **rng** (Generator) random state for random number generation.

#### reset()

Resets the environment.

#### Returns

first observation of a new trajectory.

### Return type

obs

### seed(seed=None)

Set the seed for the DAgger random number generator and wrapped VecEnv.

The DAgger RNG is used along with *self.beta* to determine whether the expert or robot action is forwarded to the wrapped VecEnv.

### **Parameters**

**seed** (Optional[int]) – The random seed. May be None for completely random seeding.

### Return type

List[Optional[int]]

#### Returns

A list containing the seeds for each individual env. Note that all list elements may be None, if the env does not return anything when seeded.

## step\_async(actions)

Steps with a 1 - beta chance of using self.get\_robot\_acts instead.

DAgger needs to be able to inject imitation policy actions randomly at some subset of time steps. This method has a *self.beta* chance of keeping the *actions* passed in as an argument, and a *1 - self.beta* chance of forwarding actions generated by *self.get\_robot\_acts* instead. "robot" (i.e. imitation policy) action if necessary.

At the end of every episode, a *TrajectoryWithRew* is saved to *self.save\_dir*, where every saved action is the expert action, regardless of whether the robot action was used during that timestep.

### **Parameters**

**actions** (ndarray) – the \_intended\_ demonstrator/expert actions for the current state. This will be executed with probability *self.beta*. Otherwise, a "robot" (typically a BC policy) action will be sampled and executed instead via *self.get\_robot\_act*.

### Return type

None

# step\_wait()

Returns observation, reward, etc after previous *step\_async()* call.

Stores the transition, and saves trajectory as demo once complete.

### Return type

Tuple[Union[ndarray, Dict[str, ndarray], Tuple[ndarray, ...]], ndarray, ndarray,
List[Dict]]

#### Returns

Observation, reward, dones (is terminal?) and info dict.

traj\_accum: Optional[TrajectoryAccumulator]

class imitation.algorithms.dagger.LinearBetaSchedule(rampdown\_rounds)

Bases: BetaSchedule

Linearly-decreasing schedule for beta.

```
__init__(rampdown_rounds)
```

Builds LinearBetaSchedule.

#### **Parameters**

**rampdown\_rounds** (int) – number of rounds over which to anneal beta.

### exception imitation.algorithms.dagger.NeedsDemosException

Bases: Exception

Signals demos need to be collected for current round before continuing.

Bases: DAggerTrainer

Simpler subclass of DAggerTrainer for training with synthetic feedback.

\_\_init\_\_(\*, venv, scratch\_dir, expert\_policy, rng, expert\_trajs=None, \*\*dagger\_trainer\_kwargs)
Builds SimpleDAggerTrainer.

#### **Parameters**

- **venv** (VecEnv) Vectorized training environment. Note that when the robot action is randomly injected (in accordance with *beta\_schedule* argument), every individual environment will get a robot action simultaneously for that timestep.
- **scratch\_dir** (Union[str, bytes, PathLike]) Directory to use to store intermediate training information (e.g. for resuming training).
- expert\_policy (BasePolicy) The expert policy used to generate synthetic demonstrations.
- **rng** (Generator) Random state to use for the random number generator.
- **expert\_trajs** (Optional[Sequence[*Trajectory*]]) Optional starting dataset that is inserted into the round 0 dataset.
- **dagger\_trainer\_kwargs** Other keyword arguments passed to the superclass initializer *DAggerTrainer*. *init* .

### Raises

**ValueError** – The observation or action space does not match between *venv* and *expert\_policy*.

# allow\_variable\_horizon: bool

If True, allow variable horizon trajectories; otherwise error if detected.

Train the DAgger agent.

The agent is trained in "rounds" where each round consists of a dataset aggregation step followed by BC update step.

During a dataset aggregation step, *self.expert\_policy* is used to perform rollouts in the environment but there is a *1* - *beta* chance (beta is determined from the round number and *self.beta\_schedule*) that the DAgger agent's action is used instead. Regardless of whether the DAgger agent's action is used during the rollout, the expert action and corresponding observation are always appended to the dataset. The number of environment steps in the dataset aggregation stage is determined by the *rollout round min\** arguments.

During a BC update step, BC.train() is called to update the DAgger agent on all data collected so far.

### **Parameters**

- total\_timesteps (int) The number of timesteps to train inside the environment. In practice this is a lower bound, because the number of timesteps is rounded up to finish the minimum number of episdoes or timesteps in the last DAgger training round, and the environment timesteps are executed in multiples of *self.venv.num\_envs*.
- rollout\_round\_min\_episodes (int) The number of episodes the must be completed completed before a dataset aggregation step ends.
- rollout\_round\_min\_timesteps (int) The number of environment timesteps that must be completed before a dataset aggregation step ends. Also, that any round will always train for at least *self.batch\_size* timesteps, because otherwise BC could fail to receive any batches.
- **bc\_train\_kwargs** (Optional[dict]) Keyword arguments for calling *BC.train()*. If the *log\_rollouts\_venv* key is not provided, then it is set to *self.venv* by default. If neither of the *n\_epochs* and *n\_batches* keys are provided, then *n\_epochs* is set to *self.DEFAULT\_N\_EPOCHS*.

# Return type

None

Reconstruct trainer from the latest snapshot in some working directory.

Requires vectorized environment and (optionally) a logger, as these objects cannot be serialized.

### **Parameters**

- **scratch\_dir** (Union[str, bytes, PathLike]) path to the working directory created by a previous run of this algorithm. The directory should contain *checkpoint-latest.pt* and *policy-latest.pt* files.
- **venv** (VecEnv) Vectorized training environment.
- **custom\_logger** (Optional[*HierarchicalLogger*]) Where to log to; if None (default), creates a new logger.
- **device** (Union[device, str]) device on which to load the trainer.

### Return type

*DAggerTrainer* 

### Returns

A deserialized *DAggerTrainer*.

## imitation.algorithms.density

Density-based baselines for imitation learning.

Each of these algorithms learns a density estimate on some aspect of the demonstrations, then rewards the agent for following that estimate.

### **Classes**

| <pre>DensityAlgorithm(*, demonstrations, venv, rng)</pre> | Learns a reward function based on density modeling. |
|---|---|
| DensityType(value)  | Input type the density model should use.            |

class imitation.algorithms.density.DensityAlgorithm(\*, demonstrations, venv, rng, den-

sity\_type=DensityType.STATE\_ACTION\_DENSITY, kernel='gaussian', kernel\_bandwidth=0.5, rl\_algo=None, is\_stationary=True, standardise\_inputs=True, custom\_logger=None, allow\_variable\_horizon=False)

Bases: DemonstrationAlgorithm

Learns a reward function based on density modeling.

Specifically, it constructs a non-parametric estimate of p(s), p(s,a), p(s,s') and then computes a reward using the log of these probabilities.

\_\_init\_\_(\*, demonstrations, venv, rng, density\_type=DensityType.STATE\_ACTION\_DENSITY, kernel='gaussian', kernel\_bandwidth=0.5, rl\_algo=None, is\_stationary=True, standardise\_inputs=True, custom\_logger=None, allow\_variable\_horizon=False)

Builds DensityAlgorithm.

### **Parameters**

- demonstrations (Union[Iterable[Trajectory], Iterable[Mapping[str, Union[ndarray, Tensor]]], TransitionsMinimal, None]) expert demonstration trajectories.
- **density\_type** (*DensityType*) type of density to train on: single state, state-action pairs, or state-state pairs.
- **kernel** (str) kernel to use for density estimation with *sklearn.KernelDensity*.
- **kernel\_bandwidth** (float) bandwidth of kernel. If *standardise\_inputs* is true and you are using a Gaussian kernel, then it probably makes sense to set this somewhere between 0.1 and 1.
- **venv** (VecEnv) The environment to learn a reward model in. We don't actually need any environment interaction to fit the reward model, but we use this to extract the observation and action space, and to train the RL algorithm *rl\_algo* (if specified).
- **rng** (Generator) random state for sampling from demonstrations.
- **rl\_algo** (Optional[BaseAlgorithm]) An RL algorithm to train on the resulting reward model (optional).
- **is\_stationary** (bool) if True, share same density models for all timesteps; if False, use a different density model for each timestep. A non-stationary model is particularly likely to be useful when using STATE\_DENSITY, to encourage agent to imitate entire trajectories, not just a few states that have high frequency in the demonstration dataset. If non-stationary, demonstrations must be trajectories, not transitions (which do not contain timesteps).
- **standardise\_inputs** (bool) if True, then the inputs to the reward model will be standardised to have zero mean and unit variance over the demonstration trajectories. Otherwise, inputs will be passed to the reward model with their ordinary scale.

- **custom\_logger** (Optional[*HierarchicalLogger*]) Where to log to; if None (default), creates a new logger.
- allow\_variable\_horizon (bool) If False (default), algorithm will raise an exception if it detects trajectories of different length during training. If True, overrides this safety check. WARNING: variable horizon episodes leak information about the reward via termination condition, and can seriously confound evaluation. Read https://imitation.readthedocs.io/en/latest/guide/variable\_horizon.html before overriding this.

buffering\_wrapper: BufferingWrapper

density\_type: DensityType

is\_stationary: bool

kernel: str

kernel\_bandwidth: float

property policy: BasePolicy

Returns a policy imitating the demonstration data.

Return type

BasePolicy

rl\_algo: Optional[BaseAlgorithm]

set\_demonstrations(demonstrations)

Sets the demonstration data.

Return type

None

standardise: bool

test\_policy(\*, n\_trajectories=10, true\_reward=True)

Test current imitation policy on environment & give some rollout stats.

### **Parameters**

- **n\_trajectories** (int) number of rolled-out trajectories.
- **true\_reward** (bool) should this use ground truth reward from underlying environment (True), or imitation reward (False)?

### Returns

### rollout statistics collected by

imitation.utils.rollout.rollout\_stats().

# Return type

dict

train()

Fits the density model to demonstration data *self.transitions*.

### Return type

None

# train\_policy(n\_timesteps=1000000, \*\*kwargs)

Train the imitation policy for a given number of timesteps.

### **Parameters**

- **n\_timesteps** (int) number of timesteps to train the policy for.
- **kwargs** (*dict*) extra arguments that will be passed to the *learn*() method of the imitation RL model. Refer to Stable Baselines docs for details.

# **Return type**

None

transitions: Dict[Optional[int], ndarray]

venv: VecEnv

venv\_wrapped: RewardVecEnvWrapper

wrapper\_callback: WrappedRewardCallback

class imitation.algorithms.density.DensityType(value)

Bases: Enum

Input type the density model should use.

 $STATE\_ACTION\_DENSITY = 2$ 

Density on (s,a) pairs.

 $STATE\_DENSITY = 1$ 

Density on state s.

 $STATE\_STATE\_DENSITY = 3$ 

Density on (s,s') pairs.

# imitation.algorithms.mce\_irl

Finite-horizon tabular Maximum Causal Entropy IRL.

Follows the description in chapters 9 and 10 of Brian Ziebart's PhD thesis.

# **Functions**

| <pre>mce_occupancy_measures(env, *[, reward, pi,])</pre> | Calculate state visitation frequency Ds for each state s |
|--|--|
|  | under a given policy pi.                                 |
| <pre>mce_partition_fh(env, *[, reward, discount])</pre>  | Performs the soft Bellman backup for a finite-horizon    |
|  | MDP.   |
| squeeze_r(r_output)                                      | Squeeze a reward output tensor down to one dimension,    |
|  | if necessary.  |

### **Classes**

| MCEIRL(demonstrations, env, reward_net, rng)      | Tabular MCE IRL.  |
|---|-------------------|
| TabularPolicy(state_space, action_space, pi, rng) | A tabular policy. |

Bases: DemonstrationAlgorithm[TransitionsMinimal]

Tabular MCE IRL.

Reward is a function of observations, but policy is a function of states.

The "observations" effectively exist just to let MCE IRL learn a reward in a reasonable feature space, giving a helpful inductive bias, e.g. that similar states have similar reward.

Since we are performing planning to compute the policy, there is no need for function approximation in the policy.

Creates MCE IRL.

#### **Parameters**

- demonstrations (Union[ndarray, Iterable[Trajectory], Iterable[Mapping[str, Union[ndarray, Tensor]]], TransitionsMinimal, None]) Demonstrations from an expert (optional). Can be a sequence of trajectories, or transitions, an iterable over mappings that represent a batch of transitions, or a state occupancy measure. The demonstrations must have observations one-hot coded unless demonstrations is a state-occupancy measure.
- **env** (TabularModelPOMDP) a tabular MDP.
- rng (Generator) random state used for sampling from policy.
- **reward\_net** (*RewardNet*) a neural network that computes rewards for the supplied observations.
- optimizer\_cls (Type[Optimizer]) optimizer to use for supervised training.
- optimizer\_kwargs (Optional[Mapping[str, Any]]) keyword arguments for optimizer construction.
- **discount** (float) the discount factor to use when computing occupancy measure. If not 1.0 (undiscounted), then *demonstrations* must either be a (discounted) state-occupancy measure, or trajectories. Transitions are *not allowed* as we cannot discount them appropriately without knowing the timestep they were drawn from.
- linf\_eps (float) optimisation terminates if the \$l\_{infty}\$ distance between the demonstrator's state occupancy measure and the state occupancy measure for the current reward falls below this value.
- **grad\_12\_eps** (float) optimisation also terminates if the \$ell\_2\$ norm of the MCE IRL gradient falls below this value.

- log\_interval (Optional[int]) how often to log current loss stats (using *logging*). None to disable.
- **custom\_logger** (Optional[*HierarchicalLogger*]) Where to log to; if None (default), creates a new logger.

### Raises

**ValueError** – if the env horizon is not finite (or an integer).

demo\_state\_om: Optional[ndarray]

### property policy: BasePolicy

Returns a policy imitating the demonstration data.

### Return type

BasePolicy

### set\_demonstrations(demonstrations)

Sets the demonstration data.

Changing the demonstration data on-demand can be useful for interactive algorithms like DAgger.

### **Parameters**

**demonstrations** (Union[ndarray, Iterable[*Trajectory*], Iterable[Mapping[str, Union[ndarray, Tensor]]], *TransitionsMinimal*]) — Either a Torch *DataLoader*, any other iterator that yields dictionaries containing "obs" and "acts" Tensors or NumPy arrays, *TransitionKind* instance, or a Sequence of Trajectory objects.

### **Return type**

None

train(max\_iter=1000)

Runs MCE IRL.

#### **Parameters**

**max\_iter** (int) – The maximum number of iterations to train for. May terminate earlier if *self.linf\_eps* or *self.grad\_l2\_eps* thresholds are reached.

# Return type

ndarray

### Returns

State occupancy measure for the final reward function. *self.reward\_net* and *self.optimizer* will be updated in-place during optimisation.

**class** imitation.algorithms.mce\_irl.**TabularPolicy**(*state\_space*, *action\_space*, *pi*, *rng*)

Bases: BasePolicy

A tabular policy. Cannot be trained – prediction only.

\_\_init\_\_(state\_space, action\_space, pi, rng)

Builds TabularPolicy.

### **Parameters**

- **state\_space** (Space) The state space of the environment.
- action\_space (Space) The action space of the environment.
- **pi** (ndarray) A tabular policy. Three-dimensional array, where pi[t,s,a] is the probability of taking action a at state s at timestep t.

rng (Generator) – Random state, used for sampling when predict is called with deterministic=False.

**forward**(observation, deterministic=False)

Defines the computation performed at every call.

Should be overridden by all subclasses.

**Note:** Although the recipe for forward pass needs to be defined within this function, one should call the Module instance afterwards instead of this since the former takes care of running the registered hooks while the latter silently ignores them.

## Return type

NoReturn

## pi: ndarray

predict(observation, state=None, episode\_start=None, deterministic=False)

Predict action to take in given state.

Arguments follow SB3 naming convention as this is an SB3 policy. In this convention, observations are returned by the environment, and state is a hidden state used by the policy (used by us to keep track of timesteps).

What is *observation* here is a state in the underlying MDP, and would be called *state* elsewhere in this file.

#### **Parameters**

- **observation** (Union[ndarray, Mapping[str, ndarray]]) States in the underlying MDP
- **state** (Optional[Tuple[ndarray, ...]]) Hidden states of the policy used to represent timesteps by us.
- episode\_start (Optional[ndarray]) Has episode completed?
- **deterministic** (bool) If true, pick action with highest probability; otherwise, sample.

## Return type

Tuple[ndarray, Optional[Tuple[ndarray, ...]]]

#### Returns

Tuple of the actions and new hidden states.

#### rng: Generator

```
set_pi(pi)
```

Sets tabular policy to pi.

## Return type

None

imitation.algorithms.mce\_irl.mce\_occupancy\_measures(env, \*, reward=None, pi=None, discount=1.0)

Calculate state visitation frequency Ds for each state s under a given policy pi.

You can get pi from mce\_partition\_fh.

## **Parameters**

• env (TabularModelPOMDP) – a tabular MDP.

- reward (Optional[ndarray]) reward matrix. Defaults is env.reward\_matrix.
- pi (Optional[ndarray]) policy to simulate. Defaults to soft-optimal policy w.r.t reward matrix.
- **discount** (float) rate to discount the cumulative occupancy measure D.

### Return type

Tuple[ndarray, ndarray]

#### Returns

Tuple of D (ndarray) and Dcum (ndarray). D is of shape (env.horizon, env.n\_states) and records the probability of being in a given state at a given timestep. Dcum is of shape (env.n\_states,) and records the expected discounted number of times each state is visited.

#### Raises

**ValueError** – if env.horizon is None (infinite horizon).

imitation.algorithms.mce\_irl.mce\_partition\_fh(env, \*, reward=None, discount=1.0)

Performs the soft Bellman backup for a finite-horizon MDP.

Calculates V^{soft}, Q^{soft}, and pi using recurrences (9.1), (9.2), and (9.3) from Ziebart (2010).

#### **Parameters**

- **env** (TabularModelPOMDP) a tabular, known-dynamics MDP.
- **reward** (Optional[ndarray]) a reward matrix. Defaults to env.reward\_matrix.
- **discount** (float) discount rate.

#### **Return type**

Tuple[ndarray, ndarray, ndarray]

### Returns

(V, Q, pi) corresponding to the soft values, Q-values and MCE policy. V is a 2d array, indexed V[t,s]. Q is a 3d array, indexed Q[t,s,a]. pi is a 3d array, indexed pi[t,s,a].

## Raises

**ValueError** – if env.horizon is None (infinite horizon).

imitation.algorithms.mce\_irl.squeeze\_r(r\_output)

Squeeze a reward output tensor down to one dimension, if necessary.

#### **Parameters**

 ${f r\_output}$  (th. Tensor) – output of reward model. Can be either 1D ([n\_states]) or 2D ([n\_states, 1]).

### Return type

Tensor

## Returns

squeezed reward of shape [n\_states].

# imitation.algorithms.preference\_comparisons

Learning reward models using preference comparisons.

Trains a reward model and optionally a policy based on preferences between trajectory fragments.

## **Functions**

| <pre>get_base_model(reward_model)</pre> |   |
|---|---|
|   | rtype<br>RewardNet  |
| preference_collate_fn(batch)            |   |
|   | rtype   |
|   | <pre>Tuple[Sequence[Tuple[TrajectoryWithRew TrajectoryWithRew]], ndarray]</pre> |

## **Classes**

| <pre>ActiveSelectionFragmenter(preference_model,)</pre>     | Sample fragments of trajectories based on active selec- |
|---|---|
|   | tion.   |
| AgentTrainer(algorithm, reward_fn, venv, rng)               | Wrapper for training an SB3 algorithm on an arbitrary   |
|   | reward function.  |
| BasicRewardTrainer(preference_model, loss, rng)             | Train a basic reward model.                             |
| CrossEntropyRewardLoss()                                    | Compute the cross entropy reward loss.                  |
| EnsembleTrainer(preference_model, loss, rng)                | Train a reward ensemble.                                |
| Fragmenter([custom_logger])                                 | Class for creating pairs of trajectory fragments from a |
|   | set of trajectories.                                    |
| LossAndMetrics(loss, metrics)                               | Loss and auxiliary metrics for reward network training. |
| <pre>PreferenceComparisons(trajectory_generator,)</pre>     | Main interface for reward learning using preference     |
|   | comparisons.  |
| PreferenceDataset([max_size])                               | A PyTorch Dataset for preference comparisons.           |
| <pre>PreferenceGatherer([rng, custom_logger])</pre>         | Base class for gathering preference comparisons be-     |
|   | tween trajectory fragments.                             |
| <pre>PreferenceModel(model[, noise_prob,])</pre>            | Class to convert two fragments' rewards into preference |
|   | probability.  |
| RandomFragmenter(rng[, warning_threshold,])                 | Sample fragments of trajectories uniformly at random    |
|   | with replacement.                                       |
| RewardLoss(*args, **kwargs)                                 | A loss function over preferences.                       |
| <pre>RewardTrainer(preference_model[, custom_logger])</pre> | Abstract base class for training reward models using    |
|   | preference comparisons.                                 |
| SyntheticGatherer([temperature,])                           | Computes synthetic preferences using ground-truth en-   |
| -   | vironment rewards.                                      |
| TrajectoryDataset(trajectories, rng[,])                     | A fixed dataset of trajectories.                        |
| TrajectoryGenerator([custom_logger])                        | Generator of trajectories with optional training logic. |
|   |   |

class imitation.algorithms.preference\_comparisons.ActiveSelectionFragmenter(preference\_model,

base\_fragmenter, fragment\_sample\_factor, uncertainty\_on='logit', custom\_logger=None)

Bases: Fragmenter

Sample fragments of trajectories based on active selection.

Actively picks the fragment pairs with the highest uncertainty (variance) of rewards/probabilties/predictions from ensemble model.

Initialize the active selection fragmenter.

#### **Parameters**

- **preference\_model** (*PreferenceModel*) an ensemble model that predicts the preference of the first fragment over the other.
- base\_fragmenter (Fragmenter) fragmenter instance to get fragment pairs from trajectories
- **fragment\_sample\_factor** (float) the factor of the number of fragment pairs to sample from the base\_fragmenter
- **uncertainty\_on** (str) the variable to calculate the variance on. Can be logit|probability|label.
- **custom\_logger** (Optional[*HierarchicalLogger*]) Where to log to; if None (default), creates a new logger.

## Raises

**ValueError** – Preference model not wrapped over an ensemble of networks.

raise\_uncertainty\_on\_not\_supported()

### Return type

NoReturn

property uncertainty\_on: sti

## Return type

str

variance\_estimate(rews1, rews2)

Gets the variance estimate from the rewards of a fragment pair.

## **Parameters**

- rews1 (Tensor) rewards obtained by all the ensemble models for the first fragment. Shape (fragment\_length, num\_ensemble\_members)
- rews2 (Tensor) rewards obtained by all the ensemble models for the second fragment. Shape (fragment\_length, num\_ensemble\_members)

#### Return type

float

#### Returns

the variance estimate based on the *uncertainty* on flag.

Bases: TrajectoryGenerator

Wrapper for training an SB3 algorithm on an arbitrary reward function.

\_\_init\_\_(algorithm, reward\_fn, venv, rng, exploration\_frac=0.0, switch\_prob=0.5, random\_prob=0.5, custom\_logger=None)

Initialize the agent trainer.

### **Parameters**

- algorithm (BaseAlgorithm) the stable-baselines algorithm to use for training.
- **reward\_fn** (Union[RewardFn, RewardNet]) either a RewardFn or a RewardNet instance that will supply the rewards used for training the agent.
- venv (VecEnv) vectorized environment to train in.
- **rng** (Generator) random number generator used for exploration and for sampling.
- **exploration\_frac** (float) fraction of the trajectories that will be generated partially randomly rather than only by the agent when sampling.
- **switch\_prob** (float) the probability of switching the current policy at each step for the exploratory samples.
- random\_prob (float) the probability of picking the random policy when switching during exploration.
- **custom\_logger** (Optional[*HierarchicalLogger*]) Where to log to; if None (default), creates a new logger.

property logger: HierarchicalLogger

### Return type

HierarchicalLogger

#### sample(steps)

Sample a batch of trajectories.

## **Parameters**

**steps** (int) – All trajectories taken together should have at least this many steps.

## Return type

Sequence[TrajectoryWithRew]

### Returns

A list of sampled trajectories with rewards (which should be the environment rewards, not ones from a reward model).

train(steps, \*\*kwargs)

Train the agent using the reward function specified during instantiation.

#### **Parameters**

• steps (int) – number of environment timesteps to train for

• **\*\*kwargs** – other keyword arguments to pass to BaseAlgorithm.train()

#### Raises

**RuntimeError** – Transitions left in *self.buffering\_wrapper*; call *self.sample* first to clear them.

## **Return type**

None

Bases: RewardTrainer

Train a basic reward model.

\_\_init\_\_(preference\_model, loss, rng, batch\_size=32, minibatch\_size=None, epochs=1, lr=0.001, custom\_logger=None, regularizer\_factory=None)

Initialize the reward model trainer.

#### **Parameters**

- preference\_model (PreferenceModel) the preference model to train the reward network
- loss (RewardLoss) the loss to use
- **rng** (Generator) the random number generator to use for splitting the dataset into training and validation.
- batch\_size (int) number of fragment pairs per batch
- minibatch\_size (Optional[int]) size of minibatch to calculate gradients over. The gradients are accumulated until batch\_size examples are processed before making an optimization step. This is useful in GPU training to reduce memory usage, since fewer examples are loaded into memory at once, facilitating training with larger batch sizes, but is generally slower. Must be a factor of batch\_size. Optional, defaults to batch\_size.
- **epochs** (int) number of epochs in each training iteration (can be adjusted on the fly by specifying an *epoch\_multiplier* in *self.train()* if longer training is desired in specific cases).
- **lr** (float) the learning rate
- **custom\_logger** (Optional[*HierarchicalLogger*]) Where to log to; if None (default), creates a new logger.
- regularizer\_factory (Optional[RegularizerFactory]) if you would like to apply regularization during training, specify a regularizer factory here. The factory will be used to construct a regularizer. See imitation.regularization. RegularizerFactory for more details.

### Raises

**ValueError** – if the batch size is not a multiple of the minibatch size.

regularizer: Optional[Regularizer]

## property requires\_regularizer\_update: bool

Whether the regularizer requires updating.

## Return type

bool

#### Returns

If true, this means that a validation dataset will be used.

## class imitation.algorithms.preference\_comparisons.CrossEntropyRewardLoss

Bases: RewardLoss

Compute the cross entropy reward loss.

\_\_init\_\_()

Create cross entropy reward loss.

forward(fragment\_pairs, preferences, preference\_model)

Computes the loss.

#### **Parameters**

- **fragment\_pairs** (Sequence[Tuple[*Trajectory*, *Trajectory*]]) Batch consisting of pairs of trajectory fragments.
- **preferences** (ndarray) The probability that the first fragment is preferred over the second. Typically 0, 1 or 0.5 (tie).
- **preference\_model** (*PreferenceModel*) model to predict the preferred fragment from a pair.

## **Return type**

LossAndMetrics

### Returns

## The cross-entropy loss between the probability predicted by the

reward model and the target probabilities in *preferences*. Metrics are accuracy, and gt\_reward\_loss, if the ground truth reward is available.

training: bool

 $\textbf{class} \texttt{ imitation.algorithms.preference\_comparisons.} \textbf{\textit{EnsembleTrainer}} (\textit{preference\_model}, \textit{loss}, \textit{rng}, 
batch\_size=32, minibatch\_size=None, epochs=1, lr=0.001, custom\_logger=None, regularizer\_factory=None)

Bases: BasicRewardTrainer

Train a reward ensemble.

\_\_init\_\_(preference\_model, loss, rng, batch\_size=32, minibatch\_size=None, epochs=1, lr=0.001, custom\_logger=None, regularizer\_factory=None)

Initialize the reward model trainer.

#### **Parameters**

- **preference\_model** (*PreferenceModel*) the preference model to train the reward network.
- loss (RewardLoss) the loss to use

- rng (Generator) random state for the internal RNG used in bagging
- batch\_size (int) number of fragment pairs per batch
- minibatch\_size (Optional[int]) size of minibatch to calculate gradients over. The gradients are accumulated until batch\_size examples are processed before making an optimization step. This is useful in GPU training to reduce memory usage, since fewer examples are loaded into memory at once, facilitating training with larger batch sizes, but is generally slower. Must be a factor of batch\_size. Optional, defaults to batch\_size.
- **epochs** (int) number of epochs in each training iteration (can be adjusted on the fly by specifying an *epoch\_multiplier* in *self.train()* if longer training is desired in specific cases).
- **lr** (float) the learning rate
- **custom\_logger** (Optional[*HierarchicalLogger*]) Where to log to; if None (default), creates a new logger.
- regularizer\_factory (Optional[RegularizerFactory]) A factory for creating a regularizer. If None, no regularization is used.

#### Raises

**TypeError** – if model is not a RewardEnsemble.

property logger: HierarchicalLogger

#### **Return type**

HierarchicalLogger

regularizer: Optional[Regularizer]

class imitation.algorithms.preference\_comparisons.Fragmenter(custom\_logger=None)

Bases: ABC

Class for creating pairs of trajectory fragments from a set of trajectories.

\_\_init\_\_(custom\_logger=None)

Initialize the fragmenter.

## **Parameters**

**custom\_logger** (Optional[HierarchicalLogger]) — Where to log to; if None (default), creates a new logger.

Bases: tuple

Loss and auxiliary metrics for reward network training.

loss: Tensor

metrics: Mapping[str, Tensor]

class imitation.algorithms.preference\_comparisons.PreferenceComparisons(trajectory\_generator,

```
reward_model,
num iterations,
fragmenter=None,
prefer-
ence gatherer=None,
reward trainer=None,
compari-
son_queue_size=None,
fragment_length=100,
transi-
tion\_oversampling=1,
ini-
tial_comparison_frac=0.1,
ini-
tial_epoch_multiplier=200.0,
custom_logger=None,
al-
low\_variable\_horizon = False,
rng=None,
query_schedule='hyperbolic')
```

Bases: BaseImitationAlgorithm

Main interface for reward learning using preference comparisons.

Initialize the preference comparison trainer.

The loggers of all subcomponents are overridden with the logger used by this class.

### **Parameters**

- **trajectory\_generator** (*TrajectoryGenerator*) generates trajectories while optionally training an RL agent on the learned reward function (can also be a sampler from a static dataset of trajectories though).
- reward\_model (RewardNet) a RewardNet instance to be used for learning the reward
- **num\_iterations** (int) number of times to train the agent against the reward model and then train the reward model against newly gathered preferences.
- **fragmenter** (Optional[*Fragmenter*]) takes in a set of trajectories and returns pairs of fragments for which preferences will be gathered. These fragments could be random, or they could be selected more deliberately (active learning). Default is a random fragmenter.
- **preference\_gatherer** (Optional[*PreferenceGatherer*]) how to get preferences between trajectory fragments. Default (and currently the only option) is to use synthetic preferences based on ground-truth rewards. Human preferences could be implemented here in the future.
- reward\_trainer (Optional[RewardTrainer]) trains the reward model based on pairs of fragments and associated preferences. Default is to use the preference model and loss function from DRLHP.

- **comparison\_queue\_size** (Optional[int]) the maximum number of comparisons to keep in the queue for training the reward model. If None, the queue will grow without bound as new comparisons are added.
- fragment\_length (int) number of timesteps per fragment that is used to elicit preferences
- **transition\_oversampling** (float) factor by which to oversample transitions before creating fragments. Since fragments are sampled with replacement, this is usually chosen > 1 to avoid having the same transition in too many fragments.
- initial\_comparison\_frac (float) fraction of the total\_comparisons argument to train() that will be sampled before the rest of training begins (using a randomly initialized agent). This can be used to pretrain the reward model before the agent is trained on the learned reward, to help avoid irreversibly learning a bad policy from an untrained reward. Note that there will often be some additional pretraining comparisons since comparisons\_per\_iteration won't exactly divide the total number of comparisons. How many such comparisons there are depends discontinuously on total\_comparisons and comparisons\_per\_iteration.
- **initial\_epoch\_multiplier** (float) before agent training begins, train the reward model for this many more epochs than usual (on fragments sampled from a random agent).
- **custom\_logger** (Optional[*HierarchicalLogger*]) Where to log to; if None (default), creates a new logger.
- allow\_variable\_horizon (bool) If False (default), algorithm will raise an exception if it detects trajectories of different length during training. If True, overrides this safety check. WARNING: variable horizon episodes leak information about the reward via termination condition, and can seriously confound evaluation. Read https://imitation.readthedocs.io/en/latest/guide/variable\_horizon.html before overriding this.
- rng (Optional[Generator]) random number generator to use for initializing subcomponents such as fragmenter. Only used when default components are used; if you instantiate your own fragmenter, preference gatherer, etc., you are responsible for seeding them!
- query\_schedule (Union[str, Callable[[float], float]]) one of ("constant", "hyperbolic", "inverse\_quadratic"), or a function that takes in a float between 0 and 1 inclusive, representing a fraction of the total number of timesteps elapsed up to some time T, and returns a potentially unnormalized probability indicating the fraction of total\_comparisons that should be queried at that iteration. This function will be called num\_iterations times in \_\_init\_\_() with values from np.linspace(0, 1, num\_iterations) as input. The outputs will be normalized to sum to 1 and then used to apportion the comparisons among the num\_iterations iterations.

### **Raises**

**ValueError** – if *query\_schedule* is not a valid string or callable.

## allow\_variable\_horizon: bool

If True, allow variable horizon trajectories; otherwise error if detected.

train(total\_timesteps, total\_comparisons, callback=None)

Train the reward model and the policy if applicable.

## Parameters

- total\_timesteps (int) number of environment interaction steps
- total\_comparisons (int) number of preferences to gather in total

• callback (Optional[Callable[[int], None]]) — callback functions called at the end of each iteration

## Return type

Mapping[str, Any]

#### Returns

A dictionary with final metrics such as loss and accuracy of the reward model.

class imitation.algorithms.preference\_comparisons.PreferenceDataset(max\_size=None)

Bases: Dataset

A PyTorch Dataset for preference comparisons.

Each item is a tuple consisting of two trajectory fragments and a probability that fragment 1 is preferred over fragment 2.

This dataset is meant to be generated piece by piece during the training process, which is why data can be added via the .push() method.

```
__init__(max_size=None)
```

Builds an empty PreferenceDataset.

#### **Parameters**

**max\_size** (Optional[int]) – Maximum number of preference comparisons to store in the dataset. If None (default), the dataset can grow indefinitely. Otherwise, the dataset acts as a FIFO queue, and the oldest comparisons are evicted when *push()* is called and the dataset is at max capacity.

static load(path)

## Return type

PreferenceDataset

push(fragments, preferences)

Add more samples to the dataset.

### **Parameters**

- **fragments** (Sequence[Tuple[*TrajectoryWithRew*, *TrajectoryWithRew*]]) list of pairs of trajectory fragments to add
- **preferences** (ndarray) corresponding preference probabilities (probability that fragment 1 is preferred over fragment 2)

### Raises

**ValueError** – preferences shape does not match fragments or has non-float32 dtype.

#### Return type

None

save(path)

## Return type

None

Bases: ABC

Base class for gathering preference comparisons between trajectory fragments.

\_\_init\_\_(rng=None, custom\_logger=None)

Initializes the preference gatherer.

### **Parameters**

- rng (Optional[Generator]) random number generator, if applicable.
- **custom\_logger** (Optional[*HierarchicalLogger*]) Where to log to; if None (default), creates a new logger.

Bases: Module

Class to convert two fragments' rewards into preference probability.

\_\_init\_\_(model, noise\_prob=0.0, discount\_factor=1.0, threshold=50)

Create Preference Prediction Model.

#### **Parameters**

- **model** (*RewardNet*) base model to compute reward.
- **noise\_prob** (float) assumed probability with which the preference is uniformly random (used for the model of preference generation that is used for the loss).
- **discount\_factor** (float) the model of preference generation uses a softmax of returns as the probability that a fragment is preferred. This is the discount factor used to calculate those returns. Default is 1, i.e. undiscounted sums of rewards (which is what the DRLHP paper uses).
- **threshold** (float) the preference model used to compute the loss contains a softmax of returns. To avoid overflows, we clip differences in returns that are above this threshold. This threshold is therefore in logspace. The default value of 50 means that probabilities below 2e-22 are rounded up to 2e-22.

#### Raises

**ValueError** – if *RewardEnsemble* is wrapped around a class other than *AddSTDReward-Wrapper*.

forward(fragment\_pairs)

Computes the preference probability of the first fragment for all pairs.

## Note: This function passes the gradient through for non-ensemble models.

For an ensemble model, this function should not be used for loss calculation. It can be used in case where passing the gradient is not required such as during active selection or inference time. Therefore, the EnsembleTrainer passes each member network through this function instead of passing the EnsembleNetwork object with the use of <code>ensemble\_member\_index</code>.

## **Parameters**

**fragment\_pairs** (Sequence[Tuple[Trajectory, Trajectory]]) – batch of pair of fragments.

### Return type

Tuple[Tensor, Optional[Tensor]]

#### Returns

A tuple with the first element as the preference probabilities for the first fragment for all fragment pairs given by the network(s). If the ground truth rewards are available, it also returns gt preference probabilities in the second element of the tuple (else None). Reward probability

shape - (num\_fragment\_pairs, ) for non-ensemble reward network and (num\_fragment\_pairs, num\_networks) for an ensemble of networks.

## probability(rews1, rews2)

Computes the Boltzmann rational probability the first trajectory is best.

#### **Parameters**

- **rews1** (Tensor) array/matrix of rewards for the first trajectory fragment. matrix for ensemble models and array for non-ensemble models.
- rews2 (Tensor) array/matrix of rewards for the second trajectory fragment. matrix for ensemble models and array for non-ensemble models.

## Return type

Tensor

#### Returns

The softmax of the difference between the (discounted) return of the first and second trajectory. Shape - (num\_ensemble\_members, ) for ensemble model and () for non-ensemble model which is a torch scalar.

### rewards(transitions)

Computes the reward for all transitions.

#### **Parameters**

**transitions** (*Transitions*) – batch of obs-act-obs-done for a fragment of a trajectory.

### Return type

Tensor

## Returns

The reward given by the network(s) for all the transitions. Shape -  $(num\_transitions, )$  for Single reward network and  $(num\_transitions, num\_networks)$  for ensemble of networks.

## training: bool

Bases: Fragmenter

Sample fragments of trajectories uniformly at random with replacement.

Note that each fragment is part of a single episode and has a fixed length. This leads to a bias: transitions at the beginning and at the end of episodes are less likely to occur as part of fragments (this affects the first and last fragment\_length transitions).

An additional bias is that trajectories shorter than the desired fragment length are never used.

\_\_init\_\_(rng, warning\_threshold=10, custom\_logger=None)

Initialize the fragmenter.

### **Parameters**

- ${f rng}$  (Generator) the random number generator
- warning\_threshold (int) give a warning if the number of available transitions is less than this many times the number of required samples. Set to 0 to disable this warning.
- **custom\_logger** (Optional[*HierarchicalLogger*]) Where to log to; if None (default), creates a new logger.

class imitation.algorithms.preference\_comparisons.RewardLoss(\*args, \*\*kwargs)

Bases: Module, ABC

A loss function over preferences.

abstract forward(fragment\_pairs, preferences, preference\_model)

Computes the loss.

### **Parameters**

- **fragment\_pairs** (Sequence[Tuple[*Trajectory*, *Trajectory*]]) Batch consisting of pairs of trajectory fragments.
- **preferences** (ndarray) The probability that the first fragment is preferred over the second. Typically 0, 1 or 0.5 (tie).
- **preference\_model** (*PreferenceModel*) model to predict the preferred fragment from a pair.

Returns: # noqa: DAR202

loss: the loss metrics: a dictionary of metrics that can be logged

## Return type

LossAndMetrics

training: bool

Bases: ABC

Abstract base class for training reward models using preference comparisons.

This class contains only the actual reward model training code, it is not responsible for gathering trajectories and preferences or for agent training (see :class: *PreferenceComparisons* for that).

\_\_init\_\_(preference\_model, custom\_logger=None)

Initialize the reward trainer.

### **Parameters**

- **preference\_model** (*PreferenceModel*) the preference model to train the reward network.
- **custom\_logger** (Optional[*HierarchicalLogger*]) Where to log to; if None (default), creates a new logger.

property logger: HierarchicalLogger

### Return type

HierarchicalLogger

train(dataset, epoch\_multiplier=1.0)

Train the reward model on a batch of fragment pairs and preferences.

#### **Parameters**

- dataset (*PreferenceDataset*) the dataset of preference comparisons to train on.
- **epoch\_multiplier** (float) how much longer to train for than usual (measured relatively).

## Return type

None

class imitation.algorithms.preference\_comparisons.SyntheticGatherer(temperature=1,

discount\_factor=1, sample=True, rng=None, threshold=50, custom\_logger=None)

Bases: PreferenceGatherer

Computes synthetic preferences using ground-truth environment rewards.

```
__init__(temperature=1, discount_factor=1, sample=True, rng=None, threshold=50, custom_logger=None)
```

Initialize the synthetic preference gatherer.

#### **Parameters**

- **temperature** (float) the preferences are sampled from a softmax, this is the temperature used for sampling. temperature=0 leads to deterministic results (for equal rewards, 0.5 will be returned).
- **discount\_factor** (float) discount factor that is used to compute how good a fragment is. Default is to use undiscounted sums of rewards (as in the DRLHP paper).
- **sample** (bool) if True (default), the preferences are 0 or 1, sampled from a Bernoulli distribution (or 0.5 in the case of ties with zero temperature). If False, then the underlying Bernoulli probabilities are returned instead.
- **threshold** (float) preferences are sampled from a softmax of returns. To avoid overflows, we clip differences in returns that are above this threshold (after multiplying with temperature). This threshold is therefore in logspace. The default value of 50 means that probabilities below 2e-22 are rounded up to 2e-22.
- **custom\_logger** (Optional[*HierarchicalLogger*]) Where to log to; if None (default), creates a new logger.

#### Raises

**ValueError** – if *sample* is true and no random state is provided.

Bases: TrajectoryGenerator

A fixed dataset of trajectories.

\_\_init\_\_(trajectories, rng, custom\_logger=None)

Creates a dataset loaded from path.

#### **Parameters**

- **trajectories** (Sequence[*TrajectoryWithRew*]) the dataset of rollouts.
- rng (Generator) RNG used for shuffling dataset.
- **custom\_logger** (Optional[*HierarchicalLogger*]) Where to log to; if None (default), creates a new logger.

## sample(steps)

Sample a batch of trajectories.

#### **Parameters**

**steps** (int) – All trajectories taken together should have at least this many steps.

### Return type

Sequence[TrajectoryWithRew]

#### **Returns**

A list of sampled trajectories with rewards (which should be the environment rewards, not ones from a reward model).

class imitation.algorithms.preference\_comparisons.TrajectoryGenerator(custom\_logger=None)

Bases: ABC

Generator of trajectories with optional training logic.

```
__init__(custom_logger=None)
```

Builds TrajectoryGenerator.

### **Parameters**

**custom\_logger** (Optional[HierarchicalLogger]) — Where to log to; if None (default), creates a new logger.

property logger: HierarchicalLogger

### Return type

HierarchicalLogger

## abstract sample(steps)

Sample a batch of trajectories.

### **Parameters**

steps (int) – All trajectories taken together should have at least this many steps.

### Return type

Sequence[TrajectoryWithRew]

#### Returns

A list of sampled trajectories with rewards (which should be the environment rewards, not ones from a reward model).

```
train(steps, **kwargs)
```

Train an agent if the trajectory generator uses one.

By default, this method does nothing and doesn't need to be overridden in subclasses that don't require training.

#### **Parameters**

- **steps** (int) number of environment steps to train for.
- \*\*kwargs additional keyword arguments to pass on to the training procedure.

#### Return type

None

imitation.algorithms.preference\_comparisons.get\_base\_model(reward\_model)

## Return type

RewardNet

imitation.algorithms.preference\_comparisons.preference\_collate\_fn(batch)

## Return type

Tuple[Sequence[Tuple[TrajectoryWithRew, TrajectoryWithRew]], ndarray]

## 3.1.2 imitation.data

Modules handling environment data.

For example: types for transitions/trajectories; methods to compute rollouts; buffers to store transitions; helpers for these modules.

## **Modules**

| imitation.data.buffer            | Buffers to store NumPy arrays and transitions in.      |
|----------------------------------|--|
| imitation.data.huggingface_utils | Helpers to convert between Trajectories and Hugging-   |
|                                  | Face's datasets library.                               |
| imitation.data.rollout           | Methods to collect, analyze and manipulate transition  |
|                                  | and trajectory rollouts.                               |
| imitation.data.serialize         | Serialization utilities for trajectories.              |
| imitation.data.types             | Types and helper methods for transitions and trajecto- |
|                                  | ries.  |
| imitation.data.wrappers          | Environment wrappers for collecting rollouts.          |

## imitation.data.buffer

Buffers to store NumPy arrays and transitions in.

## **Functions**

## **Classes**

| Buffer(capacity, sample_shapes, dtypes)    | A FIFO ring buffer for NumPy arrays of a fixed shape |
|--|--|
|  | and dtype.   |
| ReplayBuffer(capacity[, venv, obs_shape,]) | Buffer for Transitions.                              |

class imitation.data.buffer.Buffer(capacity, sample\_shapes, dtypes)

Bases: object

A FIFO ring buffer for NumPy arrays of a fixed shape and dtype.

Supports random sampling with replacement.

**\_\_init\_\_**(capacity, sample\_shapes, dtypes)

Constructs a Buffer.

#### **Parameters**

- **capacity** (int) The number of samples that can be stored.
- **sample\_shapes** (Mapping[str, Tuple[int, ...]]) A dictionary mapping string keys to the shape of samples associated with that key.
- dtypes (np.dtype-like) A dictionary mapping string keys to the dtype of samples associated with that key.

#### Raises

**KeyError** – *sample\_shapes* and *dtypes* have different keys.

### capacity: int

The number of data samples that can be stored in this buffer.

classmethod from\_data(data, capacity=None, truncate\_ok=False)

Constructs and return a Buffer containing the provided data.

Shapes and dtypes are automatically inferred.

#### **Parameters**

- data (Mapping[str, ndarray]) A dictionary mapping keys to data arrays. The arrays may differ in their shape, but should agree in the first axis.
- **capacity** (Optional[int]) The Buffer capacity. If not provided, then this is automatically set to the size of the data, so that the returned Buffer is at full capacity.
- **truncate\_ok** (bool) Whether to error if *capacity* < the number of samples in *data*. If False, then only store the last *capacity* samples from *data* when overcapacity.

## **Examples**

In the follow examples, suppose the arrays in data are length-1000.

Buffer with same capacity as arrays in data:

```
Buffer.from_data(data)
```

Buffer with larger capacity than arrays in data:

```
Buffer.from_data(data, 10000)
```

Buffer with smaller capacity than arrays in `data. Without truncate\_ok=True, from\_data will error:

```
Buffer.from_data(data, 5, truncate_ok=True)
```

## Return type

Buffer

#### Returns

Buffer of specified *capacity* containing provided *data*.

## Raises

- **ValueError** *data* is empty.
- **ValueError** *data* has items mapping to arrays differing in the length of their first axis.

```
sample(n_samples)
```

Uniformly sample *n\_samples* samples from the buffer with replacement.

#### **Parameters**

**n\_samples** (int) – The number of samples to randomly sample.

## Returns

## An array with shape

 $(n \ samples) + self.sample \ shape.$ 

## **Return type**

samples (np.ndarray)

## Raises

**ValueError** – The buffer is empty.

## sample\_shapes: Mapping[str, Tuple[int, ...]]

The shapes of each data sample stored in this buffer.

#### size()

Returns the number of samples stored in the buffer.

## Return type

int

store(data, truncate\_ok=False)

Stores new data samples, replacing old samples with FIFO priority.

#### **Parameters**

- data (Mapping[str, ndarray]) A dictionary mapping keys k to arrays with shape  $(n\_samples,) + self.sample\_shapes[k]$ , where  $n\_samples$  is less than or equal to self.capacity.
- **truncate\_ok** (bool) If False, then error if the length of *transitions* is greater than *self.capacity*. Otherwise, store only the final *self.capacity* transitions.

### Raises

- **ValueError** *data* is empty.
- **ValueError** If *n\_samples* is greater than *self.capacity*.
- **ValueError** data is the wrong shape.

## Return type

None

**class** imitation.data.buffer.**ReplayBuffer**(capacity, venv=None, \*, obs\_shape=None, act\_shape=None, obs\_dtype=None, act\_dtype=None)

Bases: object

Buffer for Transitions.

\_\_init\_\_(capacity, venv=None, \*, obs\_shape=None, act\_shape=None, obs\_dtype=None, act\_dtype=None)
Constructs a ReplayBuffer.

### **Parameters**

• **capacity** (int) – The number of samples that can be stored.

- **venv** (Optional[VecEnv]) The environment whose action and observation spaces can be used to determine the data shapes of the underlying buffers. Mutually exclusive with shape and dtype arguments.
- **obs\_shape** (Optional[Tuple[int, . . . ]]) The shape of the observation space.
- act\_shape (Optional[Tuple[int, ...]]) The shape of the action space.
- **obs\_dtype** (Optional[dtype]) The dtype of the observation space.
- act\_dtype (Optional[dtype]) The dtype of the action space.

#### Raises

- ValueError Couldn't infer the observation and action shapes and dtypes from the arguments.
- **ValueError** Specified both venv and shapes/dtypes.

## capacity: int

The number of data samples that can be stored in this buffer.

classmethod from\_data(transitions, capacity=None, truncate\_ok=False)

Construct and return a ReplayBuffer containing the provided data.

Shapes and dtypes are automatically inferred, and the returned ReplayBuffer is ready for sampling.

#### **Parameters**

- transitions (*Transitions*) Transitions to store.
- **capacity** (Optional[int]) The ReplayBuffer capacity. If not provided, then this is automatically set to the size of the data, so that the returned Buffer is at full capacity.
- **truncate\_ok** (bool) Whether to error if *capacity* < the number of samples in *data*. If False, then only store the last *capacity* samples from *data* when overcapacity.

## **Examples**

ReplayBuffer with same capacity as arrays in data:

```
ReplayBuffer.from_data(data)
```

ReplayBuffer with larger capacity than arrays in data:

```
ReplayBuffer.from_data(data, 10000)
```

ReplayBuffer with smaller capacity than arrays in 'data. Without truncate\_ok=True, from\_data will error:

```
ReplayBuffer.from_data(data, 5, truncate_ok=True)
```

#### **Return type**

ReplayBuffer

### Returns

A new ReplayBuffer.

```
sample(n_samples)
```

Sample obs-act-obs triples.

### **Parameters**

**n\_samples** (int) – The number of samples.

## **Return type**

Transitions

#### **Returns**

A Transitions named tuple containing n\_samples transitions.

## size()

Returns the number of samples stored in the buffer.

### Return type

Optional[int]

store(transitions, truncate\_ok=True)

Store obs-act-obs triples.

#### **Parameters**

- transitions (Transitions) Transitions to store.
- **truncate\_ok** (bool) If False, then error if the length of *transitions* is greater than *self.capacity*. Otherwise, store only the final *self.capacity* transitions.

#### Raises

**ValueError** – The arguments didn't have the same length.

## **Return type**

None

imitation.data.buffer.num\_samples(data)

Computes the number of samples contained in data.

## **Parameters**

data (Mapping[Any, ndarray]) – A Mapping from keys to NumPy arrays.

### **Return type**

int

#### Returns

The unique length of the first dimension of arrays contained in data.

## Raises

**ValueError** – The length is not unique.

## imitation.data.huggingface\_utils

Helpers to convert between Trajectories and HuggingFace's datasets library.

## **Functions**

| <pre>trajectories_to_dataset(trajectories[, info])</pre> | Convert a sequence of trajectories to a HuggingFace dataset. |
|--|--|
| trajectories_to_dict(trajectories)                       | Convert a sequence of trajectories to a dict.                |

#### **Classes**

| TrajectoryDatasetSequence(dataset) | A wrapper to present an HF dataset as a sequence of tra- |
|------------------------------------|--|
|                                    | jectories.   |

## class imitation.data.huggingface\_utils.TrajectoryDatasetSequence(dataset)

Bases: Sequence[Trajectory]

A wrapper to present an HF dataset as a sequence of trajectories.

Converts the dataset to a sequence of trajectories on the fly.

\_\_init\_\_(dataset)

Construct a TrajectoryDatasetSequence.

## property dataset

Return the underlying HF dataset.

imitation.data.huggingface\_utils.trajectories\_to\_dataset(trajectories, info=None)

Convert a sequence of trajectories to a HuggingFace dataset.

### Return type

Dataset

## imitation.data.huggingface\_utils.trajectories\_to\_dict(trajectories)

Convert a sequence of trajectories to a dict.

The dict has the following fields:

- obs: The observations. Shape: (num\_trajectories, num\_timesteps, obs\_dim).
- acts: The actions. Shape: (num\_trajectories, num\_timesteps, act\_dim).
- infos: The infos. Shape: (num\_trajectories, num\_timesteps) as jsonpickled str.
- terminal: The terminal flags. Shape: (num\_trajectories, num\_timesteps, ).
- rews: The rewards. Shape: (num\_trajectories, num\_timesteps) if applicable.

This dict can be used to construct a HuggingFace dataset.

## **Parameters**

**trajectories** (Sequence[*Trajectory*]) – The trajectories to save.

### Raises

**ValueError** – If not all trajectories have the same type, i.e. some are *Trajectory* and others are *TrajectoryWithRew*.

## Return type

Dict[str, Sequence[Any]]

## Returns

A dict representing the trajectories.

## imitation.data.rollout

Methods to collect, analyze and manipulate transition and trajectory rollouts.

#### **Functions**

| discounted_sum(arr, gamma)                                  | Calculate the discounted sum of <i>arr</i> .                       |
|---|--|
| flatten_trajectories(trajectories)                          | Flatten a series of trajectory dictionaries into arrays.           |
| flatten_trajectories_with_rew(trajectories)                 |  |
|   | rtype  |
|   | TransitionsWithRew   |
| <pre>generate_trajectories(policy, venv,[,])</pre>          | Generate trajectory dictionaries from a policy and an environment. |
| <pre>generate_transitions(policy, venv,[,])</pre>           | Generate obs-action-next_obs-reward tuples.                        |
| make_min_episodes(n)  | Terminate after collecting n episodes of data.                     |
| make_min_timesteps(n)                                       | Terminate at the first episode after collecting n timesteps        |
|   | of data.   |
| <pre>make_sample_until([min_timesteps, min_episodes])</pre> | Returns a termination condition sampling for a number              |
|   | of timesteps and episodes.   |
| <pre>policy_to_callable(policy, venv[,])</pre>              | Converts any policy-like object into a function from ob-           |
|   | servations to actions.   |
| <pre>rollout(policy, venv, sample_until, rng, *)</pre>      | Generate policy rollouts.  |
| rollout_stats(trajectories)                                 | Calculates various stats for a sequence of trajectories.           |
| unwrap_traj(traj)   | Uses RolloutInfoWrapper-captured obs and rews to re-               |
|   | place fields.  |

## **Classes**

| TrajectoryAccumulator() | Accumulates trajectories step-by-step. |
|-------------------------|--|

## class imitation.data.rollout.TrajectoryAccumulator

Bases: object

Accumulates trajectories step-by-step.

Useful for collecting completed trajectories while ignoring partially-completed trajectories (e.g. when rolling out a VecEnv to collect a set number of transitions). Each in-progress trajectory is identified by a 'key', which enables several independent trajectories to be collected at once. They key can also be left at its default value of *None* if you only wish to collect one trajectory.

## \_\_init\_\_()

Initialise the trajectory accumulator.

## add\_step(step\_dict, key=None)

Add a single step to the partial trajectory identified by key.

Generally a single step could correspond to, e.g., one environment managed by a VecEnv.

### **Parameters**

- **step\_dict** (Mapping[str, Union[ndarray, Mapping[str, Any]]]) dictionary containing information for the current step. Its keys could include any (or all) attributes of a *TrajectoryWithRew* (e.g. "obs", "acts", etc.).
- **key** (Optional[Hashable]) key to uniquely identify the trajectory to append to, if working with multiple partial trajectories.

### Return type

None

## add\_steps\_and\_auto\_finish(acts, obs, rews, dones, infos)

Calls add\_step repeatedly using acts and the returns from venv.step.

Also automatically calls  $finish\_trajectory()$  for each done == True. Before calling this method, each environment index key needs to be initialized with the initial observation (usually from venv.reset()).

See the body of *util.rollout.generate\_trajectory* for an example.

#### **Parameters**

- **acts** (ndarray) Actions passed into *VecEnv.step()*.
- **obs** (ndarray) Return value from *VecEnv.step(acts)*.
- **rews** (ndarray) Return value from *VecEnv.step(acts)*.
- **dones** (ndarray) Return value from *VecEnv.step(acts)*.
- **infos** (List[dict]) Return value from *VecEnv.step(acts)*.

## Return type

List[TrajectoryWithRew]

### Returns

A list of completed trajectories. There should be one trajectory for each *True* in the *dones* argument.

## finish\_trajectory(key, terminal)

Complete the trajectory labelled with key.

## **Parameters**

- **key** (Hashable) key uniquely identifying which in-progress trajectory to remove.
- **terminal** (bool) trajectory has naturally finished (i.e. includes terminal state).

#### Returns

## list of completed trajectories popped from

self.partial trajectories.

#### Return type

traj

## imitation.data.rollout.discounted\_sum(arr, gamma)

Calculate the discounted sum of arr.

If *arr* is an array of rewards, then this computes the return; however, it can also be used to e.g. compute discounted state occupancy measures.

### **Parameters**

• **arr** (ndarray) – 1 or 2-dimensional array to compute discounted sum over. Last axis is timestep, from current time step (first) to last timestep (last). First axis (if present) is batch dimension.

• gamma (float) – the discount factor used.

### Return type

Union[ndarray, float]

#### Returns

The discounted sum over the timestep axis. The first timestep is undiscounted, i.e. we start at gamma^0.

imitation.data.rollout.flatten\_trajectories(trajectories)

Flatten a series of trajectory dictionaries into arrays.

#### **Parameters**

**trajectories** (Sequence[*Trajectory*]) – list of trajectories.

## **Return type**

**Transitions** 

#### Returns

The trajectories flattened into a single batch of Transitions.

imitation.data.rollout.flatten\_trajectories\_with\_rew(trajectories)

## Return type

TransitionsWithRew

Generate trajectory dictionaries from a policy and an environment.

#### **Parameters**

- policy (Union[BaseAlgorithm, BasePolicy, Callable[[ndarray, Optional[Tuple[ndarray, ...]], Optional[ndarray]], Tuple[ndarray, Optional[Tuple[ndarray, ...]]]], None]) Can be any of the following: 1) A stable\_baselines3 policy or algorithm trained on the gym environment. 2) A Callable that takes an ndarray of observations and returns an ndarray of corresponding actions. 3) None, in which case actions will be sampled randomly.
- **venv** (VecEnv) The vectorized environments to interact with.
- **sample\_until** (Callable[[Sequence[*TrajectoryWithRew*]], bool]) A function determining the termination condition. It takes a sequence of trajectories, and returns a bool. Most users will want to use one of *min\_episodes* or *min\_timesteps*.
- deterministic\_policy (bool) If True, asks policy to deterministically return action. Note the trajectories might still be non-deterministic if the environment has non-determinism!
- rng (Generator) used for shuffling trajectories.

#### **Return type**

Sequence[TrajectoryWithRew]

## Returns

Sequence of trajectories, satisfying *sample\_until*. Additional trajectories may be collected to avoid biasing process towards short episodes; the user should truncate if required.

 $Generate\ obs\text{-}action\text{-}next\_obs\text{-}reward\ tuples.}$ 

#### **Parameters**

- policy (Union[BaseAlgorithm, BasePolicy, Callable[[ndarray, Optional[Tuple[ndarray, ...]], Optional[ndarray]], Tuple[ndarray, Optional[Tuple[ndarray, ...]]]], None]) Can be any of the following: A stable\_baselines3 policy or algorithm trained on the gym environment A Callable that takes an ndarray of observations and returns an ndarray of corresponding actions None, in which case actions will be sampled randomly
- **venv** (VecEnv) The vectorized environments to interact with.
- **n\_timesteps** (int) The minimum number of timesteps to sample.
- rng (Generator) The random state to use for sampling trajectories.
- **truncate** (bool) If True, then drop any additional samples to ensure that exactly *n\_timesteps* samples are returned.
- \*\*kwargs Passed-through to generate\_trajectories.

## Return type

TransitionsWithRew

#### Returns

A batch of Transitions. The length of the constituent arrays is guaranteed to be at least  $n\_timesteps$  (if specified), but may be greater unless truncate is provided as we collect data until the end of each episode.

### imitation.data.rollout.make\_min\_episodes(n)

Terminate after collecting n episodes of data.

#### **Parameters**

**n** (int) – Minimum number of episodes of data to collect. May overshoot if two episodes complete simultaneously (unlikely).

## Return type

Callable[[Sequence[TrajectoryWithRew]], bool]

#### Returns

A function implementing this termination condition.

## imitation.data.rollout.make\_min\_timesteps(n)

Terminate at the first episode after collecting n timesteps of data.

#### **Parameters**

**n** (int) – Minimum number of timesteps of data to collect. May overshoot to nearest episode boundary.

#### Return type

Callable[[Sequence[TrajectoryWithRew]], bool]

### Returns

A function implementing this termination condition.

imitation.data.rollout.make\_sample\_until(min\_timesteps=None, min\_episodes=None)

Returns a termination condition sampling for a number of timesteps and episodes.

### **Parameters**

• min\_timesteps (Optional[int]) – Sampling will not stop until there are at least this many timesteps.

• min\_episodes (Optional[int]) – Sampling will not stop until there are at least this many episodes.

## Return type

Callable[[Sequence[TrajectoryWithRew]], bool]

#### Returns

A termination condition.

#### Raises

**ValueError** – Neither of n\_timesteps and n\_episodes are set, or either are non-positive.

imitation.data.rollout.policy\_to\_callable(policy, venv, deterministic\_policy=False)

Converts any policy-like object into a function from observations to actions.

## Return type

```
Callable[[ndarray, Optional[Tuple[ndarray, ...]], Optional[ndarray]], Tuple[ndarray, Optional[Tuple[ndarray, ...]]]]
```

Generate policy rollouts.

This method is a wrapper of generate\_trajectories that allows the user to additionally replace the rewards and observations with the original values if the environment is wrapped, to exclude the infos from the trajectories, and to print summary statistics of the rollout.

The .infos field of each Trajectory is set to None to save space.

#### **Parameters**

- policy (Union[BaseAlgorithm, BasePolicy, Callable[[ndarray, Optional[Tuple[ndarray, ...]], Optional[ndarray]], Tuple[ndarray, Optional[Tuple[ndarray, ...]]]], None]) Can be any of the following: 1) A stable\_baselines3 policy or algorithm trained on the gym environment. 2) A Callable that takes an ndarray of observations and returns an ndarray of corresponding actions. 3) None, in which case actions will be sampled randomly.
- **venv** (VecEnv) The vectorized environments.
- **sample\_until** (Callable[[Sequence[*TrajectoryWithRew*]], bool]) End condition for rollout sampling.
- rng (Generator) Random state to use for sampling.
- **unwrap** (bool) If True, then save original observations and rewards (instead of potentially wrapped observations and rewards) by calling *unwrap\_traj()*.
- **exclude\_infos** (bool) If True, then exclude *infos* from pickle by setting this field to None. Excluding *infos* can save a lot of space during pickles.
- **verbose** (bool) If True, then print out rollout stats before saving.
- **\*\*kwargs** Passed through to *generate\_trajectories*.

## Return type

Sequence[TrajectoryWithRew]

## Returns

Sequence of trajectories, satisfying *sample\_until*. Additional trajectories may be collected to avoid biasing process towards short episodes; the user should truncate if required.

## imitation.data.rollout.rollout\_stats(trajectories)

Calculates various stats for a sequence of trajectories.

#### **Parameters**

**trajectories** (Sequence[*TrajectoryWithRew*]) – Sequence of trajectories.

### **Return type**

Mapping[str, float]

#### **Returns**

Dictionary containing  $n\_traj$  collected (int), along with episode return statistics (keys:  $\{monitor\_\}\$  return $\{min,mean,std,max\}$ , float values) and trajectory length statistics (keys:  $len\_\{min,mean,std,max\}$ , float values).

*return\_\** values are calculated from environment rewards. *monitor\_\** values are calculated from Monitor-captured rewards, and are only included if the *trajectories* contain Monitor infos.

### imitation.data.rollout.unwrap\_traj(traj)

Uses RolloutInfoWrapper-captured obs and rews to replace fields.

This can be useful for bypassing other wrappers to retrieve the original *obs* and *rews*.

Fails if *infos* is None or if the trajectory was generated from an environment without imitation.data.wrappers.RolloutInfoWrapper

#### **Parameters**

**traj** (*TrajectoryWithRew*) – A trajectory generated from *RolloutInfoWrapper*-wrapped Environments.

## **Return type**

TrajectoryWithRew

## Returns

A copy of traj with replaced obs and rews fields.

#### Raises

**ValueError** – If *traj.infos* is None

#### imitation.data.serialize

Serialization utilities for trajectories.

## **Functions**

| load(path)               | Loads a sequence of trajectories saved by save() from      |
|--------------------------|--|
|                          | path.  |
| load_with_rewards(path)  | Loads a sequence of trajectories with rewards from a file. |
| save(path, trajectories) | Save a sequence of Trajectories to disk using Hugging-     |
|                          | Face's datasets library.                                   |

## imitation.data.serialize.load(path)

Loads a sequence of trajectories saved by save() from path.

## **Return type**

Sequence[Trajectory]

## imitation.data.serialize.load\_with\_rewards(path)

Loads a sequence of trajectories with rewards from a file.

## **Return type**

Sequence[TrajectoryWithRew]

imitation.data.serialize.save(path, trajectories)

Save a sequence of Trajectories to disk using HuggingFace's datasets library.

#### **Parameters**

- path (Union[str, bytes, PathLike]) Trajectories are saved to this path.
- **trajectories** (Sequence[*Trajectory*]) The trajectories to save.

## **Return type**

None

## imitation.data.types

Types and helper methods for transitions and trajectories.

### **Functions**

| dataclass_quick_asdict(obj)   | Extract dataclass to items using <i>dataclasses.fields</i> + dict |
|-------------------------------|---|
|                               | comprehension.  |
| transitions_collate_fn(batch) | Custom torch.utils.data.DataLoader collate_fn for                 |
|                               | TransitionsMinimal.   |

## Classes

| Trajectory(obs, acts, infos, terminal)         | A trajectory, e.g.  |
|--|---|
| TrajectoryWithRew(obs, acts, infos,)           | A Trajectory that additionally includes reward informa-   |
|  | tion.   |
| Transitions(obs, acts, infos, next_obs, dones) | A batch of obs-act-obs-done transitions.                  |
| TransitionsMinimal(obs, acts, infos)           | A Torch-compatible <i>Dataset</i> of obs-act transitions. |
| TransitionsWithRew(obs, acts, infos,)          | A batch of obs-act-obs-rew-done transitions.              |

## class imitation.data.types.Trajectory(obs, acts, infos, terminal)

Bases: object

A trajectory, e.g. a one episode rollout from an expert policy.

\_\_init\_\_(obs, acts, infos, terminal)

## acts: ndarray

Actions, shape (trajectory\_len, ) + action\_shape.

## infos: Optional[ndarray]

An array of info dicts, shape (trajectory\_len, ).

The info dict is returned by some environments step() and contains auxiliary diagnostic information. For example the monitor wrapper adds an info dict to the last step of each episode containing the episode return and length.

```
obs: ndarray
           Observations, shape (trajectory_len + 1, ) + observation_shape.
     terminal: bool
           Does this trajectory (fragment) end in a terminal state?
           Episodes are always terminal. Trajectory fragments are also terminal when they contain the final state of
           an episode (even if missing the start of the episode).
class imitation.data.types.TrajectoryWithRew(obs, acts, infos, terminal, rews)
     Bases: Trajectory
     A Trajectory that additionally includes reward information.
     __init__(obs, acts, infos, terminal, rews)
     rews: ndarray
           Reward, shape (trajectory_len, ). dtype float.
class imitation.data.types.Transitions(obs, acts, infos, next_obs, dones)
     Bases: TransitionsMinimal
     A batch of obs-act-obs-done transitions.
     __init__(obs, acts, infos, next_obs, dones)
     dones: ndarray
           (batch_size, ).
           done[i] is true iff next_obs[i] the last observation of an episode.
               Type
                   Boolean array indicating episode termination. Shape
     next_obs: ndarray
           (batch_size, ) + observation_shape.
           The i'th observation next_obs[i] in this array is the observation after the agent has taken action acts[i].
           Invariants:
                 • next_obs.dtype == obs.dtype
                 • len(next \ obs) == len(obs)
               Type
                   New observation. Shape
class imitation.data.types.TransitionsMinimal(obs, acts, infos)
     Bases: Dataset, Sequence[Mapping[str, ndarray]]
```

A Torch-compatible Dataset of obs-act transitions.

This class and its subclasses are usually instantiated via imitation.data.rollout.flatten\_trajectories.

Indexing an instance trans of TransitionsMinimal with an integer i returns the i'th 'Dict[str, np.ndarray] sample, whose keys are the field names of each dataclass field and whose values are the ith elements of each field value.

Slicing returns a possibly empty instance of TransitionsMinimal where each field has been sliced.

```
__init__(obs, acts, infos)
```

```
acts: ndarray
           (batch_size,) + action_shape.
               Type
                   Actions. Shape
     infos: ndarray
           (batch_size,).
               Type
                   Array of info dicts. Shape
     obs: ndarray
           (batch_size, ) + observation_shape.
           The i'th observation obs[i] in this array is the observation seen by the agent when choosing action acts[i].
           obs[i] is not required to be from the timestep preceding obs[i+1].
               Type
                   Previous observations. Shape
class imitation.data.types.TransitionsWithRew(obs, acts, infos, next_obs, dones, rews)
     Bases: Transitions
     A batch of obs-act-obs-rew-done transitions.
     __init__(obs, acts, infos, next obs, dones, rews)
     rews: ndarray
           (batch_size, ). dtype float.
           The reward rew[i] at the i'th timestep is received after the agent has taken action acts[i].
                   Reward. Shape
imitation.data.types.dataclass_quick_asdict(obj)
     Extract dataclass to items using dataclasses.fields + dict comprehension.
     This is a quick alternative to dataclasses.asdict, which expensively and undocumentedly deep-copies every
     numpy array value. See https://stackoverflow.com/a/52229565/1091722.
           Parameters
               obj – A dataclass instance.
           Return type
               Dict[str, Any]
           Returns
               A dictionary mapping from obj field names to values.
imitation.data.types.transitions_collate_fn(batch)
     Custom torch.utils.data.DataLoader collate_fn for TransitionsMinimal.
     Use this as the collate_fn argument to DataLoader if using an instance of TransitionsMinimal as the dataset
     argument.
           Parameters
               batch (Sequence[Mapping[str, ndarray]]) – The batch to collate.
           Return type
               Mapping[str, Union[ndarray, Tensor]]
```

#### Returns

A collated batch. Uses Torch's default collate function for everything except the "infos" key. For "infos", we join all the info dicts into a list of dicts. (The default behavior would recursively collate every info dict into a single dict, which is incorrect.)

## imitation.data.wrappers

Environment wrappers for collecting rollouts.

#### **Classes**

| BufferingWrapper(venv[,]) | Saves transitions of underlying VecEnv.                          |
|---------------------------|--|
| RolloutInfoWrapper(env)   | Add the entire episode's rewards and observations to <i>info</i> |
|                           | at episode end.  |

## class imitation.data.wrappers.BufferingWrapper(venv, error\_on\_premature\_reset=True)

Bases: VecEnvWrapper

Saves transitions of underlying VecEnv.

Retrieve saved transitions using *pop\_transitions()*.

\_\_init\_\_(venv, error\_on\_premature\_reset=True)

Builds BufferingWrapper.

#### **Parameters**

- **venv** (VecEnv) The wrapped VecEnv.
- **error\_on\_premature\_reset** (bool) Error if *reset()* is called on this wrapper and there are saved samples that haven't yet been accessed.

# error\_on\_premature\_event: bool

n\_transitions: Optional[int]

## pop\_finished\_trajectories()

Pops recorded complete trajectories *trajs* and episode lengths *ep\_lens*.

#### Return type

Tuple[Sequence[TrajectoryWithRew], Sequence[int]]

## Returns

A tuple (*trajs*, *ep\_lens*) where *trajs* is a sequence of trajectories including the terminal state (but possibly missing initial states, if *pop\_trajectories* was previously called) and *ep\_lens* is a sequence of episode lengths. Note the episode length will be longer than the trajectory length when the trajectory misses initial states.

## pop\_trajectories()

Pops recorded trajectories *trajs* and episode lengths *ep\_lens*.

## Return type

Tuple[Sequence[TrajectoryWithRew], Sequence[int]]

#### Returns

A tuple (trajs, ep\_lens). trajs is a sequence of trajectory fragments, consisting of data collected after the last call to pop\_trajectories. They may miss initial states (if pop\_trajectories

previously returned a fragment for that episode), and terminal states (if the episode has yet to complete).  $ep\_lens$  is the total length of completed episodes.

## pop\_transitions()

Pops recorded transitions, returning them as an instance of Transitions.

### Return type

TransitionsWithRew

#### Returns

All transitions recorded since the last call.

#### Raises

**RuntimeError** – empty (no transitions recorded since last pop).

## reset(\*\*kwargs)

Reset all the environments and return an array of observations, or a tuple of observation arrays.

If step\_async is still doing work, that work will be cancelled and step\_wait() should not be called until step\_async() is invoked again.

#### Returns

observation

## step\_async(actions)

Tell all the environments to start taking a step with the given actions. Call step\_wait() to get the results of the step.

You should not call this if a step\_async run is already pending.

### step\_wait()

Wait for the step taken with step\_async().

## Returns

observation, reward, done, information

## class imitation.data.wrappers.RolloutInfoWrapper(env)

Bases: Wrapper

Add the entire episode's rewards and observations to info at episode end.

Whenever done=True, *info["rollouts"]* is a dict with keys "obs" and "rews", whose corresponding values hold the NumPy arrays containing the raw observations and rewards seen during this episode.

```
__init__(env)
```

Builds RolloutInfoWrapper.

### **Parameters**

**env** (Env) – Environment to wrap.

## reset(\*\*kwargs)

Resets the environment to an initial state and returns an initial observation.

Note that this function should not reset the environment's random number generator(s); random variables in the environment's state should be sampled independently between multiple calls to *reset()*. In other words, each call of *reset()* should yield an environment suitable for a new episode, independent of previous episodes.

## Returns

the initial observation.

## Return type

observation (object)

## step(action)

Run one timestep of the environment's dynamics. When end of episode is reached, you are responsible for calling *reset()* to reset this environment's state.

Accepts an action and returns a tuple (observation, reward, done, info).

#### **Parameters**

action (object) - an action provided by the agent

#### Returns

agent's observation of the current environment reward (float): amount of reward returned after previous action done (bool): whether the episode has ended, in which case further step() calls will return undefined results info (dict): contains auxiliary diagnostic information (helpful for debugging, and sometimes learning)

## Return type

observation (object)

## 3.1.3 imitation.policies

Classes defining policies and methods to manipulate them (e.g. serialization).

## **Modules**

| imitation.policies.base                             | Custom policy classes and convenience methods.            |
|---|---|
| imitation.policies.exploration_wrapper              | Wrapper to turn a policy into a more exploratory version. |
| <pre>imitation.policies.replay_buffer_wrapper</pre> | Wrapper for reward labeling for transitions sampled       |
|   | from a replay buffer.                                     |
| imitation.policies.serialize                        | Load serialized policies of different types.              |

## imitation.policies.base

Custom policy classes and convenience methods.

#### **Classes**

| FeedForward32Policy(*args, **kwargs)                        | A feed forward policy network with two hidden layers of 32 units. |
|---|---|
| <pre>HardCodedPolicy(observation_space, action_space)</pre> | Abstract class for hard-coded (non-trainable) policies.           |
| NormalizeFeaturesExtractor(observation_space)               | Feature extractor that flattens then normalizes input.            |
| RandomPolicy(observation_space, action_space)               | Returns random actions.   |
| SAC1024Policy(*args, **kwargs)                              | Actor and value networks with two hidden layers of 1024           |
|   | units respectively.   |
| ZeroPolicy(observation_space, action_space)                 | Returns constant zero action.                                     |

## class imitation.policies.base.FeedForward32Policy(\*args, \*\*kwargs)

Bases: ActorCriticPolicy

A feed forward policy network with two hidden layers of 32 units.

This matches the IRL policies in the original AIRL paper.

Note: This differs from stable\_baselines3 ActorCriticPolicy in two ways: by having 32 rather than 64 units, and by having policy and value networks share weights except at the final layer, where there are different linear heads.

```
__init__(*args, **kwargs)
```

Builds FeedForward32Policy; arguments passed to ActorCriticPolicy.

#### training: bool

## class imitation.policies.base.HardCodedPolicy(observation\_space, action\_space)

Bases: BasePolicy, ABC

Abstract class for hard-coded (non-trainable) policies.

**\_\_init\_\_**(observation\_space, action\_space)

Builds HardcodedPolicy with specified observation and action space.

### forward(\*args)

Defines the computation performed at every call.

Should be overridden by all subclasses.

**Note:** Although the recipe for forward pass needs to be defined within this function, one should call the Module instance afterwards instead of this since the former takes care of running the registered hooks while the latter silently ignores them.

#### training: bool

**class** imitation.policies.base.**NormalizeFeaturesExtractor**(observation\_space,

normalize\_class=<class
'imitation.util.networks.RunningNorm'>)

Bases: FlattenExtractor

Feature extractor that flattens then normalizes input.

\_\_init\_\_(observation\_space, normalize\_class=<class 'imitation.util.networks.RunningNorm'>)
Builds NormalizeFeaturesExtractor.

#### **Parameters**

- observation\_space (Space) The space observations lie in.
- **normalize\_class** (Type[Module]) The class to use to normalize observations (after being flattened). This can be any Module that preserves the shape; e.g. *nn.BatchNorm\** or *nn.LayerNorm*.

### forward(observations)

Defines the computation performed at every call.

Should be overridden by all subclasses.

**Note:** Although the recipe for forward pass needs to be defined within this function, one should call the Module instance afterwards instead of this since the former takes care of running the registered hooks while the latter silently ignores them.

## Return type

Tensor

training: bool

class imitation.policies.base.RandomPolicy(observation\_space, action\_space)

Bases: HardCodedPolicy

Returns random actions.

optimizer: th.optim.Optimizer

training: bool

class imitation.policies.base.SAC1024Policy(\*args, \*\*kwargs)

Bases: SACPolicy

Actor and value networks with two hidden layers of 1024 units respectively.

This matches the implementation of SAC policies in the PEBBLE paper. See: https://arxiv.org/pdf/2106.05091.pdf https://github.com/denisyarats/pytorch\_sac/blob/master/config/agent/sac.yaml

Note: This differs from stable\_baselines SACPolicy by having 1024 hidden units in each layer instead of the default value of 256.

\_\_init\_\_(\*args, \*\*kwargs)

Builds SAC1024Policy; arguments passed to SACPolicy.

training: bool

class imitation.policies.base.ZeroPolicy(observation\_space, action\_space)

Bases: HardCodedPolicy

Returns constant zero action.

optimizer: th.optim.Optimizer

training: bool

## imitation.policies.exploration\_wrapper

Wrapper to turn a policy into a more exploratory version.

# **Classes**

| <pre>ExplorationWrapper(policy, venv,[,])</pre> | Wraps a PolicyCallable to create a partially randomized |
|---|---|
|   | version.  |

Bases: object

Wraps a PolicyCallable to create a partially randomized version.

This wrapper randomly switches between two policies: the wrapped policy, and a random one. After each action, the current policy is kept with a certain probability. Otherwise, one of these two policies is chosen at random (without any dependence on what the current policy is).

The random policy uses the *action\_space.sample()* method.

\_\_init\_\_(policy, venv, random\_prob, switch\_prob, rng, deterministic\_policy=False)
Initializes the ExplorationWrapper.

#### **Parameters**

- policy (Union[BaseAlgorithm, BasePolicy, Callable[[ndarray, Optional[Tuple[ndarray, ...]], Optional[ndarray]], Tuple[ndarray, Optional[Tuple[ndarray, ...]]]], None]) The policy to randomize.
- **venv** (VecEnv) The environment to use (needed for sampling random actions).
- random\_prob (float) The probability of picking the random policy when switching.
- **switch\_prob** (float) The probability of switching away from the current policy.
- **rng** (Generator) The random state to use for seeding the environment and for switching policies.
- **deterministic\_policy** (bool) Whether to make the policy deterministic when not exploring. This must be False when policy is a PolicyCallable.

# imitation.policies.replay buffer wrapper

Wrapper for reward labeling for transitions sampled from a replay buffer.

## **Classes**

| ReplayBufferRewardWrapper(buffer_size,) | Relabel the rewards in transitions sampled from a Re- |
|---|---|
|   | playBuffer.   |

```
Bases: ReplayBuffer
```

Relabel the rewards in transitions sampled from a ReplayBuffer.

```
__init__(buffer_size, observation_space, action_space, *, replay_buffer_class, reward_fn, **kwargs)
Builds ReplayBufferRewardWrapper.
```

# **Parameters**

- **buffer\_size** (int) Max number of elements in the buffer
- **observation\_space** (Space) Observation space
- action\_space (Space) Action space
- $\bullet \ \ \textbf{replay\_buffer\_class} \ (\texttt{Type}[\texttt{ReplayBuffer}]) Class \ of \ the \ replay \ buffer.$
- reward\_fn (RewardFn) Reward function for reward relabeling.
- \*\*kwargs keyword arguments for ReplayBuffer.

```
add(*args, **kwargs)
```

Add elements to the buffer.

```
property full: bool
```

# Return type

bool

property pos: int

# Return type

int

```
sample(*args, **kwargs)
```

Sample elements from the replay buffer. Custom sampling when using memory efficient variant, as we should not sample the element with index *self.pos* See https://github.com/DLR-RM/stable-baselines3/pull/28#issuecomment-637559274

# **Parameters**

- batch\_size Number of element to sample
- env associated gym VecEnv to normalize the observations/rewards when sampling

#### Returns

# imitation.policies.serialize

Load serialized policies of different types.

# **Module Attributes**

| PolicyLoaderFn  | A policy loader function that takes a VecEnv before any |
|-----------------|---|
|                 | other custom arguments and returns a stable_baselines3  |
|                 | base policy policy.                                     |
| policy_registry | Registry of policy loading functions.                   |

#### **Functions**

| load_policy(policy_type, venv, **kwargs)                    | Load serialized policy.                           |
|---|---|
| <pre>load_stable_baselines_model(cls, path, venv,)</pre>    | Helper method to load RL models from Stable Base- |
|   | lines.  |
| <pre>save_stable_model(output_dir, model[, filename])</pre> | Serialize Stable Baselines model.                 |

#### **Classes**

| SavePolicyCallback(policy_dir, *args, **kwargs) | Saves the policy using <i>save_stable_model</i> each time it is |
|---|---|
|   | called.   |

# imitation.policies.serialize.PolicyLoaderFn

A policy loader function that takes a VecEnv before any other custom arguments and returns a stable\_baselines3 base policy policy.

alias of Callable[[...], BasePolicy]

class imitation.policies.serialize.SavePolicyCallback(policy\_dir, \*args, \*\*kwargs)

Bases: EventCallback

Saves the policy using *save\_stable\_model* each time it is called.

Should be used in conjunction with callbacks. EveryNTimesteps or another event-based trigger.

\_\_init\_\_(policy\_dir, \*args, \*\*kwargs)

Builds SavePolicyCallback.

# **Parameters**

- policy\_dir (Path) Directory to save checkpoints.
- \*args Passed through to *callbacks.EventCallback*.
- \*\*kwargs Passed through to callbacks. Event Callback.

logger: Logger

model: base\_class.BaseAlgorithm

imitation.policies.serialize.load\_policy(policy\_type, venv, \*\*kwargs)

Load serialized policy.

Note on the kwargs:

- zero and random policy take no kwargs
- ppo and sac policies take a path argument with a path to a zip file or to a folder containing a model.zip file.

• ppo-huggingface and sac-huggingface policies take an env\_name and optional organization argument.

#### **Parameters**

- **policy\_type** (str) A key in *policy\_registry*, e.g. *ppo*.
- **venv** (VecEnv) An environment that the policy is to be used with.
- \*\*kwargs Additional arguments to pass to the policy loader.

#### Return type

BasePolicy

#### **Returns**

The descrialized policy.

imitation.policies.serialize.load\_stable\_baselines\_model(cls, path, venv, \*\*kwargs)

Helper method to load RL models from Stable Baselines.

#### **Parameters**

- **cls** (Type[TypeVar(Algorithm, bound= BaseAlgorithm)]) Stable Baselines RL algorithm.
- path (str) Path to zip file containing saved model data or to a folder containing a *model.zip* file.
- **venv** (VecEnv) Environment to train on.
- **kwargs** Passed through to *cls.load*.

# Raises

- **FileNotFoundError** If *path* is not a directory containing a *model.zip* file.
- **FileExistsError** If *path* contains a *vec\_normalize.pkl* file (unsupported).

# Return type

TypeVar(Algorithm, bound= BaseAlgorithm)

## Returns

The deserialized RL algorithm.

imitation.policies.serialize.policy\_registry: Registry[Callable[[...], BasePolicy]] =
<imitation.util.registry.Registry object>

Registry of policy loading functions. Add your own here if desired.

imitation.policies.serialize.save\_stable\_model(output\_dir, model, filename='model.zip')
Serialize Stable Baselines model.

Load later with *load\_policy(..., policy\_path=output\_dir)*.

## **Parameters**

- **output\_dir** (Path) Path to the save directory.
- **model** (BaseAlgorithm) The stable baselines model.
- **filename** (str) The filename of the model.

#### Return type

None

# 3.1.4 imitation.regularization

Implements a variety of regularization techniques for NN weights.

#### **Modules**

| imitation.regularization.regularizers | Implements the regularizer base class and some standard regularizers.              |
|---------------------------------------|--|
| imitation.regularization.updaters     | Implements parameter scaling algorithms to update the parameters of a regularizer. |

# imitation.regularization.regularizers

Implements the regularizer base class and some standard regularizers.

#### **Classes**

| LossRegularizer(optimizer, initial_lambda,)   | Abstract base class for regularizers that add a loss term |
|---|---|
|   | to the loss function.                                     |
| LpRegularizer(optimizer, initial_lambda,)     | Applies Lp regularization to a loss function.             |
| Regularizer(optimizer, initial_lambda,)       | Abstract class for creating regularizers with a common    |
|   | interface.  |
| RegularizerFactory(*args, **kwargs)           | Protocol for functions that create regularizers.          |
| WeightDecayRegularizer(optimizer,[,])         | Applies weight decay to a loss function.                  |
| WeightRegularizer(optimizer, initial_lambda,) | Abstract base class for regularizers that regularize the  |
|   | weights of a network.                                     |

Bases: Regularizer[Union[Tensor, float]]

Abstract base class for regularizers that add a loss term to the loss function.

Requires the user to implement the \_loss\_penalty method.

lambda\_: float

lambda\_updater: Optional[LambdaUpdater]

logger: HierarchicalLogger

optimizer: Optimizer

regularize\_and\_backward(loss)

Add the regularization term to the loss and compute gradients.

**Parameters** 

**loss** (Tensor) – The loss to regularize.

Return type

Union[Tensor, float]

#### Returns

The regularized loss.

val\_split: Optional[float]

Bases: LossRegularizer

Applies Lp regularization to a loss function.

\_\_init\_\_(optimizer, initial\_lambda, lambda\_updater, logger, p, val\_split=None)
Initialize the regularizer.

p: int

Bases: ABC, Generic[R]

Abstract class for creating regularizers with a common interface.

\_\_init\_\_(optimizer, initial\_lambda, lambda\_updater, logger, val\_split=None)
Initialize the regularizer.

#### **Parameters**

- **optimizer** (Optimizer) The optimizer to which the regularizer is attached.
- initial\_lambda (float) The initial value of the regularization parameter.
- lambda\_updater (Optional[LambdaUpdater]) A callable object that takes in the current lambda and the train and val loss, and returns the new lambda.
- logger (HierarchicalLogger) The logger to which the regularizer will log its parameters.
- val\_split (Optional[float]) The fraction of the training data to use as validation data for the lambda updater. Can be none if no lambda updater is provided.

#### Raises

- **ValueError** if no lambda updater (lambda\_updater) is provided and the initial regularization strength (initial\_lambda) is zero.
- **ValueError** if a validation split (val\_split) is provided but it's not a float in the (0, 1) interval.
- **ValueError** if a lambda updater is provided but no validation split is provided.
- **ValueError** if a validation split is set, but no lambda updater is provided.

 $\textbf{classmethod create} (\textit{initial\_lambda}, \textit{lambda\_updater} = \textit{None}, \textit{val\_split} = 0.0, **kwargs)$ 

Create a regularizer.

# Return type

RegularizerFactory[TypeVar(Self, bound= Regularizer)]

lambda\_: float

lambda\_updater: Optional[LambdaUpdater]

logger: HierarchicalLogger

optimizer: Optimizer

# abstract regularize\_and\_backward(loss)

Abstract method for performing the regularization step.

The return type is a generic and the specific implementation must describe the meaning of the return type.

This step will also call *loss.backward()* for the user. This is because the regularizer may require the loss to be called before or after the regularization step. Leaving this to the user would force them to make their implementation dependent on the regularizer algorithm used, which is prone to errors.

#### **Parameters**

**loss** (Tensor) – The loss to regularize.

# Return type

TypeVar(R)

# update\_params(train\_loss, val\_loss)

Update the regularization parameter.

This method calls the lambda\_updater to update the regularization parameter, and assigns the new value to *self.lambda*\_. Then logs the new value using the provided logger.

#### **Parameters**

- train\_loss (Union[Tensor, float]) The loss on the training set.
- val\_loss (Union[Tensor, float]) The loss on the validation set.

# Return type

None

# val\_split: Optional[float]

class imitation.regularization.regularizers.RegularizerFactory(\*args, \*\*kwargs)

Bases: Protocol[T\_Regularizer\_co]

Protocol for functions that create regularizers.

The regularizer factory is meant to be used as a way to create a regularizer in two steps. First, the end-user creates a regularizer factory by calling the <code>.create()</code> method of a regularizer class. This allows specifying all the relevant configuration to the regularization algorithm. Then, the network algorithm finishes setting up the optimizer and logger, and calls the regularizer factory to create the regularizer.

This two-step process separates the configuration of the regularization algorithm from additional "operational" parameters. This is useful because it solves two problems:

- The end-user does not have access to the optimizer and logger when configuring the regularization algorithm.
- 2. Validation of the configuration is done outside the network constructor.

It also allows re-using the same regularizer factory for multiple networks.

```
__init__(*args, **kwargs)
```

Bases: WeightRegularizer

Applies weight decay to a loss function.

lambda\_: float

lambda\_updater: Optional[LambdaUpdater]

logger: HierarchicalLogger

optimizer: Optimizer

val\_split: Optional[float]

 $\textbf{class} \ \ \textbf{imitation.regularization.regularizers.} \\ \textbf{WeightRegularizer} (\textit{optimizer}, \textit{initial\_lambda}, \\ \textit{lambda\_updater}, \textit{logger}, \\ \\ \textbf{logger},$ 

val\_split=None)

Bases: Regularizer

Abstract base class for regularizers that regularize the weights of a network.

Requires the user to implement the \_weight\_penalty method.

lambda\_: float

lambda\_updater: Optional[LambdaUpdater]

logger: HierarchicalLogger

optimizer: Optimizer

regularize\_and\_backward(loss)

Regularize the weights of the network, and call loss.backward().

Return type None

val\_split: Optional[float]

# imitation.regularization.updaters

Implements parameter scaling algorithms to update the parameters of a regularizer.

## Classes

| <pre>IntervalParamScaler(scaling_factor,)</pre> | Scales the lambda of the regularizer by some constant   |
|---|---|
|   | factor.   |
| LambdaUpdater(*args, **kwargs)                  | Protocol type for functions that update the regularizer |
|   | parameter.  |

class imitation.regularization.updaters.IntervalParamScaler(scaling\_factor, tolerable\_interval)

Bases: LambdaUpdater

Scales the lambda of the regularizer by some constant factor.

Lambda is scaled up if the ratio of the validation loss to the training loss is above the tolerable interval, and scaled down if the ratio is below the tolerable interval. Nothing happens if the ratio is within the tolerable interval.

\_\_init\_\_(scaling\_factor, tolerable\_interval)

Initialize the interval parameter scaler.

## **Parameters**

- scaling\_factor (float) The factor by which to scale the lambda, a value in (0, 1).
- **tolerable\_interval** (Tuple[float, float]) The interval within which the ratio of the validation loss to the training loss is considered acceptable. A tuple whose first element is at least 0 and the second element is greater than the first.

#### Raises

- **ValueError** If the tolerable interval is not a tuple of length 2.
- **ValueError** if the scaling factor is not in (0, 1).
- **ValueError** if the tolerable interval is negative or not a proper interval.

class imitation.regularization.updaters.LambdaUpdater(\*args, \*\*kwargs)

Bases: Protocol

Protocol type for functions that update the regularizer parameter.

A callable object that takes in the current lambda and the train and val loss, and returns the new lambda. This has been implemented as a protocol and not an ABC because a user might wish to provide their own implementation without having to inherit from the base class, e.g. by defining a function instead of a class.

Note: if you implement *LambdaUpdater*, your implementation MUST be purely functional, i.e. side-effect free. The class structure should only be used to store constant hyperparameters. (Alternatively, closures can be used for that).

\_\_init\_\_(\*args, \*\*kwargs)

# 3.1.5 imitation.rewards

Reward models: neural network modules, serialization, preprocessing, etc.

# **Modules**

| imitation.rewards.reward_function | Type alias shared by reward-related code.            |
|-----------------------------------|--|
| imitation.rewards.reward_nets     | Constructs deep network reward models.               |
| imitation.rewards.reward_wrapper  | Common wrapper for adding custom reward values to an |
|                                   | environment.   |
| imitation.rewards.serialize       | Load serialized reward functions of different types. |

# imitation.rewards.reward function

Type alias shared by reward-related code.

# Classes

| RewardFn(*args, **kwargs) | Abstract class for reward function. |
|---------------------------|-------------------------------------|
|---------------------------|-------------------------------------|

class imitation.rewards.reward\_function.RewardFn(\*args, \*\*kwargs)

Bases: Protocol

Abstract class for reward function.

Requires implementation of \_\_call\_\_() to compute the reward given a batch of states, actions, next states and dones.

\_\_init\_\_(\*args, \*\*kwargs)

# imitation.rewards.reward\_nets

Constructs deep network reward models.

# **Functions**

| cnn_transpose(tens) | Transpose a (b,h,w,c)-formatted tensor to (b,c,h,w) for- |
|---------------------|--|
|                     | mat.   |

# Classes

| AddSTDRewardWrapper(base[, default_alpha])           | Adds a multiple of the estimated standard deviation to     |
|--|--|
|  | mean reward.   |
| BasicPotentialCNN(observation_space, hid_sizes)      | Simple implementation of a potential using a CNN.          |
| BasicPotentialMLP(observation_space,)                | Simple implementation of a potential using an MLP.         |
| BasicRewardNet(observation_space, action_space)      | MLP that takes as input the state, action, next state and  |
|  | done flag.   |
| BasicShapedRewardNet(observation_space,)             | Shaped reward net based on MLPs.                           |
| CnnRewardNet(observation_space, action_space)        | CNN that takes as input the state, action, next state and  |
|  | done flag.   |
| ForwardWrapper(base)                                 | An abstract RewardNetWrapper that changes the behav-       |
|  | ior of forward.  |
| NormalizedRewardNet(base, normal-                    | A reward net that normalizes the output of its base net-   |
| ize_output_layer)                                    | work.  |
| PredictProcessedWrapper(base)                        | An abstract RewardNetWrapper that changes the behav-       |
|  | ior of predict_processed.                                  |
| RewardEnsemble(observation_space,)                   | A mean ensemble of reward networks.                        |
| RewardNet(observation_space, action_space[,])        | Minimal abstract reward network.                           |
| RewardNetWithVariance(observation_space,)            | A reward net that keeps track of its epistemic uncertainty |
|  | through variance.  |
| RewardNetWrapper(base)                               | Abstract class representing a wrapper modifying a          |
|  | RewardNet's functionality.                                 |
| ShapedRewardNet(base, potential, discount_factor)    | A RewardNet consisting of a base network and a poten-      |
| briapeanewar are e(base, potential, alseoant_lactor) | 11 Reward tet consisting of a base network and a poten     |
| Shapearewar are e(ouse, potential, discount_lactor)  | tial shaping.  |

# class imitation.rewards.reward\_nets.AddSTDRewardWrapper(base, default\_alpha=0.0)

Bases: PredictProcessedWrapper

Adds a multiple of the estimated standard deviation to mean reward.

```
__init__(base, default_alpha=0.0)
```

Create a reward network that adds a multiple of the standard deviation.

#### **Parameters**

- **base** (*RewardNetWithVariance*) A reward network that keeps track of its epistemic variance. This is used to compute the standard deviation.
- **default\_alpha** (float) multiple of standard deviation to add to the reward mean. Defaults to 0.0.

#### **Raises**

**TypeError** – if base is not an instance of RewardNetWithVariance

predict\_processed(state, action, next\_state, done, alpha=None, \*\*kwargs)

Compute a lower/upper confidence bound on the reward without gradients.

## **Parameters**

- **state** (ndarray) Current states of shape (*batch\_size*,) + *state\_shape*.
- **action** (ndarray) Actions of shape (batch\_size,) + action\_shape.
- **next\_state** (ndarray) Successor states of shape (*batch\_size*,) + *state\_shape*.
- **done** (ndarray) End-of-episode (terminal state) indicator of shape (batch\_size,).
- alpha (Optional[float]) multiple of standard deviation to add to the reward mean. Defaults to the value provided at initialization.
- \*\*kwargs are not used

# **Return type**

ndarray

## Returns

Estimated lower confidence bounds on rewards of shape (batch size,).

Bases: Module

Simple implementation of a potential using a CNN.

\_\_init\_\_(observation\_space, hid\_sizes, hwc\_format=True, \*\*kwargs)
Initialize the potential.

#### **Parameters**

- $\bullet \ \ observation\_space \ (Space) observation \ space \ of \ the \ environment.$
- hid\_sizes (Iterable[int]) number of channels in hidden layers of the CNN.
- hwc\_format (bool) format of the observation. True if channel dimension is last, False if channel dimension is first.
- **kwargs** passed straight through to *build\_cnn*.

#### Raises

**ValueError** – if observations are not images.

## forward(state)

Defines the computation performed at every call.

Should be overridden by all subclasses.

**Note:** Although the recipe for forward pass needs to be defined within this function, one should call the Module instance afterwards instead of this since the former takes care of running the registered hooks while the latter silently ignores them.

# Return type

Tensor

# training: bool

class imitation.rewards.reward\_nets.BasicPotentialMLP(observation\_space, hid\_sizes, \*\*kwargs)

Bases: Module

Simple implementation of a potential using an MLP.

**\_\_init\_\_**(observation\_space, hid\_sizes, \*\*kwargs)

Initialize the potential.

#### **Parameters**

- **observation\_space** (Space) observation space of the environment.
- hid\_sizes (Iterable[int]) widths of the hidden layers of the MLP.
- **kwargs** passed straight through to *build\_mlp*.

# forward(state)

Defines the computation performed at every call.

Should be overridden by all subclasses.

**Note:** Although the recipe for forward pass needs to be defined within this function, one should call the Module instance afterwards instead of this since the former takes care of running the registered hooks while the latter silently ignores them.

#### Return type

Tensor

# training: bool

Bases: RewardNet

MLP that takes as input the state, action, next state and done flag.

These inputs are flattened and then concatenated to one another. Each input can enabled or disabled by the *use\_\** constructor keyword arguments.

\_\_init\_\_(observation\_space, action\_space, use\_state=True, use\_action=True, use\_next\_state=False, use\_done=False, \*\*kwargs)

Builds reward MLP.

#### **Parameters**

- **observation\_space** (Space) The observation space.
- action\_space (Space) The action space.
- **use\_state** (bool) should the current state be included as an input to the MLP?
- use\_action (bool) should the current action be included as an input to the MLP?
- use\_next\_state (bool) should the next state be included as an input to the MLP?
- use\_done (bool) should the "done" flag be included as an input to the MLP?
- **kwargs** passed straight through to *build mlp*.

forward(state, action, next\_state, done)

Compute rewards for a batch of transitions and keep gradients.

training: bool

Bases: ShapedRewardNet

Shaped reward net based on MLPs.

This is just a very simple convenience class for instantiating a BasicRewardNet and a BasicPotentialMLP and wrapping them inside a ShapedRewardNet. Mainly exists for backwards compatibility after https://github.com/HumanCompatibleAI/imitation/pull/311 to keep the scripts working.

# TODO(ejnnr): if we ever modify AIRL so that it takes in a RewardNet instance

directly (instead of a class and kwargs) and instead instantiate the RewardNet inside the scripts, then it probably makes sense to get rid of this class.

```
__init__(observation_space, action_space, *, reward_hid_sizes=(32,), potential_hid_sizes=(32, 32), use_state=True, use_action=True, use_next_state=False, use_done=False, discount_factor=0.99, **kwargs)
```

Builds a simple shaped reward network.

#### **Parameters**

- **observation\_space** (Space) The observation space.
- **action\_space** (Space) The action space.
- reward\_hid\_sizes (Sequence[int]) sequence of widths for the hidden layers of the base reward MLP.
- **potential\_hid\_sizes** (Sequence[int]) sequence of widths for the hidden layers of the potential MLP.
- use\_state (bool) should the current state be included as an input to the reward MLP?
- use\_action (bool) should the current action be included as an input to the reward MLP?

- use\_next\_state (bool) should the next state be included as an input to the reward MLP?
- use\_done (bool) should the "done" flag be included as an input to the reward MLP?
- **discount\_factor** (float) discount factor for the potential shaping.
- **kwargs** passed straight through to *BasicRewardNet* and *BasicPotentialMLP*.

training: bool

Bases: RewardNet

CNN that takes as input the state, action, next state and done flag.

Inputs are boosted to tensors with channel, height, and width dimensions, and then concatenated. Image inputs are assumed to be in (h,w,c) format, unless the argument hwc\_format=False is passed in. Each input can be enabled or disabled by the *use\_\** constructor keyword arguments, but either *use\_state* or *use\_next\_state* must be True.

\_\_init\_\_(observation\_space, action\_space, use\_state=True, use\_action=True, use\_next\_state=False, use\_done=False, hwc\_format=True, \*\*kwargs)

Builds reward CNN.

#### **Parameters**

- **observation\_space** (Space) The observation space.
- action\_space (Space) The action space.
- use\_state (bool) Should the current state be included as an input to the CNN?
- use\_action (bool) Should the current action be included as an input to the CNN?
- use\_next\_state (bool) Should the next state be included as an input to the CNN?
- use\_done (bool) Should the "done" flag be included as an input to the CNN?
- hwc\_format (bool) Are image inputs in (h,w,c) format (True), or (c,h,w) (False)? If hwc\_format is False, image inputs are not transposed.
- **kwargs** Passed straight through to *build cnn*.

# Raises

**ValueError** – if observation or action space is not easily massaged into a CNN input.

forward(state, action, next\_state, done)

Computes rewardNet value on input state, action, next\_state, and done flag.

Takes inputs that will be used, transposes image states to (c,h,w) format if needed, reshapes inputs to have compatible dimensions, concatenates them, and inputs them into the CNN.

#### **Parameters**

- **state** (Tensor) current state.
- action (Tensor) current action.
- **next\_state** (Tensor) next state.
- **done** (Tensor) flag for whether the episode is over.

# Returns reward of the transition. Return type th.Tensor get\_num\_channels\_obs(space) Gets number of channels for the observation. Return type int training: bool class imitation.rewards.reward\_nets.ForwardWrapper(base) Bases: RewardNetWrapper An abstract RewardNetWrapper that changes the behavior of forward. Note that all forward wrappers must be placed before all predict processed wrappers. \_\_init\_\_(base) Create a forward wrapper. **Parameters base** (*RewardNet*) – The base reward network Raises **ValueError** – if the base network is a *PredictProcessedWrapper*. training: bool

class imitation.rewards.reward\_nets.NormalizedRewardNet(base, normalize\_output\_layer)

Bases: PredictProcessedWrapper

A reward net that normalizes the output of its base network.

```
__init__(base, normalize_output_layer)
```

Initialize the NormalizedRewardNet.

# **Parameters**

- **base** (*RewardNet*) a base RewardNet
- normalize\_output\_layer (Type[BaseNorm]) The class to use to normalize rewards. This can be any nn.Module that preserves the shape; e.g. nn.Identity, nn.LayerNorm, or networks.RunningNorm.

predict\_processed(state, action, next\_state, done, update\_stats=True, \*\*kwargs)

Compute normalized rewards for a batch of transitions without gradients.

## **Parameters**

- **state** (ndarray) Current states of shape (*batch\_size*,) + *state\_shape*.
- **action** (ndarray) Actions of shape (batch\_size,) + action\_shape.
- **next\_state** (ndarray) Successor states of shape (*batch\_size*,) + *state\_shape*.
- **done** (ndarray) End-of-episode (terminal state) indicator of shape (*batch\_size*,).
- **update\_stats** (bool) Whether to update the running stats of the normalization layer.
- \*\*kwargs kwargs passed to base predict\_processed call.

## **Return type**

ndarray

#### Returns

Computed normalized rewards of shape (batch\_size,).

## training: bool

# class imitation.rewards.reward\_nets.PredictProcessedWrapper(base)

Bases: RewardNetWrapper

An abstract RewardNetWrapper that changes the behavior of predict\_processed.

Subclasses should override *predict\_processed*. Implementations should pass along *kwargs* to the *base* reward net's *predict\_processed* method.

# Note: The wrapper will default to forwarding calls to device, forward,

preprocess and predict to the base reward net unless explicitly overridden in a subclass.

forward(state, action, next\_state, done)

Compute rewards for a batch of transitions and keep gradients.

# Return type

Tensor

predict(state, action, next\_state, done)

Compute rewards for a batch of transitions without gradients.

Converting th.Tensor rewards from *predict\_th* to NumPy arrays.

#### **Parameters**

- **state** (ndarray) Current states of shape (*batch\_size*,) + *state\_shape*.
- **action** (ndarray) Actions of shape (batch\_size,) + action\_shape.
- **next\_state** (ndarray) Successor states of shape (batch size,) + state shape.
- **done** (ndarray) End-of-episode (terminal state) indicator of shape (*batch\_size*,).

# Return type

ndarray

#### Returns

Computed rewards of shape (batch\_size,).

#### **abstract predict\_processed**(*state*, *action*, *next\_state*, *done*, \*\*kwargs)

Predict processed must be overridden in subclasses.

# **Return type**

ndarray

# predict\_th(state, action, next\_state, done)

Compute th. Tensor rewards for a batch of transitions without gradients.

Preprocesses the inputs, output th. Tensor reward arrays.

## **Parameters**

- **state** (ndarray) Current states of shape (*batch\_size*,) + *state\_shape*.
- **action** (ndarray) Actions of shape (batch\_size,) + action\_shape.
- **next\_state** (ndarray) Successor states of shape (*batch\_size*,) + *state\_shape*.
- **done** (ndarray) End-of-episode (terminal state) indicator of shape (*batch\_size*,).

# Return type

Tensor

#### Returns

Computed th. Tensor rewards of shape (batch\_size,).

training: bool

class imitation.rewards.reward\_nets.RewardEnsemble(observation\_space, action\_space, members)

Bases: RewardNetWithVariance

A mean ensemble of reward networks.

A reward ensemble is made up of individual reward networks. To maintain consistency the "output" of a reward network will be defined as the results of its *predict\_processed*. Thus for example the mean of the ensemble is the mean of the results of its members predict processed classes.

**\_\_init\_\_**(observation\_space, action\_space, members)

Initialize the RewardEnsemble.

#### **Parameters**

- **observation\_space** (Space) the observation space of the environment
- action\_space (Space) the action space of the environment
- **members** (Iterable[RewardNet]) the member networks that will make up the ensemble

#### Raises

**ValueError** – if num members is less than 1

## forward(\*args)

The forward method of the ensemble should in general not be used directly.

# Return type

Tensor

members: ModuleList

#### property num\_members

The number of members in the ensemble.

```
predict(state, action, next_state, done, **kwargs)
```

Return the mean of the ensemble members.

predict\_processed(state, action, next\_state, done, \*\*kwargs)

Return the mean of the ensemble members.

#### Return type

ndarray

predict\_processed\_all(state, action, next\_state, done, \*\*kwargs)

Get the results of predict processed on all of the members.

#### **Parameters**

- **state** (ndarray) Current states of shape (*batch\_size*,) + *state\_shape*.
- **action** (ndarray) Actions of shape (*batch\_size*,) + *action\_shape*.
- **next\_state** (ndarray) Successor states of shape (batch\_size,) + state\_shape.
- **done** (ndarray) End-of-episode (terminal state) indicator of shape (*batch\_size*,).

• **kwargs** – passed along to ensemble members.

#### **Return type**

ndarray

#### Returns

The result of predict processed for each member in the ensemble of

shape (batch\_size, num\_members).

predict\_reward\_moments(state, action, next\_state, done, \*\*kwargs)

Compute the standard deviation of the reward distribution for a batch.

#### **Parameters**

- **state** (ndarray) Current states of shape (*batch\_size*,) + *state\_shape*.
- **action** (ndarray) Actions of shape (batch\_size,) + action\_shape.
- **next\_state** (ndarray) Successor states of shape (*batch\_size*,) + *state\_shape*.
- **done** (ndarray) End-of-episode (terminal state) indicator of shape (batch\_size,).
- \*\*kwargs passed along to predict processed.

# **Return type**

Tuple[ndarray, ndarray]

#### Returns

- Reward mean of shape (batch\_size,).
- Reward variance of shape (batch\_size,).

Bases: Module, ABC

Minimal abstract reward network.

Only requires the implementation of a forward pass (calculating rewards given a batch of states, actions, next states and dones).

**\_\_init\_\_**(observation\_space, action\_space, normalize\_images=True)

Initialize the RewardNet.

## **Parameters**

- **observation\_space** (Space) the observation space of the environment
- action\_space (Space) the action space of the environment
- **normalize\_images** (bool) whether to automatically normalize image observations to [0, 1] (from 0 to 255). Defaults to True.

# property device: device

Heuristic to determine which device this module is on.

# Return type

device

# property dtype: dtype

Heuristic to determine dtype of module.

# Return type

dtype

#### abstract forward(state, action, next\_state, done)

Compute rewards for a batch of transitions and keep gradients.

# Return type

Tensor

# predict(state, action, next\_state, done)

Compute rewards for a batch of transitions without gradients.

Converting th.Tensor rewards from *predict\_th* to NumPy arrays.

#### **Parameters**

- **state** (ndarray) Current states of shape (*batch\_size*,) + *state\_shape*.
- **action** (ndarray) Actions of shape (*batch\_size*,) + *action\_shape*.
- **next\_state** (ndarray) Successor states of shape (*batch\_size*,) + *state\_shape*.
- **done** (ndarray) End-of-episode (terminal state) indicator of shape (batch\_size,).

# Return type

ndarray

#### Returns

Computed rewards of shape (batch\_size,).

```
predict_processed(state, action, next_state, done, **kwargs)
```

Compute the processed rewards for a batch of transitions without gradients.

Defaults to calling *predict*. Subclasses can override this to normalize or otherwise modify the rewards in ways that may help RL training or other applications of the reward function.

## **Parameters**

- **state** (ndarray) Current states of shape (*batch\_size*,) + *state\_shape*.
- **action** (ndarray) Actions of shape (*batch\_size*,) + *action\_shape*.
- **next\_state** (ndarray) Successor states of shape (*batch\_size*,) + *state\_shape*.
- **done** (ndarray) End-of-episode (terminal state) indicator of shape (batch\_size,).
- **kwargs** additional kwargs may be passed to change the functionality of subclasses.

# Return type

ndarray

#### Returns

Computed processed rewards of shape (batch\_size,).

# predict\_th(state, action, next\_state, done)

Compute th. Tensor rewards for a batch of transitions without gradients.

Preprocesses the inputs, output th. Tensor reward arrays.

#### **Parameters**

- **state** (ndarray) Current states of shape (*batch\_size*,) + *state\_shape*.
- **action** (ndarray) Actions of shape (*batch\_size*,) + *action\_shape*.
- **next\_state** (ndarray) Successor states of shape (batch\_size,) + state\_shape.
- **done** (ndarray) End-of-episode (terminal state) indicator of shape (*batch size*,).

## Return type

Tensor

#### Returns

Computed th.Tensor rewards of shape (batch\_size,).

```
preprocess(state, action, next_state, done)
```

Preprocess a batch of input transitions and convert it to PyTorch tensors.

The output of this function is suitable for its forward pass, so a typical usage would be model(\*model. preprocess(transitions)).

#### **Parameters**

- **state** (ndarray) The observation input. Its shape is (*batch\_size*,) + *observation\_space.shape*.
- **action** (ndarray) The action input. Its shape is (*batch\_size*,) + *action\_space.shape*. The None dimension is expected to be the same as None dimension from *obs\_input*.
- **next\_state** (ndarray) The observation input. Its shape is (*batch\_size*,) + *observation\_space.shape*.
- **done** (ndarray) Whether the episode has terminated. Its shape is (*batch\_size*,).

#### Returns

a Tuple of tensors containing observations, actions, next observations and dones.

# Return type

Preprocessed transitions

training: bool

Bases: RewardNet

A reward net that keeps track of its epistemic uncertainty through variance.

abstract predict\_reward\_moments(state, action, next\_state, done, \*\*kwargs)

Compute the mean and variance of the reward distribution.

#### **Parameters**

- **state** (ndarray) Current states of shape (*batch\_size*,) + *state\_shape*.
- **action** (ndarray) Actions of shape (*batch\_size*,) + *action\_shape*.
- **next\_state** (ndarray) Successor states of shape (batch\_size,) + state\_shape.
- **done** (ndarray) End-of-episode (terminal state) indicator of shape (*batch\_size*,).
- \*\*kwargs may modify the behavior of subclasses

## **Return type**

Tuple[ndarray, ndarray]

#### Returns

- Estimated reward mean of shape (batch\_size,).
- Estimated reward variance of shape (batch\_size,). # noqa: DAR202

training: bool

## **class** imitation.rewards.reward\_nets.**RewardNetWrapper**(base)

Bases: RewardNet

Abstract class representing a wrapper modifying a RewardNet's functionality.

In general RewardNetWrapper``s should either subclass ``ForwardWrapper or PredictProcessedWrapper.

\_\_init\_\_(base)

Initialize a RewardNet wrapper.

#### **Parameters**

**base** (*RewardNet*) – the base RewardNet to wrap.

property base: RewardNet

# Return type

RewardNet

# property device: device

Heuristic to determine which device this module is on.

# Return type

device

# property dtype: dtype

Heuristic to determine dtype of module.

# Return type

dtype

preprocess(state, action, next\_state, done)

Preprocess a batch of input transitions and convert it to PyTorch tensors.

The output of this function is suitable for its forward pass, so a typical usage would be model(\*model.preprocess(transitions)).

#### **Parameters**

- **state** (ndarray) The observation input. Its shape is (*batch\_size*,) + *observation\_space.shape*.
- **action** (ndarray) The action input. Its shape is (batch\_size,) + action\_space.shape. The None dimension is expected to be the same as None dimension from obs\_input.
- **next\_state** (ndarray) The observation input. Its shape is (*batch\_size*,) + *observation space.shape*.
- **done** (ndarray) Whether the episode has terminated. Its shape is (*batch\_size*,).

#### Returns

a Tuple of tensors containing observations, actions, next observations and dones.

## **Return type**

Preprocessed transitions

training: bool

## class imitation.rewards.reward\_nets.ShapedRewardNet(base, potential, discount\_factor)

Bases: ForwardWrapper

A RewardNet consisting of a base network and a potential shaping.

**\_\_init\_\_**(base, potential, discount\_factor)

Setup a ShapedRewardNet instance.

#### **Parameters**

- **base** (*RewardNet*) the base reward net to which the potential shaping will be added. Shaping must be applied directly to the raw reward net. See error below.
- **potential** (Callable[[Tensor], Tensor]) A callable which takes a batch of states (as a PyTorch tensor) and returns a batch of potentials for these states. If this is a PyTorch Module, it becomes a submodule of the ShapedRewardNet instance.
- **discount\_factor** (float) discount factor to use for the potential shaping.

forward(state, action, next\_state, done)

Compute rewards for a batch of transitions and keep gradients.

training: bool

imitation.rewards.reward\_nets.cnn\_transpose(tens)

Transpose a (b,h,w,c)-formatted tensor to (b,c,h,w) format.

#### Return type

Tensor

# imitation.rewards.reward wrapper

Common wrapper for adding custom reward values to an environment.

#### Classes

| RewardVecEnvWrapper(venv, reward_fn[,]) | Uses a provided reward_fn to replace the reward func- |
|---|---|
|   | tion returned by <i>step()</i> .                      |
| WrappedRewardCallback(episode_rewards,) | Logs mean wrapped reward as part of RL (or other)     |
|   | training.   |

# class imitation.rewards.reward\_wrapper.RewardVecEnvWrapper(venv, reward\_fn, ep\_history=100)

Bases: VecEnvWrapper

Uses a provided reward\_fn to replace the reward function returned by *step()*.

Automatically resets the inner VecEnv upon initialization. A tricky part about this class is keeping track of the most recent observation from each environment.

Will also include the previous reward given by the inner VecEnv in the returned info dict under the *original\_env\_rew* key.

\_\_init\_\_(venv, reward\_fn, ep\_history=100)

Builds RewardVecEnvWrapper.

# **Parameters**

- **venv** (VecEnv) The VecEnv to wrap.
- **reward\_fn** (*RewardFn*) A function that wraps takes in vectorized transitions (obs, act, next\_obs) a vector of episode timesteps, and returns a vector of rewards.
- **ep\_history** (int) The number of episode rewards to retain for computing mean reward.

## property envs

# make\_log\_callback()

 $Creates \ \textit{WrappedRewardCallback} \ connected \ to \ this \ \textit{RewardVecEnvWrapper}.$ 

# Return type

WrappedRewardCallback

#### reset()

Reset all the environments and return an array of observations, or a tuple of observation arrays.

If step\_async is still doing work, that work will be cancelled and step\_wait() should not be called until step\_async() is invoked again.

#### **Returns**

observation

#### step\_async(actions)

Tell all the environments to start taking a step with the given actions. Call step\_wait() to get the results of the step.

You should not call this if a step\_async run is already pending.

# step\_wait()

Wait for the step taken with step\_async().

#### Returns

observation, reward, done, information

class imitation.rewards.reward\_wrapper.WrappedRewardCallback(episode\_rewards, \*args, \*\*kwargs)

Bases: BaseCallback

Logs mean wrapped reward as part of RL (or other) training.

```
__init__(episode_rewards, *args, **kwargs)
```

Builds WrappedRewardCallback.

#### **Parameters**

- episode\_rewards (Deque[float]) A queue that episode rewards will be placed into.
- \*args Passed through to callbacks. Base Callback.
- **\*\*kwargs** Passed through to *callbacks.BaseCallback*.

logger: Logger

model: base\_class.BaseAlgorithm

## imitation.rewards.serialize

Load serialized reward functions of different types.

# **Functions**

| <pre>load_reward(reward_type, reward_path, venv,)</pre> | Load serialized reward. |  |
|---|-------------------------|--|
| load_zero(path, venv)                                   |                         |  |
|   | rtype                   |  |
|   | RewardFn                |  |
|   |                         |  |

# Classes

ValidateRewardFn(reward\_fn)

Wrap reward function to add sanity check.

class imitation.rewards.serialize.ValidateRewardFn(reward\_fn)

Bases: RewardFn

Wrap reward function to add sanity check.

Checks that the length of the reward vector is equal to the batch size of the input.

\_\_init\_\_(reward\_fn)

Builds the reward validator.

#### **Parameters**

**reward\_fn** (*RewardFn*) – base reward function

imitation.rewards.serialize.load\_reward(reward\_type, reward\_path, venv, \*\*kwargs)

Load serialized reward.

# **Parameters**

- reward\_type (str) A key in *reward\_registry*. Valid types include zero, Reward-Net\_unshaped, RewardNet\_normalized, RewardNet\_shaped, RewardNet\_std\_added, RewardNet\_unnormalized.
- **reward\_path** (str) A path specifying the reward.
- **venv** (VecEnv) An environment that the policy is to be used with.
- \*\*kwargs kwargs to pass to reward fn

# Return type

RewardFn

#### Returns

The descrialized reward.

imitation.rewards.serialize.load\_zero(path, venv)

# Return type

RewardFn

# 3.1.6 imitation.scripts

Command-line scripts.

#### **Modules**

| imitation.scripts.analyze                  | Commands to analyze experimental results.                  |  |
|--|--|--|
| imitation.scripts.config                   | Configuration settings for scripts.                        |  |
| <pre>imitation.scripts.convert_trajs</pre> | Converts old-style pickle or npz trajectories to new-style |  |
|  | HuggingFace datasets.                                      |  |
| imitation.scripts.eval_policy              | Evaluate policies: render policy interactively, save       |  |
|  | videos, log episode return.                                |  |
| imitation.scripts.ingredients              | Ingredients for Sacred experiments.                        |  |
| imitation.scripts.train_adversarial        | Train GAIL or AIRL.  |  |
| imitation.scripts.train_imitation          | Trains DAgger on synthetic demonstrations generated        |  |
|  | from an expert policy.                                     |  |
| imitation.scripts.                         | Train a reward model using preference comparisons.         |  |
| train_preference_comparisons               |  |  |
| imitation.scripts.train_rl                 | Uses RL to train a policy from scratch, saving rollouts    |  |
|  | and policy.  |  |
|  |  |  |

# imitation.scripts.analyze

Commands to analyze experimental results.

# **Functions**

| ılts.  |
|--|
|  |
| ard directories from a <i>parallel_ex</i> run. |
|  |
| •  |

Parse Sacred logs and generate a DataFrame for imitation learning results.

This function calls the helper *\_gather\_sacred\_dicts*, which captures its arguments automatically via Sacred. Provide those arguments to select which Sacred results to parse.

#### **Parameters**

- csv\_output\_path (Optional[str]) If provided, then save a CSV output file to this path.
- **tex\_output\_path** (Optional[str]) If provided, then save a LaTeX-format table to this path.
- **print\_table** (bool) If True, then print the dataframe to stdout.
- **table\_verbosity** (int) Increasing levels of verbosity, from 0 to 2, increase the number of columns in the table.

# Return type

DataFrame

#### Returns

The DataFrame generated from the Sacred logs.

imitation.scripts.analyze.gather\_tb\_directories()

Gather Tensorboard directories from a parallel\_ex run.

The directories are copied to a unique directory in /tmp/analysis\_tb/ under subdirectories matching the Tensor-board events' Ray Tune trial names.

This function calls the helper \_gather\_sacred\_dicts, which captures its arguments automatically via Sacred. Provide those arguments to select which Sacred results to parse.

# **Return type**

dict

#### Returns

A dict with two keys. "gather\_dir" (str) is a path to a /tmp/ directory containing all the Tensor-Board runs filtered from *source\_dir*. "n\_tb\_dirs" (int) is the number of TensorBoard directories that were filtered.

# Raises

**OSError** – If the symlink cannot be created.

imitation.scripts.analyze.main\_console()

# imitation.scripts.config

Configuration settings for scripts.

# **Modules**

| imitation.scripts.config.analyze                      | Configuration settings for analyze, inspecting results from completed experiments. |  |
|---|--|--|
|   | 1 1  |  |
| <pre>imitation.scripts.config.eval_policy</pre>       | Configuration settings for eval_policy, evaluating pre-                            |  |
|   | trained policies.  |  |
| <pre>imitation.scripts.config.train_adversarial</pre> | Configuration for imitation.scripts.train_adversarial.                             |  |
| <pre>imitation.scripts.config.train_imitation</pre>   | Configuration settings for train_dagger, training DAgger                           |  |
|   | from synthetic demos.  |  |
| imitation.scripts.config.                             | Configuration for imita-   |  |
| train_preference_comparisons                          | tion.scripts.train_preference_comparisons.   |  |
| imitation.scripts.config.train_rl                     | Configuration settings for train_rl, training a policy with                        |  |
|   | RL.  |  |

# imitation.scripts.config.analyze

Configuration settings for analyze, inspecting results from completed experiments.

# imitation.scripts.config.eval\_policy

Configuration settings for eval policy, evaluating pre-trained policies.

# imitation.scripts.config.train adversarial

Configuration for imitation.scripts.train\_adversarial.

# imitation.scripts.config.train imitation

Configuration settings for train\_dagger, training DAgger from synthetic demos.

# imitation.scripts.config.train\_preference\_comparisons

Configuration for imitation.scripts.train\_preference\_comparisons.

# imitation.scripts.config.train\_rl

Configuration settings for train\_rl, training a policy with RL.

# imitation.scripts.convert trajs

Converts old-style pickle or npz trajectories to new-style HuggingFace datasets.

See https://github.com/HumanCompatibleAI/imitation/pull/448 for a description of the new trajectory format.

This script takes as command-line input multiple paths to saved trajectories, in the old .pkl or .npz format. It then saves each sequence in the new HuggingFace datasets format. The path is the same as the original but a directory without an extension (i.e. "A.pkl" -> "A/", "A.npz" -> "A/", "A.foo" -> "A/").

## **Functions**

| main()                                |   |
|---------------------------------------|---|
| update_traj_file_in_place(path_str,/) | Converts pickle or npz file to the new HuggingFace for- |
|                                       | mat.  |

imitation.scripts.convert\_trajs.main()

# imitation.scripts.convert\_trajs.update\_traj\_file\_in\_place(path\_str,/)

Converts pickle or npz file to the new HuggingFace format.

The new data is saved as Sequence[imitation.types.TrajectoryWithRew].

#### **Parameters**

**path\_str** (Union[str, bytes, PathLike]) — Path to a pickle or npz file containing *Sequence[imitation.types.Trajectory]* or *Sequence[imitation.old\_types.TrajectoryWithRew]*.

# Return type

Path

#### Returns

The path to the converted trajectory dataset.

# imitation.scripts.eval\_policy

Evaluate policies: render policy interactively, save videos, log episode return.

# **Functions**

| eval_policy(eval_n_timesteps,[,])                   | Rolls a policy out in an environment, collecting statistics.       |
|---|--|
| main_console()                                      |  |
| <pre>video_wrapper_factory(log_dir, **kwargs)</pre> | Returns a function that wraps the environment in a video recorder. |

# **Classes**

| <pre>InteractiveRender(venv, fps)</pre> | Render the wrapped environment(s) on screen. |
|---|--|

# class imitation.scripts.eval\_policy.InteractiveRender(venv, fps)

Bases: VecEnvWrapper

Render the wrapped environment(s) on screen.

```
__init__(venv, fps)
```

Builds renderer for *venv* running at *fps* frames per second.

#### reset()

Reset all the environments and return an array of observations, or a tuple of observation arrays.

If step\_async is still doing work, that work will be cancelled and step\_wait() should not be called until step\_async() is invoked again.

#### Returns

observation

# step\_wait()

Wait for the step taken with step\_async().

#### Returns

observation, reward, done, information

Rolls a policy out in an environment, collecting statistics.

#### **Parameters**

- **eval\_n\_timesteps** (Optional[int]) Minimum number of timesteps to evaluate for. Set exactly one of *eval\_n\_episodes* and *eval\_n\_timesteps*.
- **eval\_n\_episodes** (Optional[int]) Minimum number of episodes to evaluate for. Set exactly one of *eval\_n\_episodes* and *eval\_n\_timesteps*.
- **render** (bool) If True, renders interactively to the screen.
- render\_fps (int) The target number of frames per second to render on screen.
- **videos** (bool) If True, saves videos to *log\_dir*.
- **video\_kwargs** (Mapping[str, Any]) Keyword arguments passed through to *video\_wrapper.VideoWrapper*.
- \_rnd (Generator) Random number generator provided by Sacred.
- reward\_type (Optional[str]) If specified, overrides the environment reward with a reward of this.
- **reward\_path** (Optional[str]) If reward\_type is specified, the path to a serialized reward of *reward\_type* to override the environment reward with.
- rollout\_save\_path (Optional[str]) where to save rollouts used for computing stats to disk; if None, then do not save.
- **explore\_kwargs** (Optional[Mapping[str, Any]]) keyword arguments to an exploration wrapper to apply before rolling out, not including policy\_callable, venv, and rng; if None, then do not wrap.

#### Returns

Return value of *imitation.util.rollout.rollout\_stats()*.

imitation.scripts.eval\_policy.main\_console()

imitation.scripts.eval\_policy.video\_wrapper\_factory(log\_dir, \*\*kwargs)

Returns a function that wraps the environment in a video recorder.

# imitation.scripts.ingredients

Ingredients for Sacred experiments.

# **Modules**

| imitation.scripts.ingredients.bc          | This ingredient provides BC algorithm instance.         |
|---|---|
| imitation.scripts.ingredients.            | This ingredient provides (expert) demonstrations to     |
| demonstrations                            | learn from.   |
| imitation.scripts.ingredients.environment | This ingredient provides a vectorized gym environment.  |
| imitation.scripts.ingredients.expert      | This ingredient provides an expert policy.              |
| imitation.scripts.ingredients.logging     | This ingredient provides a number of logging utilities. |
| imitation.scripts.ingredients.policy      | This ingredient provides a newly constructed stable-    |
|   | baselines3 policy.                                      |
| imitation.scripts.ingredients.            | This ingredient performs evaluation of learned policy.  |
| policy_evaluation                         |   |
| imitation.scripts.ingredients.reward      | This ingredient provides a reward network.              |
| imitation.scripts.ingredients.rl          | This ingredient provides a reinforcement learning algo- |
|   | rithm from stable-baselines3.                           |
| imitation.scripts.ingredients.wb          | This ingredient provides Weights & Biases logging.      |

# imitation.scripts.ingredients.bc

This ingredient provides BC algorithm instance.

It is either loaded from disk or constructed from scratch.

#### **Functions**

| make_bc(venv, expert_trajs, custom_logger,)      |   |
|--|---|
|  | rtype   |
|  | BC  |
|  |   |
| <pre>make_or_load_policy(venv, agent_path)</pre> | Makes a policy or loads a policy from a path if provided. |

# Return type

R/

imitation.scripts.ingredients.bc.make\_or\_load\_policy(venv, agent\_path)

Makes a policy or loads a policy from a path if provided.

# **Parameters**

- **venv** (VecEnv) Vectorized environment we will be imitating demos from.
- agent\_path (Optional[str]) Path to serialized policy. If provided, then load the policy from this path. Otherwise, make a new policy. Specify only if policy\_cls and policy\_kwargs are not specified.

# Returns

A Stable Baselines3 policy.

# imitation.scripts.ingredients.demonstrations

This ingredient provides (expert) demonstrations to learn from.

The demonstrations are either loaded from disk, from the HuggingFace Dataset Hub, or sampled from the expert policy provided by the expert ingredient.

#### **Functions**

```
get_expert_trajectories(source, path)

Loads expert demonstrations.
```

imitation.scripts.ingredients.demonstrations.get\_expert\_trajectories(source, path)
Loads expert demonstrations.

#### **Parameters**

- **source** (str) Can be either *local* to load rollouts from the disk, *huggingface* to load from the HuggingFace hub or *generated* to generate the expert trajectories.
- path (str) A path containing a pickled sequence of *sources.Trajectory*.

# Return type

Sequence[Trajectory]

#### Returns

The expert trajectories.

#### Raises

**ValueError** – if *source* is not in ["local", "huggingface", "generated"].

# imitation.scripts.ingredients.environment

This ingredient provides a vectorized gym environment.

# **Functions**

| <pre>make_rollout_venv(gym_id, num_vec, parallel,)</pre> | Builds the vector environment for rollouts. |
|--|---|
| make_venv(gym_id, num_vec, parallel,)                    | Builds the vector environment.              |

Builds the vector environment for rollouts.

This environment does no logging, and it is wrapped in a *RolloutInfoWrapper*.

# **Parameters**

- **gym\_id** (str) The id of the environment to create.
- num\_vec (int) Number of gym.Env instances to combine into a vector environment.
- **parallel** (bool) Whether to use "true" parallelism. If True, then use *SubProcVecEnv*. Otherwise, use *DummyVecEnv* which steps through environments serially.

- max\_episode\_steps (int) If not None, then a TimeLimit wrapper is applied to each environment to artificially limit the maximum number of timesteps in an episode.
- env\_make\_kwargs (Mapping[str, Any]) The kwargs passed to spec.make of a gym environment.
- \_rnd (Generator) Random number generator provided by Sacred.

#### **Yields**

The constructed vector environment.

## Return type

Generator[VecEnv, None, None]

Builds the vector environment.

#### **Parameters**

- gym\_id (str) The id of the environment to create.
- **num\_vec** (int) Number of *gym.Env* instances to combine into a vector environment.
- **parallel** (bool) Whether to use "true" parallelism. If True, then use *SubProcVecEnv*. Otherwise, use *DummyVecEnv* which steps through environments serially.
- max\_episode\_steps (int) If not None, then a TimeLimit wrapper is applied to each environment to artificially limit the maximum number of timesteps in an episode.
- env\_make\_kwargs (Mapping[str, Any]) The kwargs passed to spec.make of a gym environment.
- **kwargs** Passed through to *util.make\_vec\_env*.

# **Yields**

The constructed vector environment.

## Return type

Generator[VecEnv, None, None]

# imitation.scripts.ingredients.expert

This ingredient provides an expert policy.

The expert policy is either loaded from disk or from the HuggingFace Model Hub or is a test policy (e.g., random or zero). The supported policy types are:

• ppo and sac: A policy trained with SB3.

Needs a path in the loader\_kwargs.

• <algo>-huggingface (algo can be ppo or sac):

A policy trained with SB3 and uploaded to the HuggingFace Model Hub. Will load the model from the repo <organization>/<algo>-<env\_name>. You can set the organization with the *organization* key in loader\_kwargs. The default is *HumanCompatibleAI*.

- random: A policy that takes random actions.
- zero: A policy that takes zero actions.

# **Functions**

```
config_hook(config, command_name, logger)

get_expert_policy(venv, policy_type, ...)
```

```
imitation.scripts.ingredients.expert.config_hook(config, command_name, logger)
```

imitation.scripts.ingredients.expert.get\_expert\_policy(venv, policy\_type, loader\_kwargs)

# imitation.scripts.ingredients.logging

This ingredient provides a number of logging utilities.

It is responsible for logging to WandB, TensorBoard, and stdout. It will also create a symlink to the sacred logging directory in the log directory.

#### **Functions**

| <pre>hook(config, command_name, logger)</pre> |   |
|---|---|
| make_log_dir(_run, log_dir, log_level)        | Creates log directory and sets up symlink to Sacred logs. |
| setup_logging(_run, log_format_strs)          | Builds the imitation logger.                              |

imitation.scripts.ingredients.logging.hook(config, command\_name, logger)

imitation.scripts.ingredients.logging.make\_log\_dir(\_run, log\_dir, log\_level)

Creates log directory and sets up symlink to Sacred logs.

# **Parameters**

- **log\_dir** (str) The directory to log to.
- **log\_level** (Union[int, str]) The threshold of the logger. Either an integer level (10, 20, ...), a string of digits ('10', '20'), or a string of the designated level ('DEBUG', 'INFO', ...).

# Return type

Path

# **Returns**

The *log\_dir*. This avoids the caller needing to capture this argument.

imitation.scripts.ingredients.logging.setup\_logging(\_run, log\_format\_strs)

Builds the imitation logger.

## **Parameters**

**log\_format\_strs** (Sequence[str]) – The types of formats to log to.

# Return type

Tuple[HierarchicalLogger, Path]

#### Returns

The configured imitation logger and *log\_dir*. Returning *log\_dir* avoids the caller needing to capture this value.

# imitation.scripts.ingredients.policy

This ingredient provides a newly constructed stable-baselines3 policy.

#### **Functions**

make\_policy(venv, policy\_cls, policy\_kwargs)

Makes policy.

#### **Parameters**

- **venv** (VecEnv) Vectorized environment we will be imitating demos from.
- **policy\_cls** (Type[BasePolicy]) Type of a Stable Baselines3 policy architecture. Specify only if policy\_path is not specified.
- **policy\_kwargs** (Mapping[str, Any]) Keyword arguments for policy constructor. Specify only if policy\_path is not specified.

# Return type

BasePolicy

# Returns

A Stable Baselines3 policy.

# imitation.scripts.ingredients.policy\_evaluation

This ingredient performs evaluation of learned policy.

It takes care of the right wrappers, does some rollouts and computes statistics of the rollouts.

# **Functions**

eval\_policy(rl algo, veny, n episodes eval, rnd)

Evaluation of imitation learned policy.

imitation.scripts.ingredients.policy\_evaluation.eval\_policy(rl\_algo, venv, n\_episodes\_eval, \_rnd) Evaluation of imitation learned policy.

Has the side effect of setting rl algo's environment to venv if it is a BaseAlgorithm.

#### **Parameters**

- rl\_algo (Union[BaseAlgorithm, BasePolicy]) Algorithm to evaluate.
- **venv** (VecEnv) Environment to evaluate on.
- n\_episodes\_eval (int) The number of episodes to average over when calculating the average episode reward of the imitation policy for return.

• **\_rnd** (Generator) – Random number generator provided by Sacred.

## Return type

Mapping[str, float]

#### Returns

A dictionary with two keys. "imit\_stats" gives the return value of *rollout\_stats()* on rollouts test-reward-wrapped environment, using the final policy (remember that the ground-truth reward can be recovered from the "monitor\_return" key). "expert\_stats" gives the return value of *rollout\_stats()* on the expert demonstrations loaded from *path*.

# imitation.scripts.ingredients.reward

This ingredient provides a reward network.

#### **Functions**

| config_hook(config, command_name, logger)              | Sets default values for <i>net_cls</i> and <i>net_kwargs</i> . |
|--|--|
| <pre>make_reward_net(venv, net_cls, net_kwargs,)</pre> | Builds a reward network.                                       |

 $\verb|imitation.scripts.ingredients.reward.config_hook| (config, command_name, logger)|$ 

Sets default values for *net\_cls* and *net\_kwargs*.

Builds a reward network.

# **Parameters**

- venv (VecEnv) Vectorized environment reward network will predict reward for.
- **net\_cls** (Type[RewardNet]) Class of reward network to construct.
- net\_kwargs (Mapping[str, Any]) Keyword arguments passed to reward network constructor.
- **normalize\_output\_layer** (Optional[Type[BaseNorm]]) Wrapping the reward\_net with NormalizedRewardNet to normalize the reward output.
- add\_std\_alpha (Optional[float]) multiple of reward function standard deviation to add to the reward in predict\_processed. Must be None when using a reward function that does not keep track of variance. Defaults to None.
- **ensemble\_size** (Optional[int]) The number of ensemble members to create. Must set if using *net\_cls* = :class: *reward\_nets.RewardEnsemble*.
- ensemble\_member\_config (Optional[Mapping[str, Any]]) The configuration for individual ensemble members. Note that <code>ensemble\_member\_config.net\_cls</code> must not be :class: <code>reward\_nets.RewardEnsemble</code>. Must be set if using <code>net\_cls = `:class: `reward\_nets.RewardEnsemble</code>.

#### Return type

RewardNet

# Returns

A, possibly wrapped, instance of *net\_cls*.

#### Raises

**ValueError** – Using a reward ensemble but failed to provide configuration.

# imitation.scripts.ingredients.rl

This ingredient provides a reinforcement learning algorithm from stable-baselines3.

The algorithm instance is either freshly constructed or loaded from a file.

# **Functions**

| <pre>config_hook(config, command_name, logger)</pre>  | Sets defaults equivalent to sb3.PPO default hyperparam- |
|---|---|
|   | eters.  |
| <pre>load_rl_algo_from_path(_seed, agent_path,)</pre> |   |
|   | rtype   |
|   | BaseAlgorithm   |
|   |   |
| make_rl_algo(venv, rl_cls, batch_size,)               | Instantiates a Stable Baselines3 RL algorithm.          |

imitation.scripts.ingredients.rl.config\_hook(config, command\_name, logger)

Sets defaults equivalent to sb3.PPO default hyperparameters.

# **Return type**

BaseAlgorithm

Instantiates a Stable Baselines3 RL algorithm.

## **Parameters**

- **venv** (VecEnv) The vectorized environment to train on.
- rl\_cls (Type[BaseAlgorithm]) Type of a Stable Baselines3 RL algorithm.
- batch\_size (int) The batch size of the RL algorithm.
- rl\_kwargs (Mapping[str, Any]) Keyword arguments for RL algorithm constructor.
- **policy** (Mapping[str, Any]) Configuration for the policy ingredient. We need the policy\_cls and policy\_kwargs component.
- **relabel\_reward\_fn** (Optional[RewardFn]) Reward function used for reward relabeling in replay or rollout buffers of RL algorithms.

#### Return type

BaseAlgorithm

# Returns

The RL algorithm.

#### Raises

- **ValueError** *gen\_batch\_size* not divisible by *venv.num\_envs*.
- **TypeError** *rl\_cls* is neither *OnPolicyAlgorithm* nor *OffPolicyAlgorithm*.

# imitation.scripts.ingredients.wb

This ingredient provides Weights & Biases logging.

#### **Functions**

| wandb_init(_run, wandb_name_prefix,) | Putting everything together to get the W&B kwargs for |
|--------------------------------------|---|
|                                      | wandb.init().   |

Putting everything together to get the W&B kwargs for wandb.init().

#### **Parameters**

- wandb\_name\_prefix (str) User-specified prefix for wandb run name.
- wandb\_tag (Optional[str]) User-specified tag for this run.
- $\bullet \ \ wandb\_kwargs \ (\texttt{Mapping[str}, \texttt{Any]}) User\text{-specified } kwargs \ for \ wandb.init().$
- wandb\_additional\_info (Mapping[str, Any]) User-specific additional info to add to wandb experiment config.
- log\_dir (str) W&B logs will be stored in directory {log\_dir}/wandb/.

#### Raises

**ModuleNotFoundError** – wandb is not installed.

### **Return type**

None

### imitation.scripts.train adversarial

Train GAIL or AIRL.

#### **Functions**

| airl()                                |   |
|---------------------------------------|---|
| gail()                                |   |
| main_console()                        |   |
| save(trainer, save_path)              | Save discriminator and generator.                     |
| train_adversarial(_run, show_config,) | Train an adversarial-network-based imitation learning |
|                                       | algorithm.  |

```
imitation.scripts.train_adversarial.airl()
imitation.scripts.train_adversarial.gail()
imitation.scripts.train_adversarial.main_console()
```

```
imitation.scripts.train_adversarial.save(trainer, save_path)
```

Save discriminator and generator.

Train an adversarial-network-based imitation learning algorithm.

#### **Checkpoints:**

AdversarialTrainer train and test RewardNets are saved to

```
f"{log_dir}/checkpoints/{step}/reward_{train,test}.pt" where step is either the training round or "final".
```

• Generator policies are saved to f"{log\_dir}/checkpoints/{step}/gen\_policy/".

#### **Parameters**

- **show\_config** (bool) Print the merged config before starting training. This is analogous to the print\_config command, but will show config after rather than before merging *algo-rithm\_specific* arguments.
- **algo\_cls** (Type[AdversarialTrainer]) The adversarial imitation learning algorithm to use.
- algorithm\_kwargs (Mapping[str, Any]) Keyword arguments for the GAIL or AIRL constructor.
- **total\_timesteps** (int) The number of transitions to sample from the environment during training.
- **checkpoint\_interval** (int) Save the discriminator and generator models every *check-point\_interval* rounds and after training is complete. If 0, then only save weights after training is complete. If <0, then don't save weights at all.
- **agent\_path** (Optional[str]) Path to a directory containing a pre-trained agent. If provided, then the agent will be initialized using this stored policy (warm start). If not provided, then the agent will be initialized using a random policy.

#### Return type

```
Mapping[str, Mapping[str, float]]
```

#### Returns

A dictionary with two keys. "imit\_stats" gives the return value of *rollout\_stats()* on rollouts test-reward-wrapped environment, using the final policy (remember that the ground-truth reward can be recovered from the "monitor\_return" key). "expert\_stats" gives the return value of *rollout stats()* on the expert demonstrations.

#### imitation.scripts.train imitation

Trains DAgger on synthetic demonstrations generated from an expert policy.

# **Functions**

| bc(bc, _run, _rnd)             | Runs BC training.     |
|--------------------------------|-----------------------|
| dagger(bc, dagger, _run, _rnd) | Runs DAgger training. |
| main_console()                 |                       |

imitation.scripts.train\_imitation.bc(bc, \_run, \_rnd)
Runs BC training.

#### **Parameters**

- **bc** (Dict[str, Any]) Configuration for BC training.
- \_run Sacred run object.
- \_rnd (Generator) Random number generator provided by Sacred.

# Return type

Mapping[str, Mapping[str, float]]

### **Returns**

Statistics for rollouts from the trained policy and demonstration data.

imitation.scripts.train\_imitation.dagger(bc, dagger, \_run, \_rnd)

Runs DAgger training.

#### **Parameters**

- **bc** (Dict[str, Any]) Configuration for BC training.
- dagger (Mapping[str, Any]) Arguments for DAgger training.
- \_run Sacred run object.
- \_rnd (Generator) Random number generator provided by Sacred.

### Return type

Mapping[str, Mapping[str, float]]

#### Returns

Statistics for rollouts from the trained policy and demonstration data.

imitation.scripts.train\_imitation.main\_console()

# imitation.scripts.train\_preference\_comparisons

Train a reward model using preference comparisons.

Can be used as a CLI script, or the train\_preference\_comparisons function can be called directly.

### **Functions**

| main_console()                                  |  |
|---|--|
| save_checkpoint(trainer, save_path,)            | Save reward model and optionally policy.           |
| <pre>save_model(agent_trainer, save_path)</pre> | Save the model as <i>model.zip</i> .               |
| train_preference_comparisons()                  | Train a reward model using preference comparisons. |

```
imitation.scripts.train_preference_comparisons.main_console()
```

Save reward model and optionally policy.

imitation.scripts.train\_preference\_comparisons.save\_model(agent\_trainer, save\_path)
Save the model as model.zip.

imitation.scripts.train\_preference\_comparisons.train\_preference\_comparisons(total\_timesteps,

total\_comparisons, num\_iterations, comparison queue size, fragment\_length, transition\_oversampling, initial\_comparison\_frac, exploration\_frac, trajectory\_path, trajectory\_generator\_kwargs, save\_preferences, agent\_path, preference\_model\_kwargs, ward\_trainer\_kwargs, gatherer\_cls, gatherer kwargs, active\_selection, active\_selection\_oversampling, uncertainty\_on, fragmenter\_kwargs, allow\_variable\_horizon, checkpoint\_interval, query\_schedule, rnd)

Train a reward model using preference comparisons.

#### **Parameters**

- total\_timesteps (int) number of environment interaction steps
- total\_comparisons (int) number of preferences to gather in total
- **num\_iterations** (int) number of times to train the agent against the reward model and then train the reward model against newly gathered preferences.
- **comparison\_queue\_size** (Optional[int]) the maximum number of comparisons to keep in the queue for training the reward model. If None, the queue will grow without bound as new comparisons are added.
- fragment\_length (int) number of timesteps per fragment that is used to elicit preferences
- **transition\_oversampling** (float) factor by which to oversample transitions before creating fragments. Since fragments are sampled with replacement, this is usually chosen > 1 to avoid having the same transition in too many fragments.
- **initial\_comparison\_frac** (float) fraction of total\_comparisons that will be sampled before the rest of training begins (using the randomly initialized agent). This can be used to pretrain the reward model before the agent is trained on the learned reward.
- **exploration\_frac** (float) fraction of trajectory samples that will be created using partially random actions, rather than the current policy. Might be helpful if the learned policy explores too little and gets stuck with a wrong reward.
- **trajectory\_path** (Optional[str]) either None, in which case an agent will be trained and used to sample trajectories on the fly, or a path to a pickled sequence of TrajectoryWith-Rew to be trained on.
- **trajectory\_generator\_kwargs** (Mapping[str, Any]) kwargs to pass to the trajectory generator.
- **save\_preferences** (bool) if True, store the final dataset of preferences to disk.
- **agent\_path** (Optional[str]) if given, initialize the agent using this stored policy rather than randomly.
- preference\_model\_kwargs (Mapping[str, Any]) passed to PreferenceModel
- reward\_trainer\_kwargs (Mapping[str, Any]) passed to BasicRewardTrainer or EnsembleRewardTrainer
- **gatherer\_cls** (Type[*PreferenceGatherer*]) type of PreferenceGatherer to use (defaults to SyntheticGatherer)
- **gatherer\_kwargs** (Mapping[str, Any]) passed to the PreferenceGatherer specified by gatherer\_cls
- active\_selection (bool) use active selection fragmenter instead of random fragmenter
- active\_selection\_oversampling (int) factor by which to oversample random fragments from the base fragmenter of active selection. this is usually chosen > 1 to allow the active selection algorithm to pick fragment pairs with highest uncertainty. = 1 implies no active selection.
- uncertainty\_on (str) passed to ActiveSelectionFragmenter
- **fragmenter\_kwargs** (Mapping[str, Any]) passed to RandomFragmenter
- **allow\_variable\_horizon** (bool) If False (default), algorithm will raise an exception if it detects trajectories of different length during training. If True, overrides this safety check.

WARNING: variable horizon episodes leak information about the reward via termination condition, and can seriously confound evaluation. Read https://imitation.readthedocs.io/en/latest/guide/variable\_horizon.html before overriding this.

- **checkpoint\_interval** (int) Save the reward model and policy models (if trajectory\_generator contains a policy) every *checkpoint\_interval* iterations and after training is complete. If 0, then only save weights after training is complete. If <0, then don't save weights at all.
- query\_schedule (Union[str, Callable[[float], float]]) one of ("constant", "hyperbolic", "inverse\_quadratic"). A function indicating how the total number of preference queries should be allocated to each iteration. "hyperbolic" and "inverse\_quadratic" apportion fewer queries to later iterations when the policy is assumed to be better and more stable.
- \_rnd (Generator) Random number generator provided by Sacred.

### Return type

Mapping[str, Any]

#### Returns

Rollout statistics from trained policy.

#### Raises

**ValueError** – Inconsistency between config and deserialized policy normalization.

### imitation.scripts.train rl

Uses RL to train a policy from scratch, saving rollouts and policy.

#### This can be used:

- 1. To train a policy on a ground-truth reward function, as a source of synthetic "expert" demonstrations to train IRL or imitation learning algorithms.
- 2. To train a policy on a learned reward function, to solve a task or as a way of evaluating the quality of the learned reward function.

#### **Functions**

| main_console()                |  |
|-------------------------------|--|
|                               |  |
| train_rl(*, total_timesteps,) | Trains an expert policy from scratch and saves the roll- |
|                               | outs and policy.   |

```
imitation.scripts.train_rl.main_console()
```

Trains an expert policy from scratch and saves the rollouts and policy.

#### **Checkpoints:**

At applicable training steps *step* (where step is either an integer or "final"):

• Policies are saved to {log\_dir}/policies/{step}/.

• Rollouts are saved to {log\_dir}/rollouts/{step}.npz.

#### **Parameters**

- total\_timesteps (int) Number of training timesteps in *model.learn()*.
- **normalize\_reward** (bool) Applies normalization and clipping to the reward function by keeping a running average of training rewards. Note: this is may be redundant if using a learned reward that is already normalized.
- normalize\_kwargs (dict) kwargs for VecNormalize.
- **reward\_type** (Optional[str]) If provided, then load the serialized reward of this type, wrapping the environment in this reward. This is useful to test whether a reward model transfers. For more information, see *imitation.rewards.serialize.load reward*.
- reward\_path (Optional[str]) A specifier, such as a path to a file on disk, used by reward\_type to load the reward model. For more information, see *imitation.rewards.serialize.load\_reward*.
- load\_reward\_kwargs (Optional[Mapping[str, Any]]) Additional kwargs to pass to
   predict\_processed. Examples are 'alpha' for :class: AddSTDRewardWrapper and 'up date stats' for :class: NormalizedRewardNet.
- rollout\_save\_final (bool) If True, then save rollouts right after training is finished.
- **rollout\_save\_n\_timesteps** (Optional[int]) The minimum number of timesteps saved in every file. Could be more than *rollout\_save\_n\_timesteps* because trajectories are saved by episode rather than by transition. Must set exactly one of *rollout\_save\_n\_timesteps* and *rollout\_save\_n\_episodes*.
- **rollout\_save\_n\_episodes** (Optional[int]) The number of episodes saved in every file. Must set exactly one of *rollout\_save\_n\_timesteps* and *rollout\_save\_n\_episodes*.
- **policy\_save\_interval** (int) The number of training updates between in between intermediate rollout saves. If the argument is nonpositive, then don't save intermediate updates.
- policy\_save\_final (bool) If True, then save the policy right after training is finished.
- agent\_path (Optional[str]) Path to load warm-started agent.
- \_rnd (Generator) Random number generator provided by Sacred.

### Return type

Mapping[str, float]

#### Returns

The return value of *rollout stats()* using the final policy.

# 3.1.7 imitation.testing

Helper methods for unit tests.

May also be useful for users of imitation.

### **Modules**

| <pre>imitation.testing.expert_trajectories</pre> | Test utilities to conveniently generate expert trajectories. |
|--|--|
| <pre>imitation.testing.reward_improvement</pre>  | Utility functions used to check if rewards improved wrt      |
|  | to previous rewards.   |
| imitation.testing.reward_nets                    | Utility functions for testing reward nets.                   |

# imitation.testing.expert trajectories

Test utilities to conveniently generate expert trajectories.

#### **Functions**

| <pre>generate_expert_trajectories(env_id,)</pre>     | Generate expert trajectories for the given environment.    |
|--|--|
| lazy_generate_expert_trajectories()                  | Generate or load expert trajectories from cache.           |
| <pre>make_expert_transition_loader(cache_dir,)</pre> | Creates different kinds of PyTorch data loaders for expert |
|  | transitions.   |

Generate expert trajectories for the given environment.

Note: will just pull a pretrained policy from the Hugging Face model hub.

# **Parameters**

- **env\_id** (str) The environment to generate trajectories for.
- num\_trajectories (int) The number of trajectories to generate.
- **rng** (Generator) The random number generator to use.

# Return type

Sequence[TrajectoryWithRew]

#### Returns

A list of trajectories with rewards.

Generate or load expert trajectories from cache.

### **Parameters**

- cache\_path (PathLike) A path to the folder to be used as cache for the expert trajectories.
- **env\_id** (str) The environment to generate trajectories for.
- **num\_trajectories** (int) The number of trajectories to generate.
- **rng** (Generator) The random number generator to use.

#### Return type

Sequence[TrajectoryWithRew]

#### Returns

A list of trajectories with rewards.

Creates different kinds of PyTorch data loaders for expert transitions.

#### **Parameters**

- **cache\_dir** (Path) The directory to use for caching the expert trajectories.
- batch\_size (int) The batch size to use for the data loader.
- **expert\_data\_type** (str) The type of expert data to use. Can be one of "data\_loader", "ducktyped\_data\_loader", "transitions".
- **env\_name** (str) The environment to generate trajectories for.
- rng (Generator) The random number generator to use.
- **num\_trajectories** (int) The number of trajectories to generate.

#### Raises

**ValueError** – If *expert\_data\_type* is not one of the supported types.

#### **Returns**

A pytorch data loader for expert transitions.

# imitation.testing.reward improvement

Utility functions used to check if rewards improved wrt to previous rewards.

### **Functions**

| <pre>is_significant_reward_improvement([,</pre>         | Checks if the new rewards are really better than the old |
|---|--|
| p_value])   | rewards.   |
| <pre>mean_reward_improved_by(old_rews, new_rews,)</pre> | Checks if mean rewards improved wrt.                     |

```
\label{eq:continuity} \begin{tabular}{ll} imitation.testing.reward\_improvement.is\_significant\_reward\_improvement(old\_rewards, new\_rewards, p\_value=0.05) \end{tabular}
```

Checks if the new rewards are really better than the old rewards.

Ensures that this is not just due to lucky sampling by a permutation test.

#### **Parameters**

- **old\_rewards** (Iterable[float]) Iterable of "old" trajectory rewards (e.g. before training).
- **new\_rewards** (Iterable[float]) Iterable of "new" trajectory rewards (e.g. after training).
- **p\_value** (float) The maximum probability, that the old rewards are just as good as the new rewards, that we tolerate.

#### Return type

bool

#### Returns

True, if the new rewards are most probably better than the old rewards. For this, the probability, that the old rewards are just as good as the new rewards must be below  $p\_value$ .

```
>>> is_significant_reward_improvement((5, 6, 7, 4, 4), (7, 5, 9, 9, 12))
True
```

```
>>> is_significant_reward_improvement((5, 6, 7, 4, 4), (7, 5, 9, 7, 4))
False
```

```
>>> is_significant_reward_improvement((5, 6, 7, 4, 4), (7, 5, 9, 7, 4), p_value=0.3)
True
```

Checks if mean rewards improved wrt. to old rewards by a certain amount.

#### **Parameters**

- old\_rews (Iterable[float]) Iterable of "old" trajectory rewards (e.g. before training).
- new\_rews (Iterable[float]) Iterable of "new" trajectory rewards (e.g. after training).
- min\_improvement (float) The minimum amount of improvement that we expect.

#### **Returns**

True if the mean of the new rewards is larger than the mean of the old rewards by min\_improvement.

```
>>> mean_reward_improved_by([5, 8, 7], [8, 9, 10], 2)
True
```

```
>>> mean_reward_improved_by([5, 8, 7], [8, 9, 10], 5)
False
```

### imitation.testing.reward\_nets

Utility functions for testing reward nets.

# **Functions**

make\_ensemble(obs\_space, action\_space[, ...])
Create a simple reward ensemble.

# Classes

| <pre>MockRewardNet(observation_space, action_space)</pre> | A mock reward net for testing. |
|---|--------------------------------|
|---|--------------------------------|

**class** imitation.testing.reward\_nets.MockRewardNet(observation\_space, action\_space, value=0.0)

Bases: RewardNet

A mock reward net for testing.

**\_\_init\_\_**(observation\_space, action\_space, value=0.0)

Create mock reward.

#### **Parameters**

- observation\_space (Space) observation space of the env
- action\_space (Space) action space of the env
- value (float) The reward to always return. Defaults to 0.0.

forward(state, action, next\_state, done)

Compute rewards for a batch of transitions and keep gradients.

### **Return type**

Tensor

training: bool

imitation.testing.reward\_nets.make\_ensemble(obs\_space, action\_space, num\_members=2, \*\*kwargs)

Create a simple reward ensemble.

# 3.1.8 imitation.util

General utility functions: e.g. logging, configuration, etc.

#### **Modules**

| imitation.util.logger        | Logging for quantitative metrics and free-form text.  |
|------------------------------|---|
| imitation.util.networks      | Helper methods to build and run neural networks.      |
| imitation.util.registry      | Registry mapping IDs to objects, such as environments |
|                              | or policy loaders.                                    |
| imitation.util.sacred        | Helper methods for the sacred experimental configura- |
|                              | tion and logging framework.                           |
| imitation.util.util          | Miscellaneous utility methods.                        |
| imitation.util.video_wrapper | Wrapper to record rendered video frames from an envi- |
|                              | ronment.  |

# imitation.util.logger

Logging for quantitative metrics and free-form text.

#### **Functions**

| <pre>configure([folder, format_strs])</pre>        | Configure Stable Baselines logger to be accumu- |
|--|---|
|  | <pre>late_means()-compatible.</pre>             |
| <pre>make_output_format(_format, log_dir[,])</pre> | Returns a logger for the requested format.      |

#### **Classes**

| <pre>HierarchicalLogger(default_logger[, format_strs])</pre> | A logger supporting contexts for accumulating mean values. |
|--|--|
| WandbOutputFormat()  | A stable-baseline logger that writes to wandb.             |

 $\textbf{class} \ imitation.util.logger. \textbf{\textit{HierarchicalLogger}} (\textit{\textit{default\_logger}}, \textit{\textit{format\_strs}} = (\textit{\textit{'stdout'}}, \textit{\textit{'log'}}, \textit{'\textit{csv'}}))$ 

Bases: Logger

A logger supporting contexts for accumulating mean values.

*self.accumulate\_means* creates a context manager. While in this context, values are loggged to a sub-logger, with only mean values recorded in the top-level (root) logger.

```
>>> import tempfile
>>> with tempfile. Temporary Directory() as dir:
        logger: HierarchicalLogger = configure(dir, ('log',))
        # record the key value pair (loss, 1.0) to path `dir`
. . .
        # at step 1.
        logger.record("loss", 1.0)
        logger.dump(step=1)
        with logger.accumulate_means("dataset"):
            # record the key value pair `("raw/dataset/entropy", 5.0)` to path
            # `dir/raw/dataset` at step 100
            logger.record("entropy", 5.0)
            logger.dump(step=100)
            # record the key value pair `("raw/dataset/entropy", 6.0)` to path
            # `dir/raw/dataset` at step 200
            logger.record("entropy", 6.0)
            logger.dump(step=200)
        # record the key value pair `("mean/dataset/entropy", 5.5)` to path
. . .
        # `dir` at step 1.
        logger.dump(step=1)
        with logger.add_accumulate_prefix("foo"), logger.accumulate_means("bar"):
            # record the key value pair ("raw/foo/bar/biz", 42.0) to path
            # `dir/raw/foo/bar` at step 2000
            logger.record("biz", 42.0)
. . .
            logger.dump(step=2000)
        # record the key value pair `("mean/foo/bar/biz", 42.0)` to path
        # `dir` at step 1.
. . .
        logger.dump(step=1)
. . .
```

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\_\_init\_\_(default\_logger, format\_strs=('stdout', 'log', 'csv'))

Builds HierarchicalLogger.

#### **Parameters**

- **default\_logger** (Logger) The default logger when not in an *accumulate\_means* context. Also the logger to which mean values are written to after exiting from a context.
- **format\_strs** (Sequence[str]) A list of output format strings that should be used by every Logger initialized by this class during an *AccumulatingMeans* context. For details on available output formats see *stable\_baselines3.logger.make\_output\_format*.

#### accumulate\_means(name)

Temporarily modifies this HierarchicalLogger to accumulate means values.

Within this context manager, self.record(key, value) writes the "raw" values in f"{self.default\_logger.log\_dir}/[{accumulate\_prefix}/]{name}" under the key "raw/[{accumulate\_prefix}/]{key}", where accumulate\_prefix is the concatenation of all prefixes added by add\_accumulate\_prefix and key\_prefix is the concatenation of all prefixes added by add\_key\_prefix, if any. At the same time, any call to self.record will also accumulate mean values on the default logger by calling:

```
self.default_logger.record_mean(
    f"mean/[{accumulate_prefix}/]{name}/[{key_prefix}/]{key}",
    value,
)
```

Multiple prefixes may be active at once. In this case the *prefix* is simply the concatenation of each of the active prefixes in the order they were created e.g. if the active prefixes are ['foo', 'bar'] then the prefix is 'foo/bar'.

After the context exits, calling self.dump() will write the means of all the "raw" values accumulated during this context to self.default\_logger under keys of the form mean/{prefix}/{name}/{key}

Note that the behavior of other logging methods, log and record\_mean are unmodified and will go straight to the default logger.

#### **Parameters**

**name** (str) – A string key which determines the folder where raw data is written and temporary logging prefixes for raw and mean data. Entering an *accumulate\_means* context in the future with the same *subdir* will safely append to logs written in this folder rather than overwrite.

#### **Yields**

None when the context is entered.

#### Raises

**RuntimeError** – If this context is entered into while already in an *accumulate\_means* context.

#### Return type

Generator[None, None, None]

# add\_accumulate\_prefix(prefix)

Add a prefix to the subdirectory used to accumulate means.

This prefix only applies when a *accumulate\_means* context is active. If there are multiple active prefixes, then they are concatenated.

### **Parameters**

**prefix** (str) – The prefix to add to the named sub.

#### **Yields**

None when the context manager is entered

#### **Raises**

**RuntimeError** – if accumulate means context is already active.

#### Return type

Generator[None, None, None]

# add\_key\_prefix(prefix)

Add a prefix to the keys logged during an accumulate\_means context.

This prefix only applies when a *accumulate\_means* context is active. If there are multiple active prefixes, then they are concatenated.

#### **Parameters**

**prefix** (str) – The prefix to add to the keys.

#### **Yields**

None when the context manager is entered

#### Raises

**RuntimeError** – if accumulate means context is already active.

# Return type

Generator[None, None, None]

### close()

closes the file

current\_logger: Optional[Logger]

default\_logger: Logger

dump(step=0)

Write all of the diagnostics from the current iteration

```
format_strs: Sequence[str]
```

get\_accumulate\_prefixes()

### Return type

str

#### get\_dir()

Get directory that log files are being written to. will be None if there is no output directory (i.e., if you didn't call start)

#### Return type

str

#### Returns

the logging directory

# log(\*args, \*\*kwargs)

Write the sequence of args, with no separators, to the console and output files (if you've configured an output file).

#### level: int. (see logger.py docs) If the global logger level is higher than

the level argument here, don't print to stdout.

#### **Parameters**

- args log the arguments
- **level** the logging level (can be DEBUG=10, INFO=20, WARN=30, ERROR=40, DIS-ABLED=50)

# record(key, val, exclude=None)

Log a value of some diagnostic Call this once for each diagnostic quantity, each iteration If called many times, last value will be used.

#### **Parameters**

- **key** save to log this key
- value save to log this value
- exclude outputs to be excluded

# record\_mean(key, val, exclude=None)

The same as record(), but if called many times, values averaged.

#### **Parameters**

- **key** save to log this key
- value save to log this value
- exclude outputs to be excluded

#### set\_level(level)

Set logging threshold on current logger.

### **Parameters**

**level** (int) – the logging level (can be DEBUG=10, INFO=20, WARN=30, ERROR=40, DISABLED=50)

#### Return type

None

### class imitation.util.logger.WandbOutputFormat

Bases: KVWriter

A stable-baseline logger that writes to wandb.

Users need to call wandb.init() before initializing WandbOutputFormat.

#### \_\_init\_\_()

Initializes an instance of WandbOutputFormat.

#### Raises

**ModuleNotFoundError** – wandb is not installed.

#### close()

Close owned resources

#### **Return type**

None

write(key\_values, key\_excluded, step=0)

Write a dictionary to file

#### **Parameters**

- key\_values (Dict[str, Any]) -
- **key\_excluded** (Dict[str, Union[str, Tuple[str, ...]]]) -
- step (int) -

### Return type

None

imitation.util.logger.configure(folder=None, format\_strs=None)

Configure Stable Baselines logger to be *accumulate means()*-compatible.

After this function is called, *stable\_baselines3.logger.{configure,reset}()* are replaced with stubs that raise RuntimeError.

#### **Parameters**

- **folder** (Union[str, bytes, PathLike, None]) Argument from *sta-ble\_baselines3.logger.configure*.
- **format\_strs** (Optional[Sequence[str]]) An list of output format strings. For details on available output formats see *stable\_baselines3.logger.make\_output\_format*.

# Return type

HierarchicalLogger

#### Returns

The configured HierarchicalLogger instance.

imitation.util.logger.make\_output\_format(\_format, log\_dir, log\_suffix=", max\_length=50)

Returns a logger for the requested format.

#### **Parameters**

- **\_format** (str) the requested format to log to ('stdout', 'log', 'json' or 'csv' or 'tensor-board').
- **log\_dir** (str) the logging directory.
- **log\_suffix** (str) the suffix for the log file.
- max\_length (int) the maximum length beyond which the keys get truncated.

# Return type

**KVWriter** 

#### **Returns**

the logger.

### imitation.util.networks

Helper methods to build and run neural networks.

#### **Functions**

| build_cnn(in_channels, hid_channels[,])    | Constructs a Torch CNN.                                 |
|--|---|
| build_mlp(in_size, hid_sizes[, out_size,]) | Constructs a Torch MLP.                                 |
| training_mode(m[, mode])                   | Temporarily switch module m to specified training mode. |

#### **Classes**

| BaseNorm(num_features[, eps])                  | Base class for layers that try to normalize the input to mean 0 and variance 1. |
|--|---|
| <pre>EMANorm(num_features[, decay, eps])</pre> | Similar to RunningNorm but uses an exponential                                  |
|  | weighting.  |
| RunningNorm(num_features[, eps])               | Normalizes input to mean 0 and standard deviation 1 us-                         |
|  | ing a running average.  |
| SqueezeLayer(*args, **kwargs)                  | Torch module that squeezes a B*1 tensor down into a                             |
|  | size-B vector.  |

# class imitation.util.networks.BaseNorm(num\_features, eps=1e-05)

Bases: Module, ABC

Base class for layers that try to normalize the input to mean 0 and variance 1.

Similar to BatchNorm, LayerNorm, etc. but whereas they only use statistics from the current batch at train time, we use statistics from all batches.

\_\_init\_\_(num\_features, eps=1e-05)

Builds RunningNorm.

# **Parameters**

- $\bullet \ \ \, num\_features \ (\mbox{int}) Number \ of \ features; the \ length \ of \ the \ non-batch \ dimension.$
- **eps** (float) Small constant for numerical stability. Inputs are rescaled by 1 /  $sqrt(estimated\_variance + eps)$ .

# count: Tensor

# forward(x)

Updates statistics if in training mode. Returns normalized *x*.

#### **Return type**

Tensor

# reset\_running\_stats()

Resets running stats to defaults, yielding the identity transformation.

# Return type

None

#### running\_mean: Tensor

```
running_var: Tensor
     abstract update_stats(batch)
          Update self.running_mean, self.running_var and self.count.
              Return type
                  None
class imitation.util.networks.EMANorm(num_features, decay=0.99, eps=1e-05)
     Bases: BaseNorm
     Similar to RunningNorm but uses an exponential weighting.
     __init__(num_features, decay=0.99, eps=1e-05)
          Builds EMARunningNorm.
              Parameters
                   • num_features (int) – Number of features; the length of the non-batch dim.
                   • decay (float) – how quickly the weight on past samples decays over time.
                   • eps (float) – small constant for numerical stability.
              Raises
                  ValueError – if decay is out of range.
     inv_learning_rate: Tensor
     num_batches: IntTensor
     reset_running_stats()
          Reset the running stats of the normalization layer.
     update_stats(batch)
          Update self.running_mean and self.running_var in batch mode.
          Reference Algorithm 3 from:
                                              https://github.com/HumanCompatibleAI/imitation/files/9456540/
          Incremental_batch_EMA_and_EMV.pdf
                  batch (Tensor) – A batch of data to use to update the running mean and variance.
              Return type
```

None

**class** imitation.util.networks.**RunningNorm**(num\_features, eps=1e-05)

Bases: BaseNorm

Normalizes input to mean 0 and standard deviation 1 using a running average.

Similar to BatchNorm, LayerNorm, etc. but whereas they only use statistics from the current batch at train time, we use statistics from all batches.

This should replicate the common practice in RL of normalizing environment observations, such as using VecNormalize in Stable Baselines. Note that the behavior of this class is slightly different from VecNormalize, e.g., it works with the current reward instead of return estimate, and subtracts the mean reward whereas VecNormalize only rescales it.

count: Tensor

running\_mean: Tensor

#### running\_var: Tensor

training: bool

### update\_stats(batch)

Update self.running\_mean, self.running\_var and self.count.

Uses Chan et al (1979), "Updating Formulae and a Pairwise Algorithm for Computing Sample Variances." to update the running moments in a numerically stable fashion.

#### **Parameters**

**batch** (Tensor) – A batch of data to use to update the running mean and variance.

#### Return type

None

class imitation.util.networks.SqueezeLayer(\*args, \*\*kwargs)

Bases: Module

Torch module that squeezes a B\*1 tensor down into a size-B vector.

#### forward(x)

Defines the computation performed at every call.

Should be overridden by all subclasses.

**Note:** Although the recipe for forward pass needs to be defined within this function, one should call the Module instance afterwards instead of this since the former takes care of running the registered hooks while the latter silently ignores them.

# training: bool

Constructs a Torch CNN.

#### **Parameters**

- **in\_channels** (int) number of channels of individual inputs; input to the CNN will have shape (batch\_size, in\_size, in\_height, in\_width).
- **hid\_channels** (Iterable[int]) number of channels of hidden layers. If this is an empty iterable, then we build a linear function approximator.
- out\_size (int) size of output vector.
- name (Optional[str]) Name to use as a prefix for the layers ID.
- activation (Type[Module]) activation to apply after hidden layers.
- kernel\_size (int) size of convolutional kernels.
- **stride** (int) stride of convolutional kernels.
- padding (Union[int, str]) padding of convolutional kernels.
- **dropout\_prob** (float) Dropout probability to use after each hidden layer. If 0, no dropout layers are added to the network.
- **squeeze\_output** (bool) if out\_size=1, then squeeze\_input=True ensures that CNN output is of size (B,) instead of (B,1).

#### Returns

#### a CNN mapping from inputs of size (batch\_size, in\_size, in\_height,

in\_width) to (batch\_size, out\_size), unless out\_size=1 and squeeze\_output=True, in which case the output is of size (batch\_size, ).

#### Return type

nn.Module

#### Raises

**ValueError** – if squeeze\_output was supplied with out\_size!=1.

Constructs a Torch MLP.

#### **Parameters**

- in\_size (int) size of individual input vectors; input to the MLP will be of shape (batch\_size, in\_size).
- **hid\_sizes** (Iterable[int]) sizes of hidden layers. If this is an empty iterable, then we build a linear function approximator.
- out\_size (int) size of output vector.
- name (Optional[str]) Name to use as a prefix for the layers ID.
- **activation** (Type[Module]) activation to apply after hidden layers.
- **dropout\_prob** (float) Dropout probability to use after each hidden layer. If 0, no dropout layers are added to the network.
- **squeeze\_output** (bool) if out\_size=1, then squeeze\_input=True ensures that MLP output is of size (B,) instead of (B,1).
- **flatten\_input** (bool) should input be flattened along axes 1, 2, 3, ...? Useful if you want to, e.g., process small images inputs with an MLP.
- **normalize\_input\_layer** (Optional[Type[Module]]) if specified, module to use to normalize inputs; e.g. *nn.BatchNorm* or *RunningNorm*.

### Returns

### an MLP mapping from inputs of size (batch\_size, in\_size) to

(batch\_size, out\_size), unless out\_size=1 and squeeze\_output=True, in which case the output is of size (batch\_size, ).

#### Return type

nn.Module

### Raises

**ValueError** – if squeeze\_output was supplied with out\_size!=1.

imitation.util.networks.evaluating(m: Module, \*, mode: bool = False)

Temporarily switch module m to specified training mode.

#### **Parameters**

- **m** The module to switch the mode of.
- **mode** whether to set training mode (True) or evaluation (False).

# **Yields**

The module m.

imitation.util.networks.training(m: Module, \*, mode: bool = True)

Temporarily switch module m to specified training mode.

#### **Parameters**

- m The module to switch the mode of.
- **mode** whether to set training mode (True) or evaluation (False).

#### **Yields**

The module m.

imitation.util.networks.training\_mode(m, mode=False)

Temporarily switch module m to specified training mode.

#### **Parameters**

- m (Module) The module to switch the mode of.
- mode (bool) whether to set training mode (True) or evaluation (False).

#### **Yields**

The module m.

# imitation.util.registry

Registry mapping IDs to objects, such as environments or policy loaders.

### **Module Attributes**

| LoaderFn | The type stored in Registry is commonly an instance of |
|----------|--|
|          | LoaderFn.  |

### **Functions**

| <pre>build_loader_fn_require_env(fn, **kwargs)</pre>   | Converts a factory taking an environment into a LoaderFn.               |
|--|---|
| <pre>build_loader_fn_require_space(fn, **kwargs)</pre> | Converts a factory taking observation and action space into a LoaderFn. |
| load_attr(name)  | Load an attribute in format path.to.module:attribute.                   |

### Classes

| Registry() | A registry mapping IDs to type T objects, with support |
|------------|--|
|            | for lazy loading.                                      |

# imitation.util.registry.LoaderFn

The type stored in Registry is commonly an instance of LoaderFn.

alias of Callable[[...], T]

```
class imitation.util.registry.Registry
```

Bases: Generic[T]

A registry mapping IDs to type T objects, with support for lazy loading.

The registry allows for insertion and retrieval. Modification of existing elements is not allowed.

If the registered item is a string, it is assumed to be a path to an attribute in the form path.to.module:attribute. In this case, the module is loaded only if and when the registered item is retrieved.

This is helpful both to reduce overhead from importing unused modules, and when some modules may have additional dependencies that are not installed in all deployments.

Note: This is a similar idea to gym.EnvRegistry.

```
__init__()
Builds empty Registry.

get(key)

Return type
TypeVar(T)

keys()

Return type
Iterable[str]
```

register(key, \*, value=None, indirect=None)

imitation.util.registry.build\_loader\_fn\_require\_env(fn, \*\*kwargs)

Converts a factory taking an environment into a LoaderFn.

```
Return type
```

Callable[..., TypeVar(T)]

imitation.util.registry.build\_loader\_fn\_require\_space(fn, \*\*kwargs)

Converts a factory taking observation and action space into a LoaderFn.

#### Return type

```
Callable[..., TypeVar(T)]
```

imitation.util.registry.load\_attr(name)

Load an attribute in format path.to.module:attribute.

#### imitation.util.sacred

Helper methods for the *sacred* experimental configuration and logging framework.

# **Functions**

| build_sacred_symlink(log_dir, run)                           | Constructs a symlink "{log_dir}/sacred" => "\${SA-CRED_PATH}". |
|--|--|
| <pre>dict_get_nested(d, nested_key, *[, sep, default])</pre> |  |
|  | rtype  |
|  | Any  |
| dir_contains_sacred_jsons(dir_path)                          |  |
|  | rtype  |
|  | bool   |
| filter_subdirs(root_dir[, filter_fn, nested_ok])             | Walks through a directory tree, returning paths to filtered    |
|  | subdirectories.  |
| <pre>get_sacred_dir_from_run(run)</pre>                      | Returns path to the sacred directory, or None if not           |
|  | found.   |

### Classes

| SacredDicts(sacred_dir, config, run) | Each dict <i>foo</i> is loaded from <i>f</i> "{ <i>sacred_dir</i> }/ <i>foo.json</i> ". |
|--------------------------------------|---|

class imitation.util.sacred.SacredDicts(sacred\_dir: Path, config: dict, run: dict)

Bases: tuple

Each dict *foo* is loaded from *f*"{sacred\_dir}/foo.json".

config: dict

classmethod load\_from\_dir(sacred\_dir)

run: dict

sacred\_dir: Path

imitation.util.sacred.build\_sacred\_symlink(log\_dir, run)

 $Constructs \ a \ symlink \ ``\{log\_dir\}/sacred" => ```\{SACRED\_PATH\}".$ 

### **Return type**

None

imitation.util.sacred.dict\_get\_nested(d, nested\_key, \*, sep='.', default=None)

# Return type

Any

imitation.util.sacred.dir\_contains\_sacred\_jsons(dir\_path)

# Return type

bool

Walks through a directory tree, returning paths to filtered subdirectories.

Does not follow symlinks.

#### **Parameters**

- **root\_dir** (Path) The start of the directory tree walk.
- **filter\_fn** (Callable[[Path], bool]) A function with takes a directory path and returns True if we should include the directory path in this function's return value.
- **nested\_ok** (bool) Allow returning "nested" directories, i.e. a return value where some elements are subdirectories of other elements.

### **Return type**

Sequence[Path]

### Returns

A list of all subdirectory paths where  $filter\_fn(path) == True$ .

#### Raises

**ValueError** – If *nested\_ok* is False and one of the filtered directory paths is a subdirectry of another.

imitation.util.sacred.get\_sacred\_dir\_from\_run(run)

Returns path to the sacred directory, or None if not found.

### **Return type**

Optional[Path]

### imitation.util.util

Miscellaneous utility methods.

### **Functions**

| docstring_parameter(*args, **kwargs)                    | Treats the docstring as a format string, substituting in the |
|---|--|
|   | arguments.   |
| endless_iter(iterable)                                  | Generator that endlessly yields elements from iterable.      |
| <pre>get_first_iter_element(iterable)</pre>             | Get first element of an iterable and a new fresh iterable.   |
| make_seeds()  | Generate n random seeds from a random state.                 |
| make_unique_timestamp()                                 | Timestamp, with random uuid added to avoid collisions.       |
| make_vec_env(env_name, *, rng[, n_envs,])               | Makes a vectorized environment.                              |
| oric(x)   | Optimal rounding under integer constraints.                  |
| <pre>parse_optional_path(path[, allow_relative,])</pre> | Parse an optional path to a <i>pathlib.Path</i> object.      |
| <pre>parse_path(path[, allow_relative,])</pre>          | Parse a path to a <i>pathlib.Path</i> object.                |
| safe_to_numpy()   | Convert torch tensor to numpy.                               |
| safe_to_tensor(array, **kwargs)                         | Converts a NumPy array to a PyTorch tensor.                  |
| tensor_iter_norm(tensor_iter[, ord])                    | Compute the norm of a big vector that is produced one        |
|   | tensor chunk at a time.                                      |

imitation.util.util.docstring\_parameter(\*args, \*\*kwargs)

Treats the docstring as a format string, substituting in the arguments.

imitation.util.util.endless\_iter(iterable)

Generator that endlessly yields elements from iterable.

```
>>> x = range(2)
>>> it = endless_iter(x)
>>> next(it)
0
>>> next(it)
1
>>> next(it)
0
```

#### **Parameters**

**iterable** (Iterable[TypeVar(T)]) – The non-iterator iterable object to endlessly iterate over.

#### **Return type**

Iterator[TypeVar(T)]

#### Returns

An iterator that repeats the elements in *iterable* forever.

#### Raises

**ValueError** – if iterable is an iterator – that will be exhausted, so cannot be iterated over endlessly.

```
imitation.util.util.get_first_iter_element(iterable)
```

Get first element of an iterable and a new fresh iterable.

The fresh iterable has the first element added back using itertools.chain. If the iterable is not an iterator, this is equivalent to (next(iter(iterable)), iterable).

#### **Parameters**

**iterable** (Iterable[TypeVar(T)]) – The iterable to get the first element of.

### Return type

```
Tuple[TypeVar(T), Iterable[TypeVar(T)]]
```

#### Returns

A tuple containing the first element of the iterable, and a fresh iterable with all the elements.

#### Raises

**ValueError** – *iterable* is empty – the first call to it returns no elements.

```
imitation.util.util.make_seeds(rng: Generator) \rightarrow int imitation.util.util.make_seeds(rng: Generator, n: int) \rightarrow List[int]
```

Generate n random seeds from a random state.

#### **Parameters**

- rng (Generator) The random state to use to generate seeds.
- **n** (Optional[int]) The number of seeds to generate.

# Return type

Union[Sequence[int], int]

#### **Returns**

A list of n random seeds.

```
imitation.util.util.make_unique_timestamp()
```

Timestamp, with random uuid added to avoid collisions.

# Return type

str

Makes a vectorized environment.

#### **Parameters**

- env\_name (str) The Env's string id in Gym.
- **rng** (Generator) The random state to use to seed the environment.
- **n\_envs** (int) The number of duplicate environments.
- parallel (bool) If True, uses SubprocVecEnv; otherwise, DummyVecEnv.
- log\_dir (Optional[str]) If specified, saves Monitor output to this directory.
- max\_episode\_steps (Optional[int]) If specified, wraps each env in a TimeLimit wrapper with this episode length. If not specified and max\_episode\_steps exists for this env\_name in the Gym registry, uses the registry max\_episode\_steps for every TimeLimit wrapper (this automatic wrapper is the default behavior when calling gym.make). Otherwise the environments are passed into the VecEnv unwrapped.
- post\_wrappers (Optional[Sequence[Callable[[Env, int], Env]]]) If specified, iteratively wraps each environment with each of the wrappers specified in the sequence. The argument should be a Callable accepting two arguments, the Env to be wrapped and the environment index, and returning the wrapped Env.
- env\_make\_kwargs (Optional[Mapping[str, Any]]) The kwargs passed to spec.make.

#### Return type

VecEnv

### Returns

A VecEnv initialized with *n\_envs* environments.

```
imitation.util.util.oric(x)
```

Optimal rounding under integer constraints.

Given a vector of real numbers such that the sum is an integer, returns a vector of rounded integers that preserves the sum and which minimizes the Lp-norm of the difference between the rounded and original vectors for all p >= 1. Algorithm from https://arxiv.org/abs/1501.00014. Runs in O(n log n) time.

#### Parameters

**x** (ndarray) – A 1D vector of real numbers that sum to an integer.

#### Return type

ndarray

### Returns

A 1D vector of rounded integers, preserving the sum.

imitation.util.util.parse\_optional\_path(path, allow\_relative=True, base\_directory=None)

Parse an optional path to a pathlib.Path object.

All resulting paths are resolved, absolute paths. If *allow\_relative* is True, then relative paths are allowed as input, and are resolved relative to the current working directory, or relative to *base\_directory* if it is specified.

### **Parameters**

- path (Union[str, bytes, PathLike, None]) The path to parse. Can be a string, bytes, or os.PathLike.
- **allow\_relative** (bool) If True, then relative paths are allowed as input, and are resolved relative to the current working directory. If False, an error is raised if the path is not absolute.
- **base\_directory** (Optional[Path]) If specified, then relative paths are resolved relative to this directory, instead of the current working directory.

### Return type

Optional[Path]

#### Returns

A pathlib.Path object, or None if path is None.

imitation.util.util.parse\_path(path, allow\_relative=True, base\_directory=None)

Parse a path to a pathlib.Path object.

All resulting paths are resolved, absolute paths. If *allow\_relative* is True, then relative paths are allowed as input, and are resolved relative to the current working directory, or relative to *base\_directory* if it is specified.

#### **Parameters**

- path (Union[str, bytes, PathLike]) The path to parse. Can be a string, bytes, or os.PathLike.
- **allow\_relative** (bool) If True, then relative paths are allowed as input, and are resolved relative to the current working directory. If False, an error is raised if the path is not absolute.
- **base\_directory** (Optional[Path]) If specified, then relative paths are resolved relative to this directory, instead of the current working directory.

#### Return type

Path

#### Returns

A pathlib.Path object.

#### Raises

- **ValueError** If *allow\_relative* is False and the path is not absolute.
- ValueError If base\_directory is specified and allow\_relative is False.

imitation.util.util.safe\_to\_numpy(obj: Union[ndarray, Tensor], warn: bool = False)  $\rightarrow$  ndarray imitation.util.util.safe\_to\_numpy(obj: None, warn: bool = False)  $\rightarrow$  None

Convert torch tensor to numpy.

If the object is already a numpy array, return it as is. If the object is none, returns none.

### **Parameters**

- **obj** (Union[ndarray, Tensor, None]) torch tensor object to convert to numpy array
- warn (bool) if True, warn if the object is not already a numpy array. Useful for warning the user of a potential performance hit if a torch tensor is not the expected input type.

#### Return type

Optional[ndarray]

### Returns

Object converted to numpy array

```
imitation.util.util.safe_to_tensor(array, **kwargs)
```

Converts a NumPy array to a PyTorch tensor.

The data is copied in the case where the array is non-writable. Unfortunately if you just use *th.as\_tensor* for this, an ugly warning is logged and there's undefined behavior if you try to write to the tensor.

#### **Parameters**

- array (Union[ndarray, Tensor]) The array to convert to a PyTorch tensor.
- **kwargs** Additional keyword arguments to pass to *th.as\_tensor*.

#### **Return type**

Tensor

#### Returns

A PyTorch tensor with the same content as array.

```
imitation.util.util.tensor_iter_norm(tensor_iter, ord=2)
```

Compute the norm of a big vector that is produced one tensor chunk at a time.

#### **Parameters**

- **tensor\_iter** (Iterable[Tensor]) an iterable that yields tensors.
- ord (Union[int, float]) order of the p-norm (can be any int or float except 0 and NaN).

### **Return type**

Tensor

#### Returns

Norm of the concatenated tensors.

### Raises

**ValueError** – ord is 0 (unsupported).

# imitation.util.video\_wrapper

Wrapper to record rendered video frames from an environment.

# Classes

| VideoWrapper(env, directory[, single_video]) | Creates videos from wrapped environment by calling |
|--|--|
|  | render after each timestep.                        |

**class** imitation.util.video\_wrapper.**VideoWrapper**(env, directory, single\_video=True)

Bases: Wrapper

Creates videos from wrapped environment by calling render after each timestep.

```
__init__(env, directory, single_video=True)
```

Builds a VideoWrapper.

#### **Parameters**

- **env** (Env) the wrapped environment.
- **directory** (Path) the output directory.

• **single\_video** (bool) – if True, generates a single video file, with episodes concatenated. If False, a new video file is created for each episode. Usually a single video file is what is desired. However, if one is searching for an interesting episode (perhaps by looking at the metadata), then saving to different files can be useful.

### close()

Override close in your subclass to perform any necessary cleanup.

Environments will automatically close() themselves when garbage collected or when the program exits.

### Return type

None

directory: Path

episode\_id: int

#### reset()

Resets the environment to an initial state and returns an initial observation.

Note that this function should not reset the environment's random number generator(s); random variables in the environment's state should be sampled independently between multiple calls to *reset()*. In other words, each call of *reset()* should yield an environment suitable for a new episode, independent of previous episodes.

#### Returns

the initial observation.

#### Return type

observation (object)

# single\_video: bool

# step(action)

Run one timestep of the environment's dynamics. When end of episode is reached, you are responsible for calling *reset()* to reset this environment's state.

Accepts an action and returns a tuple (observation, reward, done, info).

# **Parameters**

```
action (object) – an action provided by the agent
```

# Returns

agent's observation of the current environment reward (float): amount of reward returned after previous action done (bool): whether the episode has ended, in which case further step() calls will return undefined results info (dict): contains auxiliary diagnostic information (helpful for debugging, and sometimes learning)

#### **Return type**

observation (object)

video\_recorder: Optional[VideoRecorder]

# 3.2 Developer Guide

This guide explains the library structure of imitation. The code is organized such that logically similar files are grouped into a subpackage. We maintain the following subpackages in src/imitation:

- algorithms: the core implementation of imitation and reward learning algorithms.
- data: modules to collect, store and manipulate transitions and trajectories from RL environments.
- envs: provides test environments.
- policies: provides modules that define policies and methods to manipulate them (e.g., serialization).
- regularization: implements a variety of regularization techniques for NN weights.
- rewards: modules to build, serialize and preprocess neural network based reward functions.
- scripts: command-line scripts for running experiments through Sacred.
- util: provides utility functions like logging, configurations, etc.

# 3.2.1 Algorithms

The imitation.algorithms.base module defines the following two classes:

- BaseImitationAlgorithm: Base class for all imitation algorithms.
- DemonstrationAlgorithm: Base class for all demonstration-based algorithms like BC, IRL, etc. This class subclasses BaseImitationAlgorithm.

Demonstration algorithms offer the following methods and properties:

- policy property that returns a policy imitating the demonstration data.
- set\_demonstrations method that sets the demonstrations data for learning.

All of the algorithms provide the train method for training an agent and/or a reward network.

All the available algorithms are present in algorithms/ with each algorithm in a distinct file. Adversarial algorithms like AIRL and GAIL are present in algorithms/adversarial.

#### 3.2.2 Data

Modules handling environment data.

For example: types for transitions/trajectories; methods to compute rollouts; buffers to store transitions; helpers for these modules.

data.wrapper.BufferingWrapper: Wraps a vectorized environment VecEnv to save the trajectories from all the environments in a buffer.

data.wrapper.RolloutInfoWrapper: Wraps a gym.Env environment to log the original observations and rewards received from the environment. The observations and rewards of the entire episode are logged in the info dictionary with the key "rollout", in the final time step of the episode. This wrapper is useful for saving rollout trajectories, especially in cases where you want to bypass the reward and/or observation overrides from other wrappers. See data.rollout.unwrap\_traj for details and scripts/train\_rl.py for an example use case.

data.rollout.rollout: Generates rollout by taking in any policy as input along with the environment.

# 3.2.3 Policies

The imitation.policies subpackage contains the following modules:

- policies.base: defines commonly used policies across the library like FeedForward32Policy, SAC1024Policy, NormalizeFeaturesExtractor, etc.
- policies.exploration\_wrapper: defines the ExplorationWrapper class that wraps a policy to create a partially randomized policy useful for exploration.
- policies.replay\_buffer\_wrapper: defines the ReplayBufferRewardWrapper to wrap a replay buffer that returns transitions with rewards specified by a reward function.
- policies.serialize: defines various functions to save and load serialized policies from the disk or the Hugging Face hub.

# 3.2.4 Regularization

The imitation.regularization subpackage provides an API for creating neural network regularizers. It provides classes such as regularizers.LpRegularizer and regularizers.WeightDecayRegularizer to regularize the loss function and the weights of a network, respectively. The updaters.IntervalParamScaler class also provides support to scale the lambda hyperparameter of a regularizer up when the ratio of validation to training loss is above an upper bound, and scales it down when the ratio drops below a lower bound.

#### 3.2.5 Rewards

The imitation.rewards subpackage contains code related to building, serializing, and loading reward networks. Some of the classes include:

- rewards.reward\_nets.RewardNet: is the base reward network class. Reward networks can take state, action, and the next state as input to predict the reward. The forward method is used while training the network, whereas the predict method is used during evaluation.
- rewards.reward\_nets.BasicRewardNet: builds a MLP reward network.
- rewards.reward\_nets.CnnRewardNet: builds a CNN based reward network.
- rewards.reward\_nets.RewardEnsemble: builds an ensemble of reward networks.
- rewards.reward\_wrapper.RewardVecEnvWrapper: This class wraps a VecEnv with a custom RewardFn. The default reward function of the environment is overridden with the passed reward function, and the original rewards are stored in the info\_dict with the original\_env\_rew key. This class is used to override the original reward function of an environment with a learned reward function from the reward learning algorithms like preference comparisons.

The imitation.rewards.serialize module contains functions to load serialized reward functions.

For more see the Reward Networks Tutorial.

# 3.2.6 Scripts

We use Sacred to provide a command-line interface to run the experiments. The scripts to run the end-to-end experiments are available in scripts/. You can take a look at the following doc links to understand how to use Sacred:

- Experiment Overview: Explains how to create and run experiments. Each script, defined in scripts/, has a corresponding experiment object, defined in scripts/config, with the experiment object and Python source files named after the algorithm(s) supported. For example, the train\_rl\_ex object is defined in scripts. config.train\_rl and its main function is in scripts.train\_rl.
- Ingredients: Explains how to use ingredients to avoid code duplication across experiments. The ingredients used in our experiments are defined in scripts/ingredients/:

| imitation.scripts.ingredients.logging           | This ingredient provides a number of logging utili-   |
|---|---|
|   | ties.   |
| imitation.scripts.ingredients.                  | This ingredient provides (expert) demonstrations to   |
| demonstrations                                  | learn from.   |
| imitation.scripts.ingredients.                  | This ingredient provides a vectorized gym environ-    |
| environment                                     | ment.   |
| imitation.scripts.ingredients.expert            | This ingredient provides an expert policy.            |
| imitation.scripts.ingredients.reward            | This ingredient provides a reward network.            |
| imitation.scripts.ingredients.rl                | This ingredient provides a reinforcement learning al- |
|   | gorithm from stable-baselines3.                       |
| <pre>imitation.scripts.ingredients.policy</pre> | This ingredient provides a newly constructed stable-  |
|   | baselines3 policy.                                    |
| imitation.scripts.ingredients.wb                | This ingredient provides Weights & Biases logging.    |
|   |   |

- Configurations: Explains how to use configurations to parametrize runs. The configurations for different algorithms are defined in their file in scripts/. Some of the commonly used configs and ingredients used across algorithms are defined in scripts/ingredients/.
- Command-Line Interface: Explains how to run the experiments through the command-line interface. Also, note the section on how to print configs to verify the configurations used for the run.
- Controlling Randomness: Explains how to control randomness by seeding experiments through Sacred.

# 3.2.7 Util

imitation.util.logger.HierarchicalLogger: A logger that supports contexts for accumulating the mean of values of all the logged keys. The logger internally maintains one separate stable\_baselines3.common.logger. Logger object for logging the mean values, and one Logger object for the raw values for each context. The accumulate\_means context cannot be called inside an already open accumulate\_means context. The imitation. util.logger.configure function can be used to easily construct a HierarchicalLogger object.

imitation.util.networks: This module provides some additional neural network layers that can be used for imitation like RunningNorm and EMANorm that normalize their inputs. The module also provides functions like build\_mlp and build\_cnn to quickly build neural networks.

imitation.util.util: This module provides miscellaneous util functions like make\_vec\_env to easily construct vectorized environments and safe\_to\_tensor that converts a NumPy array to a PyTorch tensor.

imitation.util.video\_wrapper.VideoWrapper: A wrapper to record rendered videos from an environment.

# 3.3 Contributing

### 3.3.1 Code of Conduct

To ensure that the imitation community remains open and inclusive, we have a few ground rules that we ask contributors to adhere to. This isn't an exhaustive list of things that you can't do. Rather, take it in the spirit in which it's intended — a guide to make it easier to enrich all of us and the technical communities in which we participate.

- Be friendly and patient.
- **Be welcoming.** We strive to be a community that welcomes and supports people of all backgrounds and identities. This includes, but is not limited to members of any race, ethnicity, culture, national origin, colour, immigration status, social and economic class, educational level, sex, sexual orientation, gender identity and expression, age, size, family status, political belief, religion, and mental and physical ability.
- **Be considerate**. Your work will be used by other people, and you in turn will depend on the work of others. Any decision you take will affect users and colleagues, and you should take those consequences into account when making decisions. Remember that we're a world-wide community, so you might not be communicating in someone else's primary language.
- **Be respectful**. Not all of us will agree all the time, but disagreement is no excuse for poor behavior and poor manners. We might all experience some frustration now and then, but we cannot allow that frustration to turn into a personal attack. Members of the imitation community should be respectful when dealing with other members as well as with people outside the imitation community.
- Be careful in the words that you choose. We are a community of professionals, and we conduct ourselves professionally. Be kind to others. Do not insult or put down other participants. Harassment and other exclusionary behavior aren't acceptable. This includes, but is not limited to:
  - Violent threats or language directed against another person.
  - Discriminatory jokes and language.
  - Posting sexually explicit or violent material.
  - Posting (or threatening to post) other people's personally identifying information without their consent ("doxing").
  - Personal insults, especially those using racist or sexist terms.
  - Unwelcome sexual attention.
  - Advocating for, or encouraging, any of the above behavior.
  - Repeated harassment of others. In general, if someone asks you to stop, then stop.
- When we disagree, try to understand why. It is important that we resolve disagreements and differing views constructively. Focus on helping to resolve issues and learning from mistakes.

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3.3. Contributing 245

# 3.3.2 Ways to contribute

There are four main ways you can contribute to imitation:

- Reporting bugs
- Suggesting new features
- Contributing to the documentation
- Contributing to the codebase

Please note that by contributing to the project, you are agreeing to license your work under *imitation's MIT license*, as per GitHub's terms of service.

### Reporting bugs

This section guides you through submitting a new bug report for imitation. Following the guidelines below helps maintainers and the community understand your report and reproduce the issue.

You can submit a new bug report by creating an issue on GitHub and labeling it as a *bug*. **Before you do so, please** make sure that:

- You are using the latest stable version of imitation to check your version, run pip show imitation,
- You have read the relevant section of the documentation that relates to your issue,
- · You have checked existing bug reports to make sure that your issue has not already been reported, and
- You have a minimal, reproducible example of the issue.

When submitting a bug report, please **include the following information**:

- A clear, concise description of the bug,
- A minimal, reproducible example of the bug, with installation instructions, code, and error message,
- Information on your OS name and version, Python version, and other relevant information (e.g. hardware configuration if using the GPU), and
- Whether the problem arose when upgrading to a certain version of imitation, and if so, what version.

### Suggesting new features

This section explains how you can submit a new feature request, including completely new features and minor improvements to existing functionality. Following these guidelines helps maintainers and the community understand your request and intended use cases and find related suggestions.

You can submit a new bug report by creating an issue on GitHub and labeling it as an *enhancement*. **Before you do so, please make sure that**:

- You have checked the documentation that relates to your request, as it may be that such feature is already available,
- You have checked existing feature requests to make sure that there is no similar request already under discussion, and
- You have a minimal use case that describes the relevance of the feature.

### When you submit the feature request:

- Use a clear and descriptive title for the GitHub issue to easily identify the suggestion.
- Describe the current behavior, and explain what behavior you expected to see instead and why.

- If you want to request an API change, provide examples of how the feature would be used.
- If you want to request a new algorithm implementation, please provide a link to the relevant paper or publication.

### Contributing to the documentation

One of the simplest ways to start contributing to imitation is through improving the documentation. Currently, our documentation has some gaps, and we would love to have you help us fill them. You can help by adding missing sections of the API docs, editing existing content to make it more readable, clear and accessible, or contributing new content, such as tutorials and FAQs.

If you have struggled to understand something about our codebase and managed to figure it out in the end, please consider improving the relevant documentation section, or adding a tutorial or a FAQ entry, so that other users can learn from your experience.

Before submitting a pull request, please create an issue with the *documentation* label so that we can track the gap. You can then reference the issue in your pull request by including the issue number.

### Contributing to the codebase

You can contribute to the codebase by proposing solutions to issues or feature suggestions you've raised yourself, or selecting an existing issue to work on. Please, make sure to create an issue on GitHub before you start working on a pull request, as explained in *Reporting bugs* and *Suggesting new features*.

Once you're ready to start working on your pull request, please make sure to follow our **coding style guidelines**:

- PEP8, with line width 88.
- Use the black autoformatter.
- Follow the Google Python Style Guide unless it conflicts with the above. Examples of Google-style docstrings
  can be found here.

#### **Before you submit**, please make sure that:

- Your PR includes unit tests for any new features.
- Your PR includes type annotations, except when it would make the code significantly more complex.
- You have run the unit tests and there are no errors. We use pytest for unit testing: run pytest tests/ to run
  the test suite.
- You should run pre-commit run to run linting and static type checks. We use pytype for static type analysis.

You may wish to configure this as a Git commit hook:

### pre-commit install

These checks are run on CircleCI and are required to pass before merging. Additionally, we track test coverage by CodeCov and require that code coverage should not decrease. This can be overridden by maintainers in exceptional cases. Files in imitation/{examples,scripts}/ have no coverage requirements.

Thank you for your interest in imitation!

As an open-source project, we welcome contributions from all users, and are always open to any feedback or suggestions. This section of the documentation is intended to help you understand the process of contributing to the project.

To keep the community open and inclusive, we have developed a *Code of Conduct*. If you are not familiar with our Code of Conduct, take a minute to read it before starting your first contribution.

3.3. Contributing 247

# 3.4 Release Notes

### 3.4.1 v0.4.0

Released on 2023-07-17 - GitHub - PyPI

# 3.4.2 v0.3.1

Released on 2022-07-29 - GitHub - PyPI

# 3.4.3 v0.3.0: Major improvements

Released on 2022-07-26 - GitHub - PyPI

# 3.4.4 v0.2.0: First PyTorch release

Released on 2020-10-23 - GitHub - PyPI

# 3.4.5 v0.1.1: Final TF1 release

Released on 2020-09-01 - GitHub - PyPI

### 3.4.6 v0.1.0: Initial release

Released on 2020-05-09 - GitHub - PyPI

# 3.5 License

This license is also available on the project repository.

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## 3.6 Index

- genindex
- modindex

3.6. Index 249

## **PYTHON MODULE INDEX**

```
i
                                               imitation.scripts.config.train_preference_comparisons,
imitation, 113
                                               imitation.scripts.config.train_rl, 203
imitation.algorithms, 113
                                               imitation.scripts.convert_trajs, 203
imitation.algorithms.adversarial, 114
                                               imitation.scripts.eval_policy, 204
imitation.algorithms.adversarial.airl, 114
                                               imitation.scripts.ingredients, 205
imitation.algorithms.adversarial.common, 116
                                               imitation.scripts.ingredients.bc, 206
imitation.algorithms.adversarial.gail, 120
                                               imitation.scripts.ingredients.demonstrations,
imitation.algorithms.base, 123
imitation.algorithms.bc, 125
                                               imitation.scripts.ingredients.environment,
imitation.algorithms.dagger, 129
imitation.algorithms.density, 135
                                               imitation.scripts.ingredients.expert, 208
imitation.algorithms.mce_irl, 138
                                               imitation.scripts.ingredients.logging, 209
imitation.algorithms.preference_comparisons,
                                               imitation.scripts.ingredients.policy, 210
        143
                                               imitation.scripts.ingredients.policy_evaluation,
imitation.data.157
imitation.data.buffer, 157
                                               imitation.scripts.ingredients.reward, 211
imitation.data.huggingface_utils, 161
                                               imitation.scripts.ingredients.rl, 212
imitation.data.rollout, 163
                                               imitation.scripts.ingredients.wb, 213
imitation.data.serialize, 168
                                               imitation.scripts.train_adversarial, 213
imitation.data.types, 169
                                               imitation.scripts.train_imitation, 214
imitation.data.wrappers, 172
                                               imitation.scripts.train_preference_comparisons,
imitation.policies, 174
                                                        215
imitation.policies.base, 174
                                               imitation.scripts.train_rl, 218
imitation.policies.exploration_wrapper, 176
                                               imitation.testing, 219
imitation.policies.replay_buffer_wrapper, 177
                                               imitation.testing.expert_trajectories, 220
imitation.policies.serialize, 178
                                               imitation.testing.reward_improvement, 221
imitation.regularization, 181
                                               imitation.testing.reward_nets, 222
imitation.regularization.regularizers, 181
                                               imitation.util, 223
imitation.regularization.updaters, 184
                                               imitation.util.logger, 224
imitation.rewards. 185
                                               imitation.util.networks, 229
imitation.rewards.reward_function, 185
                                               imitation.util.registry, 233
imitation.rewards.reward_nets, 186
                                               imitation.util.sacred, 234
imitation.rewards.reward_wrapper, 198
                                               imitation.util.util.236
imitation.rewards.serialize, 199
                                               imitation.util.video_wrapper, 240
imitation.scripts, 201
imitation.scripts.analyze, 201
imitation.scripts.config, 202
imitation.scripts.config.analyze, 203
imitation.scripts.config.eval_policy, 203
imitation.scripts.config.train_adversarial,
imitation.scripts.config.train_imitation, 203
```

252 Python Module Index

## **INDEX**

| Syllic | OOIS  | 1n1t_                         | _() (imitation.algorithms.preference_comparisons.AgentTrainer   |
|--------|---|-------------------------------|---|
| init_  | _() (imitation.algorithms.adversarial.airl.AIRL   |                               | method), 145  |
|        | method), 114  | init_                         | $\_()$ (imitation.algorithms.preference $\_comparisons.BasicRewardTestimate for the comparison of the state of the comparison of the compari$ |
| init   | () (imitation, algorithms, adversarial, common, Adv   | versarialT                    | rmethod), 146   |
|        | method), 116  | init_                         | _() (imitation.algorithms.preference_comparisons.CrossEntropyF  |
| init   | _() (imitation.algorithms.adversarial.gail.GAIL   |                               | method), 147  |
|        | method), 120  | init_                         | $\_()$ (imitation.algorithms.preference $\_comparisons.EnsembleTrain$   |
| init   | _() (imitation.algorithms.adversarial.gail.Reward   | NetFromL                      | Disethed nator Logit  |
|        | method), 122  | init_                         | () (imitation.algorithms.preference_comparisons.Fragmenter  |
| init   | _() (imitation.algorithms.base.BaseImitationAlgorithms.base.Base.Base.Base.Base.Base.Base.Base.B | rithm                         | method), 148  |
|        | method), 123  | init_                         | $\_()$ (imitation.algorithms.preference $\_comparisons.PreferenceCom$ |
| init   | _() (imitation.algorithms.base.DemonstrationAlgo  | orithm                        | method), 149  |
|        | method), 123  | init_                         | $\_()$ (imitation.algorithms.preference $\_comparisons.PreferenceDate$  |
| init   | _() (imitation.algorithms.bc.BC method), 125  |                               | method), 151  |
| init_  |   | init_                         | $\_()$ (imitation.algorithms.preference $\_comparisons.PreferenceGatheral Comparisons and the second content of $ |
|        | method), 127  |                               | method), 151  |
| init   | _() (imitation.algorithms.bc.BCTrainingMetrics  | init_                         | $\_()$ (imitation.algorithms.preference $\_comparisons.PreferenceModels)$   |
|        | method) 127   |                               | method), 152  |
| init   | () (imitation.algorithms.bc.BatchIteratorWithEnd  | <del>och<b>i</b>thil</del> te | all) aimitation.algorithms.preference_comparisons.RandomFragm   |
|        | mathad) 120   |                               | meinoa), 133  |
| init   | () (imitation algorithms be BehaviorCloning Loss  | <del>Cdpdta</del>             | on() (imitation.algorithms.preference_comparisons.RewardTrainer   |
|        | mathod) 128   |                               | memoa), 134   |
| init   | () (imitation.algorithms.bc.RolloutStatsComputer  | init_                         | () (imitation.algorithms.preference_comparisons.SyntheticGathe  |
|        | method), 128  |                               | method), 155  |
| init   | _() (imitation.algorithms.dagger.DAggerTrainer  | init_                         | _() (imitation.algorithms.preference_comparisons.TrajectoryData   |
|        | method) 130   |                               | method), 155  |
| init   | () (imitation algorithms dagger Exponential Betal   | schippite                     | () (imitation.algorithms.preference_comparisons.TrajectoryGen   |
|        | method) 132   |                               | method), 150  |
| init   | () (imitation.algorithms.dagger.InteractiveTraject  | torinite                      | (imitation.data.buffer.Buffer method), 157  |
|        | method), 132  | init_                         | _() (imitation.data.buffer.ReplayBuffer   |
| init   | () (imitation.algorithms.dagger.LinearBetaSched   | lule                          | method), 159  |
|        | method), 133  | init_                         | _() (imitation.data.huggingface_utils.TrajectoryDatasetSequence   |
| init   | _() (imitation.algorithms.dagger.SimpleDAggerTra  | ainer                         | method), 162  |
|        | method), 134  | init_                         | _() (imitation.data.rollout.TrajectoryAccumulator   |
| init   | _() (imitation.algorithms.density.DensityAlgorithm  | n                             | method), 163  |
|        | method), 136  | init_                         | _() (imitation.data.types.Trajectory method),   |
| init_  |   |                               | 169   |
|        | method), 139  | init_                         | _() (imitation.data.types.TrajectoryWithRew   |
| ini†   | _() (imitation.algorithms.mce_irl.TabularPolicy   |                               | method), 170  |
|        | method), 140  | init_                         | _() (imitation.data.types.Transitions method),  |
| ini†   | () (imitation.algorithms.preference_comparisons   | .ActiveSe                     | lectionFragmenter   |
|        | method), 144  | init_                         | _() (imitation.data.types.TransitionsMinimal  |

|   | method), 170   | method), 197  |
|---|--|---|
| init_   | _() (imitation.data.types.TransitionsWithRewinit_  | _() (imitation.rewards.reward_nets.ShapedRewardNet  |
|   | method), 171   | method), 197  |
| init_   | _() (imitation.data.wrappers.BufferingWrapperinit_   | _() (imitation.rewards.reward_wrapper.RewardVecEnvWrapper   |
|   | method), 172   | method), 198  |
| init  |  | _() (imitation.rewards.reward_wrapper.WrappedRewardCallback   |
|   | method), 173   | method), 199  |
| init  | _() (imitation.policies.base.FeedForward32Policyinit_  |   |
|   | method), 175   | method), 200  |
| init  | _() (imitation.policies.base.HardCodedPolicyinit_  |   |
| 1111  | method), 175   | method), 204  |
| ini+  |  |   |
| 1111 (_   | _() (imitation.policies.base.NormalizeFeaturesExt <u>rac<b>ini</b></u> t_  |   |
|   | method), 175   | method), 223  |
| init_   |  |   |
|   | method), 176   | method), 225  |
| init_   | _()  |   |
|   | method), 177   | method), 227  |
| init_   | _()  | RewaindWatippertil.networks.BaseNorm method),   |
|   | method), 178   | 229   |
| init_   | _() (imitation.policies.serialize.SavePolicyCallback_init_   | _() (imitation.util.networks.EMANorm  |
|   | method), 179   | method), 230  |
| init_   | _() (imitation.regularization.regularizers.LpRegul <u>ari<b>zai</b></u> t_   | _() (imitation.util.registry.Registry method),  |
|   | method), 182   | 234   |
| init  | _() (imitation.regularization.regularizers.Regularizeiinit_  | () (imitation.util.video_wrapper.VideoWrapper   |
|   | method), 182   | method), 240  |
| init  | _() (imitation.regularization.regularizers.RegularizerFacto  |   |
|   | method), 183   | , y   |
|   |  |   |
| init  |  | -t m()  |
| init_   | _() (imitation.regularization.updaters.IntervalParam&calar   | **  |
|   | _() (imitation.regularization.updaters.IntervalParamecaler<br>method), 184   | tion.util.logger.HierarchicalLogger method),  |
|   | _() (imitation.regularization.updaters.IntervalParanescalar<br>method), 184<br>_() (imitation.regularization.updaters.LambdaUpdater  | tion.util.logger.HierarchicalLogger method),<br>225   |
| init_   | _() (imitation.regularization.updaters.IntervalParanegular<br>method), 184<br>_() (imitation.regularization.updaters.LambdaUpdater<br>method), 185 ActiveS   | tion.util.logger.HierarchicalLogger method),<br>225<br>electionFragmenter (class in imita-  |
| init_   | _() (imitation.regularization.updaters.IntervalParamecallar<br>method), 184<br>_() (imitation.regularization.updaters.LambdaUpdater<br>method), 185<br>_() (imitation.rewards.reward_function.RewardFn   | tion.util.logger.HierarchicalLogger method), 225 electionFragmenter (class in imitation.algorithms.preference_comparisons),   |
| init_<br>init_                                    | _() (imitation.regularization.updaters.IntervalParage@cadermethod), 184 _() (imitation.regularization.updaters.LambdaUpdatermethod), 185 _() (imitation.rewards.reward_function.RewardFnmethod), 186   | tion.util.logger.HierarchicalLogger method), 225 electionFragmenter (class in imitation.algorithms.preference_comparisons), 143   |
| init_<br>init_                                    | _() (imitation.regularization.updaters.IntervalParane calls remethed), 184 _() (imitation.regularization.updaters.LambdaUpdater method), 185 _() (imitation.rewards.reward_function.RewardFn method), 186 _() (imitation.rewards.reward_nets.AddSTDReward&yppp   | tion.util.logger.HierarchicalLogger method), 225 electionFragmenter (class in imitation.algorithms.preference_comparisons), 143   |
| init_<br>init_<br>init_                           | () (imitation.regularization.updaters.IntervalParage confermethod), 184 () (imitation.regularization.updaters.LambdaUpdater method), 185 () (imitation.rewards.reward_function.RewardFn method), 186 () (imitation.rewards.reward_nets.AddSTDRewardWrapped method), 187  acts (im  | tion.util.logger.HierarchicalLogger method), 225 electionFragmenter (class in imitation.algorithms.preference_comparisons), 143   |
| init_<br>init_<br>init_                           | _() (imitation.regularization.updaters.IntervalParage@callgr<br>method), 184<br>_() (imitation.regularization.updaters.LambdaUpdater<br>method), 185<br>_() (imitation.rewards.reward_function.RewardFn<br>method), 186<br>_() (imitation.rewards.reward_nets.AddSTDRewardWrappe   | tion.util.logger.HierarchicalLogger method), 225 electionFragmenter (class in imitation.algorithms.preference_comparisons), 143 litation.data.types.Trajectory attribute), 169  |
| init_<br>init_<br>init_                           | () (imitation.regularization.updaters.IntervalParage@colormethod), 184() (imitation.regularization.updaters.LambdaUpdater     method), 185   | tion.util.logger.HierarchicalLogger method), 225 electionFragmenter (class in imitation.algorithms.preference_comparisons), 143 Itation.data.types.Trajectory attribute), 169 itation.data.types.TransitionsMinimal attribute), 170   |
| init_<br>init_<br>init_<br>init_                  | () (imitation.regularization.updaters.IntervalParage@caller<br>method), 184<br>() (imitation.regularization.updaters.LambdaUpdater<br>method), 185 ActiveS<br>() (imitation.rewards.reward_function.RewardFn<br>method), 186<br>() (imitation.rewards.reward_nets.AddSTDRewardWeapper<br>method), 187 acts (im<br>() (imitation.rewards.reward_nets.BasicPotentialCNN<br>method), 187 add() (in  | tion.util.logger.HierarchicalLogger method),  225 electionFragmenter (class in imitation.algorithms.preference_comparisons),  143 litation.data.types.Trajectory attribute), 169 itation.data.types.TransitionsMinimal attribute),  170 mitation.policies.replay_buffer_wrapper.ReplayBufferRewardWrap  |
| init_<br>init_<br>init_<br>init_                  | () (imitation.regularization.updaters.IntervalParage@callermethod), 184() (imitation.regularization.updaters.LambdaUpdatermethod), 185() (imitation.rewards.reward_function.RewardFnmethod), 186() (imitation.rewards.reward_nets.AddSTDRewardWeappeamethod), 187() (imitation.rewards.reward_nets.BasicPotentialCNNmethod), 187() (imitation.rewards.reward_nets.BasicPotentialMLP  | tion.util.logger.HierarchicalLogger method), 225 electionFragmenter (class in imitation.algorithms.preference_comparisons), 143 Etation.data.types.Trajectory attribute), 169 itation.data.types.TransitionsMinimal attribute), 170 nitation.policies.replay_buffer_wrapper.ReplayBufferRewardWrap method), 178   |
| initinitinitinitinit_                             | () (imitation.regularization.updaters.IntervalParage@callermethod), 184() (imitation.regularization.updaters.LambdaUpdatermethod), 185() (imitation.rewards.reward_function.RewardFnmethod), 186() (imitation.rewards.reward_nets.AddSTDRewardWeappeamethod), 187() (imitation.rewards.reward_nets.BasicPotentialCNNmethod), 187() (imitation.rewards.reward_nets.BasicPotentialMLP  | tion.util.logger.HierarchicalLogger method),  225 electionFragmenter (class in imitation.algorithms.preference_comparisons),  143 Etation.data.types.Trajectory attribute), 169 itation.data.types.TransitionsMinimal attribute),  170 nitation.policies.replay_buffer_wrapper.ReplayBufferRewardWrapmethod), 178 umulate_prefix() (imita-  |
| initinitinitinitinit_                             | () (imitation.regularization.updaters.IntervalParage@caller method), 184() (imitation.regularization.updaters.LambdaUpdater method), 185() (imitation.rewards.reward_function.RewardFn method), 186() (imitation.rewards.reward_nets.AddSTDRewardWrapped method), 187() (imitation.rewards.reward_nets.BasicPotentialCNN method), 187() (imitation.rewards.reward_nets.BasicPotentialMLP method), 188() (imitation.rewards.reward_nets.BasicPotentialMLP method), 188() (imitation.rewards.reward_nets.BasicRewardNet  | tion.util.logger.HierarchicalLogger method),  225 electionFragmenter (class in imitation.algorithms.preference_comparisons),  143 Effation.data.types.Trajectory attribute), 169 itation.data.types.TransitionsMinimal attribute),  170 mitation.policies.replay_buffer_wrapper.ReplayBufferRewardWrapmethod), 178  umulate_prefix() (imitation.util.logger.HierarchicalLogger method),   |
| initinitinitinitinitinit_                         | () (imitation.regularization.updaters.IntervalParage@callermethod), 184() (imitation.regularization.updaters.LambdaUpdatermethod), 185() (imitation.rewards.reward_function.RewardFnmethod), 186() (imitation.rewards.reward_nets.AddSTDRewardWrappermethod), 187() (imitation.rewards.reward_nets.BasicPotentialCNNmethod), 187() (imitation.rewards.reward_nets.BasicPotentialMLPmethod), 188() (imitation.rewards.reward_nets.BasicPotentialMLPmethod), 188() (imitation.rewards.reward_nets.BasicRewardNetmethod), 188   | tion.util.logger.HierarchicalLogger method),  225 electionFragmenter (class in imitation.algorithms.preference_comparisons),  143 Etation.data.types.Trajectory attribute), 169 itation.data.types.TransitionsMinimal attribute),  170 nitation.policies.replay_buffer_wrapper.ReplayBufferRewardWrapmethod), 178 umulate_prefix() (imitation.util.logger.HierarchicalLogger method),  226  |
| initinitinitinitinitinit_                         | () (imitation.regularization.updaters.IntervalParage@callert method), 184() (imitation.regularization.updaters.LambdaUpdater method), 185() (imitation.rewards.reward_function.RewardFn method), 186() (imitation.rewards.reward_nets.AddSTDRewardWrapped method), 187() (imitation.rewards.reward_nets.BasicPotentialCNN method), 187() (imitation.rewards.reward_nets.BasicPotentialMLP method), 187() (imitation.rewards.reward_nets.BasicPotentialMLP method), 188() (imitation.rewards.reward_nets.BasicRewardNet method), 188() (imitation.rewards.reward_nets.BasicShapedRewardNet) method), 188() (imitation.rewards.reward_nets.BasicShapedRewardNet)   | tion.util.logger.HierarchicalLogger method),  225 electionFragmenter (class in imitation.algorithms.preference_comparisons),  143 litation.data.types.Trajectory attribute), 169 itation.data.types.TransitionsMinimal attribute),  170 mitation.policies.replay_buffer_wrapper.ReplayBufferRewardWrapmethod), 178  umulate_prefix() (imitation.util.logger.HierarchicalLogger method),  226 e_prefix() (imita-   |
| initinitinitinitinitinitinit_                     | () (imitation.regularization.updaters.IntervalParage@callert method), 184() (imitation.regularization.updaters.LambdaUpdater method), 185  | tion.util.logger.HierarchicalLogger method),  225 electionFragmenter (class in imitation.algorithms.preference_comparisons),  143 litation.data.types.Trajectory attribute), 169 itation.data.types.TransitionsMinimal attribute),  170 mitation.policies.replay_buffer_wrapper.ReplayBufferRewardWrapmethod), 178 umulate_prefix() (imitation.util.logger.HierarchicalLogger method),  226 l_prefix() (imitation.util.logger.HierarchicalLogger method),  tion.util.logger.HierarchicalLogger method),   |
| initinitinitinitinitinitinit_                     | method), 184  () (imitation.regularization.updaters.IntervalParage content method), 184  () (imitation.regularization.updaters.LambdaUpdater method), 185  () (imitation.rewards.reward_function.RewardFn method), 186  () (imitation.rewards.reward_nets.AddSTDRewardWeapper method), 187  () (imitation.rewards.reward_nets.BasicPotentialCNN method), 187  () (imitation.rewards.reward_nets.BasicPotentialMLP method), 188  () (imitation.rewards.reward_nets.BasicPotentialMLP method), 188  () (imitation.rewards.reward_nets.BasicRewardNet method), 188  () (imitation.rewards.reward_nets.BasicShapedRewardNet method), 189  () (imitation.rewards.reward_nets.CnnRewardNet)  () (imitation.rewards.reward_nets.CnnRewardNet)   | tion.util.logger.HierarchicalLogger method),  225 electionFragmenter (class in imitation.algorithms.preference_comparisons),  143 Etation.data.types.Trajectory attribute), 169 itation.data.types.TransitionsMinimal attribute),  170 nitation.policies.replay_buffer_wrapper.ReplayBufferRewardWrapmethod), 178 umulate_prefix() (imitation.util.logger.HierarchicalLogger method),  226 E_prefix() (imitation.util.logger.HierarchicalLogger method),  226 E_prefix() (imitation.util.logger.HierarchicalLogger method),  226  |
| initinitinitinitinitinitinitinit_                 | method), 184  _() (imitation.regularization.updaters.IntervalParage@callet method), 185  _() (imitation.regularization.updaters.LambdaUpdater method), 185  _() (imitation.rewards.reward_function.RewardFn method), 186  _() (imitation.rewards.reward_nets.AddSTDRewardWeapper method), 187  _() (imitation.rewards.reward_nets.BasicPotentialCNN method), 187  _() (imitation.rewards.reward_nets.BasicPotentialMLP method), 188  _() (imitation.rewards.reward_nets.BasicRewardNet method), 188  _() (imitation.rewards.reward_nets.BasicRewardNet method), 188  _() (imitation.rewards.reward_nets.BasicShapedRewardNet method), 189  _() (imitation.rewards.reward_nets.CnnRewardNet method), 190  add_ste   | tion.util.logger.HierarchicalLogger method),  225 electionFragmenter (class in imitation.algorithms.preference_comparisons),  143 Etation.data.types.Trajectory attribute), 169 itation.data.types.TransitionsMinimal attribute),  170 mitation.policies.replay_buffer_wrapper.ReplayBufferRewardWrapmethod), 178 umulate_prefix() (imitation.util.logger.HierarchicalLogger method),  226 prefix() (imitation.util.logger.HierarchicalLogger method),  226 p() (imitation.data.rollout.TrajectoryAccumulator   |
| initinitinitinitinitinitinitinit_                 | method), 184  _() (imitation.regularization.updaters.IntervalParage content method), 184  _() (imitation.regularization.updaters.LambdaUpdater method), 185  _() (imitation.rewards.reward_function.RewardFn method), 186  _() (imitation.rewards.reward_nets.AddSTDRewardWrapper method), 187  _() (imitation.rewards.reward_nets.BasicPotentialCNN method), 187  _() (imitation.rewards.reward_nets.BasicPotentialMLP method), 188  _() (imitation.rewards.reward_nets.BasicRewardNet method), 188  _() (imitation.rewards.reward_nets.BasicRewardNet method), 188  _() (imitation.rewards.reward_nets.BasicShapedRewardNet method), 189  _() (imitation.rewards.reward_nets.CnnRewardNet method), 190  _() (imitation.rewards.reward_nets.ForwardWrapper  | tion.util.logger.HierarchicalLogger method),  225 electionFragmenter (class in imitation.algorithms.preference_comparisons),  143 itation.data.types.Trajectory attribute), 169 itation.data.types.TransitionsMinimal attribute),  170 mitation.policies.replay_buffer_wrapper.ReplayBufferRewardWrapmethod), 178 umulate_prefix() (imitation.util.logger.HierarchicalLogger method),  226 prefix() (imitation.util.logger.HierarchicalLogger method),  226 p() (imitation.data.rollout.TrajectoryAccumulatormethod), 163   |
| initinitinitinitinitinitinitinitinit_             | method), 184  _() (imitation.regularization.updaters.IntervalParage@callet method), 184  _() (imitation.regularization.updaters.LambdaUpdater method), 185  _() (imitation.rewards.reward_function.RewardFn method), 186  _() (imitation.rewards.reward_nets.AddSTDRewardWrappra acts (immethod), 187  _() (imitation.rewards.reward_nets.BasicPotentialCNN method), 187  _() (imitation.rewards.reward_nets.BasicPotentialMLP method), 188  _() (imitation.rewards.reward_nets.BasicRewardNet method), 188  _() (imitation.rewards.reward_nets.BasicRewardNet method), 189  _() (imitation.rewards.reward_nets.CnnRewardNet method), 190  _() (imitation.rewards.reward_nets.ForwardWrapper method), 191  add_ste   | tion.util.logger.HierarchicalLogger method),  225 electionFragmenter (class in imitation.algorithms.preference_comparisons),  143 Etation.data.types.Trajectory attribute), 169 itation.data.types.TransitionsMinimal attribute),  170 nitation.policies.replay_buffer_wrapper.ReplayBufferRewardWrapmethod), 178 umulate_prefix() (imitation.util.logger.HierarchicalLogger method),  226  |
| initinitinitinitinitinitinitinitinit_             | method), 184  _() (imitation.regularization.updaters.IntervalParage@callet method), 185  _() (imitation.regularization.updaters.LambdaUpdater method), 185  _() (imitation.rewards.reward_function.RewardFn method), 186  _() (imitation.rewards.reward_nets.AddSTDRewardWrapper method), 187  _() (imitation.rewards.reward_nets.BasicPotentialCNN method), 187  _() (imitation.rewards.reward_nets.BasicPotentialMLP method), 188  _() (imitation.rewards.reward_nets.BasicRewardNet method), 188  _() (imitation.rewards.reward_nets.BasicRewardNet method), 189  _() (imitation.rewards.reward_nets.CnnRewardNet method), 190  _() (imitation.rewards.reward_nets.ForwardWrapper method), 191  _() (imitation.rewards.reward_nets.ForwardWrapper method), 191  _() (imitation.rewards.reward_nets.NormalizedRewardNet)   | tion.util.logger.HierarchicalLogger method),  225 electionFragmenter (class in imitation.algorithms.preference_comparisons),  143 litation.data.types.Trajectory attribute), 169 itation.data.types.TransitionsMinimal attribute),  170 mitation.policies.replay_buffer_wrapper.ReplayBufferRewardWrapmethod), 178 umulate_prefix() (imitation.util.logger.HierarchicalLogger method),  226 prefix() (imitation.util.logger.HierarchicalLogger method),  226 p() (imitation.data.rollout.TrajectoryAccumulatormethod), 163 ps_and_auto_finish() (imitation.data.rollout.TrajectoryAccumulator   |
| initinitinitinitinitinitinitinitinitinit_         | method), 184  _() (imitation.regularization.updaters.IntervalParage@callet method), 185  _() (imitation.regularization.updaters.LambdaUpdater method), 185  _() (imitation.rewards.reward_function.RewardFn method), 186  _() (imitation.rewards.reward_nets.AddSTDRewardWrapper method), 187  _() (imitation.rewards.reward_nets.BasicPotentialCNN method), 187  _() (imitation.rewards.reward_nets.BasicPotentialMLP method), 188  _() (imitation.rewards.reward_nets.BasicRewardNet method), 188  _() (imitation.rewards.reward_nets.BasicRewardNet method), 189  _() (imitation.rewards.reward_nets.CnnRewardNet method), 190  _() (imitation.rewards.reward_nets.ForwardWrapper method), 191  _() (imitation.rewards.reward_nets.NormalizedRewardNet method), 191  _() (imitation.rewards.reward_nets.NormalizedRewardNet method), 191  | tion.util.logger.HierarchicalLogger method),  225 electionFragmenter (class in imitation.algorithms.preference_comparisons),  143 Etation.data.types.Trajectory attribute), 169 itation.data.types.TransitionsMinimal attribute),  170 mitation.policies.replay_buffer_wrapper.ReplayBufferRewardWrapmethod), 178 umulate_prefix() (imitation.util.logger.HierarchicalLogger method),  226 E_prefix() (imitation.util.logger.HierarchicalLogger method),  226 p() (imitation.data.rollout.TrajectoryAccumulatormethod), 163 ps_and_auto_finish() (imitation.data.rollout.TrajectoryAccumulatormethod), 164  |
| initinitinitinitinitinitinitinitinitinit_         | method), 184  _() (imitation.regularization.updaters.IntervalParage content method), 185  _() (imitation.regularization.updaters.LambdaUpdater method), 185  _() (imitation.rewards.reward_function.RewardFn method), 186  _() (imitation.rewards.reward_nets.AddSTDRewardWeapper method), 187  _() (imitation.rewards.reward_nets.BasicPotentialCNN method), 187  _() (imitation.rewards.reward_nets.BasicPotentialMLP method), 188  _() (imitation.rewards.reward_nets.BasicRewardNet method), 188  _() (imitation.rewards.reward_nets.BasicRewardNet method), 189  _() (imitation.rewards.reward_nets.CnnRewardNet method), 190  _() (imitation.rewards.reward_nets.ForwardWrapper method), 191  _() (imitation.rewards.reward_nets.NormalizedRewardNet method), 191  _() (imitation.rewards.reward_nets.RewardEnsemledGSTDR  | tion.util.logger.HierarchicalLogger method),  225 electionFragmenter (class in imitation.algorithms.preference_comparisons),  143 Etation.data.types.Trajectory attribute), 169 itation.data.types.TransitionsMinimal attribute),  170 mitation.policies.replay_buffer_wrapper.ReplayBufferRewardWrapmethod), 178 umulate_prefix() (imitation.util.logger.HierarchicalLogger method),  226 E_prefix() (imitation.util.logger.HierarchicalLogger method),  226 p() (imitation.data.rollout.TrajectoryAccumulatormethod), 163 ps_and_auto_finish() (imitation.data.rollout.TrajectoryAccumulatormethod), 164  |
| initinitinitinitinitinitinitinitinitinitinit_     | method), 184  _() (imitation.regularization.updaters.IntervalParage@callet method), 185  | tion.util.logger.HierarchicalLogger method),  225 electionFragmenter (class in imitation.algorithms.preference_comparisons),  143 Etation.data.types.Trajectory attribute), 169 itation.data.types.TransitionsMinimal attribute),  170 mitation.policies.replay_buffer_wrapper.ReplayBufferRewardWrapmethod), 178 umulate_prefix() (imitation.util.logger.HierarchicalLogger method),  226 E_prefix() (imitation.util.logger.HierarchicalLogger method),  226 p() (imitation.data.rollout.TrajectoryAccumulatormethod), 163 ps_and_auto_finish() (imitation.data.rollout.TrajectoryAccumulatormethod), 164  |
| initinitinitinitinitinitinitinitinitinitinit_     | method), 184  _() (imitation.regularization.updaters.IntervalParage@contermethod), 185  _() (imitation.regularization.updaters.LambdaUpdatermethod), 185  _() (imitation.rewards.reward_function.RewardFnmethod), 186  _() (imitation.rewards.reward_nets.AddSTDRewardWeappromethod), 187  _() (imitation.rewards.reward_nets.BasicPotentialCNNmethod), 187  _() (imitation.rewards.reward_nets.BasicPotentialMLPmethod), 188  _() (imitation.rewards.reward_nets.BasicRewardNetmethod), 188  _() (imitation.rewards.reward_nets.BasicRewardNetmethod), 189  _() (imitation.rewards.reward_nets.CnnRewardNetmethod), 190  _() (imitation.rewards.reward_nets.ForwardWrappermethod), 191  _() (imitation.rewards.reward_nets.NormalizedRewardNetmethod), 191  _() (imitation.rewards.reward_nets.RewardEnsembledSTDRmethod), 193  _() (imitation.rewards.reward_nets.RewardEnsembledSTDRmethod), 193  _() (imitation.rewards.reward_nets.RewardNetAdversa | tion.util.logger.HierarchicalLogger method),  225 electionFragmenter (class in imitation.algorithms.preference_comparisons),  143 Etation.data.types.Trajectory attribute), 169 itation.data.types.TransitionsMinimal attribute),  170 mitation.policies.replay_buffer_wrapper.ReplayBufferRewardWrapmethod), 178 umulate_prefix() (imitation.util.logger.HierarchicalLogger method),  226 E_prefix() (imitation.util.logger.HierarchicalLogger method),  226 p() (imitation.data.rollout.TrajectoryAccumulatormethod), 163 ps_and_auto_finish() (imitation.data.rollout.TrajectoryAccumulatormethod), 164 ewardWrapper (class in imita-                              |
| initinitinitinitinitinitinitinitinitinitinitinit_ | method), 184  _() (imitation.regularization.updaters.IntervalParage@contermethod), 185  _() (imitation.regularization.updaters.LambdaUpdatermethod), 185  _() (imitation.rewards.reward_function.RewardFnmethod), 186  _() (imitation.rewards.reward_nets.AddSTDRewardWrappromethod), 187  _() (imitation.rewards.reward_nets.BasicPotentialCNNmethod), 187  _() (imitation.rewards.reward_nets.BasicPotentialMLPmethod), 188  _() (imitation.rewards.reward_nets.BasicRewardNetmethod), 188  _() (imitation.rewards.reward_nets.BasicRewardNetmethod), 189  _() (imitation.rewards.reward_nets.CnnRewardNetmethod), 190  _() (imitation.rewards.reward_nets.ForwardWrappermethod), 191  _() (imitation.rewards.reward_nets.NormalizedRewardNetmethod), 191  _() (imitation.rewards.reward_nets.RewardEnsembledSTDRemethod), 193   | tion.util.logger.HierarchicalLogger method),  225 electionFragmenter (class in imitation.algorithms.preference_comparisons),  143 Etation.data.types.Trajectory attribute), 169 itation.data.types.TransitionsMinimal attribute),  170 nitation.policies.replay_buffer_wrapper.ReplayBufferRewardWrapmethod), 178 umulate_prefix() (imitation.util.logger.HierarchicalLogger method),  226 E_prefix() (imitation.util.logger.HierarchicalLogger method),  226 p() (imitation.data.rollout.TrajectoryAccumulatormethod), 163 ps_and_auto_finish() (imitation.data.rollout.TrajectoryAccumulatormethod), 164 ewardWrapper (class in imitation.rewards.reward_nets), 186 |

| AgentTrainer                          | (class            | in .            | imita-         | BehaviorCloningLossCalculator (class in imita-                            |
|---------------------------------------|-------------------|-----------------|----------------|---|
| _                                     | nms.preference_0  | comparison      | ls),           | tion.algorithms.bc), 128  |
| 145                                   |                   | 1               | .:I\ 114       | BetaSchedule (class in imitation.algorithms.dagger),                      |
| AIRL (class in imitation              |                   |                 |                | 130 Puffor (aloss in imitation data buffor) 157                           |
| airl() (in module i                   | muanon.scripis    | .irain_aave     | rsariai),      | Buffer (class in imitation.data.buffer), 157 buffering_wrapper (imita-    |
| allow_variable_ho                     | rizon             |                 | (imita-        | tion.algorithms.density.DensityAlgorithm                                  |
|                                       | ıms.adversarial.  | agil CAII       | (ımııa-<br>at- | attribute), 137   |
| tribute), 121                         |                   | gaii.GAIL       | uı-            | BufferingWrapper (class in imitation.data.wrappers),                      |
| allow_variable_ho                     |                   |                 | (imita-        | 172   |
|                                       | ıms.base.BaseIn   | nitation A law  | •              | build_cnn() (in module imitation.util.networks), 231                      |
| attribute), 1                         |                   | iiiaiionii iige | ) i tii ii ii  | build_loader_fn_require_env() (in module imita-                           |
| allow_variable_ho                     |                   |                 | (imita-        | tion.util.registry), 234  |
|                                       | ıms.base.Demor    | strationAla     | •              | build_loader_fn_require_space() (in module imi-                           |
| attribute), 1                         |                   | istrationalig   | Ortini         | tation.util.registry), 234  |
| allow_variable_ho                     |                   | n aloorithn     | is he RC       | build_mlp() (in module imitation.util.networks), 232                      |
| attribute), 1                         |                   | n.argorum       | 15.0C.DC       | build_sacred_symlink() (in module imita-                                  |
| allow_variable_ho                     |                   |                 | (imita-        | tion.util.sacred), 235  |
|                                       | ıms.dagger.Simp   | oleDA o oerT    | •              | non.min.sucrea j, 233   |
| attribute), 1                         |                   | 1021188011      | i curici       | C   |
| allow_variable_ho                     |                   |                 | (imita-        | capacity (imitation.data.buffer.Buffer attribute), 158                    |
|                                       |                   | comparison      |                | Capacity (imitation.aata.buffer.Buffer attribute), 138                    |
| attribute), 1                         | 50                |                 |                | 160   |
| analyze_imitation                     |                   | module          | imita-         | close() (imitation.util.logger.HierarchicalLogger                         |
|                                       | analyze), 201     |                 |                | method), 226  |
| _                                     |                   |                 |                | close() (imitation.util.logger.WandbOutputFormat                          |
| В                                     |                   |                 |                | method), 228  |
| base (imitation.rewar<br>property), 1 |                   | RewardNet       | Wrapper        | close() (imitation.util.video_wrapper.VideoWrapper method), 241           |
| BaseImitationAlgo                     |                   | ss in           | imita-         | cnn_transpose() (in module imitation.rewards.reward_nets), 198            |
| BaseNorm (class in in                 |                   | vorks). 229     |                | CnnRewardNet (class in imitation.rewards.reward_nets),                    |
| BasicPotentialCNN                     |                   | in              | imita-         | 190   |
|                                       | s.reward_nets),   |                 |                | <pre>compute_train_stats() (in module imita-</pre>                        |
| BasicPotentialMLP                     |                   | in              | imita-         | tion.algorithms.adversarial.common), 120                                  |
|                                       | s.reward_nets),   |                 |                | config (imitation.util.sacred.SacredDicts attribute), 235                 |
|                                       | (class            | in              | imita-         | config_hook() (in module imita-   |
|                                       | s.reward_nets),   | 188             |                | tion.scripts.ingredients.expert), 209                                     |
| BasicRewardTraine                     |                   | in              | imita-         | config_hook() (in module imita-   |
|                                       | ms.preference_c   | comparison      | us),           | tion.scripts.ingredients.reward), 211                                     |
| 146                                   | • •               | •               |                | config_hook() (in module imita-   |
| BasicShapedReward                     | Net (class        | in              | imita-         | tion.scripts.ingredients.rl), 212   |
| tion.rewards                          | s.reward_nets),   | 189             |                | configure() (in module imitation.util.logger), 228                        |
| batch_loader(imita                    | tion.algorithms.  | bc.BatchIte     | ratorWith      | <b>EpowhE</b> hidtidthackutil.networks.BaseNorm attribute), 229           |
| attribute), 1                         | 28                |                 |                | <pre>count (imitation.util.networks.RunningNorm attribute),</pre>         |
| batch_size(imitatio                   | n.algorithms.da   | gger.DAgge      | erTrainer      | 230   |
| property), 1                          | 31                |                 |                | $\verb create()  (imitation.regularization.regularizers.Regularizers)   $ |
| BatchIteratorWith                     | EpochEndCall      | back (class     | s in imi-      | class method), 182  |
| tation.algor                          | ithms.bc), 128    |                 |                | <pre>create_trajectory_collector()</pre>                                  |
| BC (class in imitation.               |                   | 125             |                | tion. algorithms. dagger. DAgger Trainer                                  |
| bc() (in module imita                 | tion.scripts.trai | n_imitation     | ), 215         | method), 131  |
| BCLogger (class in in                 | iitation.algorith | ms.bc), 127     | ,              | CrossEntropyRewardLoss (class in imita-                                   |
| BCTrainingMetrics                     | (class in imita   | tion.algorit    | hms.bc),       | $tion. algorithms. preference\_comparisons),$                             |
| 127                                   |                   |                 |                | 147   |

| current_logger (imita-  | EnsembleTrainer (class in imita-  |
|---|---|
| tion.util.logger.HierarchicalLogger attribute),   | tion.algorithms.preference_comparisons),  |
| 226   | 147   |
| D   | ent_loss (imitation.algorithms.bc.BCTrainingMetrics attribute), 128   |
| <pre>dagger() (in module imitation.scripts.train_imitation),</pre>  | ent_weight (imitation.algorithms.bc.BehaviorCloningLossCalculator   |
| 215   | attribute), 128   |
| DAggerTrainer (class in imitation.algorithms.dagger),   | entropy (imitation.algorithms.bc.BCTrainingMetrics at-  |
| 130   | <i>tribute</i> ), 128   |
| <pre>dataclass_quick_asdict() (in module imita-</pre>   | enumerate_batches() (in module imita-   |
| tion.data.types), 171   | tion.algorithms.bc), 128  |
|   | s <b>etSvanit</b> ation.rewards.reward_wrapper.RewardVecEnvWrapper  |
| property), 162  | property), 198  |
| default_logger (imita-  | episode_id(imitation.util.video_wrapper.VideoWrapper  |
| tion.util.logger.HierarchicalLogger attribute),   | attribute), 241   |
| 226   | error_on_premature_event (imita-  |
| DEFAULT_N_EPOCHS (imita-  | tion.data.wrappers.BufferingWrapper at-   |
| tion.algorithms.dagger.DAggerTrainer at-  | tribute), 172   |
| tribute), 130   | eval_policy() (in module imita-   |
| ${\tt demo\_state\_om}~({\it imitation.algorithms.mce\_irl.MCEIRL}$   | tion.scripts.eval_policy), 204  |
| attribute), 140   | eval_policy() (in module imita-   |
| DemonstrationAlgorithm (class in imita-   | $tion. scripts. in gredients. policy\_evaluation),$   |
| tion.algorithms.base), 123  | 210   |
| ${\tt density\_type} \ (imitation. algorithms. density. Density Algorithms. density. Density Algorithms. density. Density Algorithms. density and the state of the $ |   |
| attribute), 137   | ExplorationWrapper (class in imita-   |
| DensityAlgorithm (class in imita-   | tion.policies.exploration_wrapper), 177   |
| tion.algorithms.density), 136   | ExponentialBetaSchedule (class in imita-  |
| DensityType (class in imitation.algorithms.density), 138  | tion.algorithms.dagger), 132  |
| device (imitation.rewards.reward_nets.RewardNet prop-   | extend_and_update() (imita-   |
| erty), 194  | tion.algorithms.dagger.DAggerTrainer method), 131   |
| device (imitation.rewards.reward_nets.RewardNetWrappe   | r memou), 131   |
| <pre>property), 197 dict_get_nested() (in module imitation.util.sacred),</pre>  | F   |
| 235   |   |
| dir_contains_sacred_jsons() (in module imita-   | FeedForward32Policy (class in imita-  |
| tion.util.sacred), 235  | tion.policies.base), 174 filter_subdirs() (in module imitation.util.sacred),  |
| directory (imitation.util.video_wrapper.VideoWrapper  | 235   |
| attribute), 241   | finish_trajectory() (imita-   |
| discounted_sum() (in module imitation.data.rollout),  | tion.data.rollout.TrajectoryAccumulator   |
| 164   | method), 164  |
| <pre>docstring_parameter() (in module imitation.util.util),</pre>   | flatten_trajectories() (in module imita-  |
| 236   | tion.data.rollout), 165   |
| dones (imitation.data.types.Transitions attribute), 170   | flatten_trajectories_with_rew() (in module imi-   |
| dtype (imitation.rewards.reward_nets.RewardNet prop-  | tation.data.rollout), 165   |
| erty), 194  | format_strs (imitation.util.logger.HierarchicalLogger   |
| $\verb"dtype" (imitation.rewards.reward\_nets.RewardNetWrapper") \\$  | attribute), 226   |
| property), 197  | ${\tt forward()}\ (imitation. algorithms. adversarial. gail. Reward Net From Discrimation and the property of t$  |
| dump() (imitation.util.logger.HierarchicalLogger  | method), 122  |
| method), 226  | <pre>forward() (imitation.algorithms.mce_irl.TabularPolicy</pre>  |
| Е   | method), 141  |
| E   | ${\tt forward()}\ (imitation. algorithms. preference\_comparisons. Cross Entropy Research Comparisons. mparison Compari$ |
| EMANorm (class in imitation.util.networks), 230   | method), 147  |
| endless_iter() (in module imitation.util.util), 236   | forward() (imitation.algorithms.preference_comparisons.PreferenceMode   |
|   | method), 152  |

| ${\tt forward()}\ (imitation. algorithms. preference\_comparisons.$  |  |
|--|--|
| method), 154   | tion.util.logger.HierarchicalLogger method),                                   |
| forward() (imitation.policies.base.HardCodedPolicy   | 226  |
| method), 175   | <pre>get_base_model() (in module imita-</pre>                                  |
| forward() (imitation.policies.base.NormalizeFeaturesExtr<br>method), 175   | ractor tion.algorithms.preference_comparisons), 156                            |
| forward() (imitation.rewards.reward_nets.BasicPotentialC   |  |
| method), 187   | Cont_dir() (imitation.util.logger.HierarchicalLogger<br>method), 226           |
| forward() (imitation.rewards.reward_nets.BasicPotential)   |  |
| method), 188   | tion.scripts.ingredients.expert), 209  |
| <pre>forward() (imitation.rewards.reward_nets.BasicRewardNet</pre>   |  |
| method), 189   | $tion. scripts. in gredients. demonstrations),\ 207$                           |
| forward() (imitation.rewards.reward_nets.CnnRewardNet method), 190   | <pre>get_first_iter_element() (in module imita-<br/>tion.util.util), 237</pre> |
| forward() (imitation.rewards.reward_nets.PredictProcess  |  |
| method), 192   | tion.rewards.reward_nets.CnnRewardNet  |
| ${\tt forward()} \ (imitation.rewards.reward\_nets.RewardEnsemble \ and \ an extraction of the control of the con$  |  |
| method), 193   | ${\tt get\_sacred\_dir\_from\_run()}  (in  module  imita-$                     |
| forward() (imitation.rewards.reward_nets.RewardNet method), 195  | tion.util.sacred), 236   |
| ${\tt forward()}\ (imitation.rewards.reward\_nets.ShapedRewards.reward\_nets.ShapedRewards.reward$ | Net  |
| method), 198   | HardCodedPolicy (class in imitation.policies.base), 175                        |
| ${\tt forward()}\ (imitation.testing.reward\_nets.MockRewardNet$   | HierarchicalLogger (class in imitation.util.logger),                           |
| method), 223   | 224  |
| forward() (imitation.util.networks.BaseNorm method),   | hook() (in module imitation.scripts.ingredients.logging),                      |
| 229  forward() (imitation util networks Squaezel and   | 209  |
| forward() (imitation.util.networks.SqueezeLayer method), 231   | I  |
| ForwardWrapper (class in imita-  | imitation  |
| tion.rewards.reward_nets), 191   | module, 113  |
| Fragmenter (class in imita-  | <pre>imitation.algorithms</pre>  |
| tion.algorithms.preference_comparisons),<br>148  | module, 113  |
| from_data() (imitation.data.buffer.Buffer class  | imitation.algorithms.adversarial   |
| method), 158   | module, 114  |
| from_data() (imitation.data.buffer.ReplayBuffer class  | <pre>imitation.algorithms.adversarial.airl   module, 114</pre>                 |
| method), 160   | imitation algorithms adversarial common  |
| full (imitation.policies.replay_buffer_wrapper.ReplayBuffer_property), 178   | erRevardWrapper  |
| -  | <pre>imitation.algorithms.adversarial.gail   module, 120</pre>                 |
| G  | imitation.algorithms.base  |
| GAIL (class in imitation.algorithms.adversarial.gail), 120   | module, 123  |
| gail() (in module imitation.scripts.train_adversarial),  | <pre>imitation.algorithms.bc</pre>   |
| 213  | module, 125  |
| <pre>gather_tb_directories() (in module imita-<br/>tion.scripts.analyze), 202</pre>  | <pre>imitation.algorithms.dagger module, 129</pre>                             |
| <pre>generate_expert_trajectories() (in module imita-</pre>  | imitation.algorithms.density   |
| tion.testing.expert_trajectories), 220   | module, 135  |
| <pre>generate_trajectories() (in module imita-</pre>   | <pre>imitation.algorithms.mce_irl</pre>  |
| tion.data.rollout), 165  | module, 138  |
| <pre>generate_transitions() (in module imita-<br/>tion.data.rollout), 165</pre>  | <pre>imitation.algorithms.preference_comparisons   module, 143</pre>           |
| get() (imitation.util.registry.Registry method), 234   | imitation.data   |
| - · · · · · · · · · · · · · · · · · · ·  | module 157   |

| imitation.data.buffer module, 157                               | <pre>imitation.scripts.config.train_rl   module, 203</pre>               |
|---|--|
| <pre>imitation.data.huggingface_utils   module, 161</pre>       | <pre>imitation.scripts.convert_trajs   module, 203</pre>                 |
| imitation.data.rollout  | imitation.scripts.eval_policy  |
| module, 163   | module, 204  |
| imitation.data.serialize  | imitation.scripts.ingredients  |
| module, 168   | module, 205  |
| imitation.data.types  | imitation.scripts.ingredients.bc   |
| module, 169   | module, 206  |
| imitation.data.wrappers   | imitation.scripts.ingredients.demonstrations                             |
|   |  |
| module, 172   | module, 207  |
| imitation.policies  | imitation.scripts.ingredients.environment                                |
| module, 174   | module, 207  |
| imitation.policies.base   | imitation.scripts.ingredients.expert                                     |
| module, 174   | module, 208  |
| imitation.policies.exploration_wrapper module,176               | <pre>imitation.scripts.ingredients.logging   module, 209</pre>           |
| <pre>imitation.policies.replay_buffer_wrapper module, 177</pre> | <pre>imitation.scripts.ingredients.policy   module, 210</pre>            |
| imitation.policies.serialize module, 178                        | <pre>imitation.scripts.ingredients.policy_evaluation   module, 210</pre> |
| imitation.regularization  | imitation.scripts.ingredients.reward                                     |
| module, 181   | module, 211  |
| imitation.regularization.regularizers                           | imitation.scripts.ingredients.rl   |
| module, 181   | module, 212  |
| imitation.regularization.updaters                               | imitation.scripts.ingredients.wb   |
| module, 184   | module, 213  |
| imitation.rewards   | imitation.scripts.train_adversarial                                      |
| module, 185   | module, 213  |
| imitation.rewards.reward_function                               | imitation.scripts.train_imitation  |
| module, 185   | module, 214  |
| imitation.rewards.reward_nets                                   | imitation.scripts.train_preference_comparisons                           |
| module, 186   | module, 215  |
| imitation.rewards.reward_wrapper                                | imitation.scripts.train_rl   |
| module, 198   | module, 218  |
| imitation.rewards.serialize                                     | imitation.testing  |
| module, 199   | module, 219  |
| imitation.scripts   | <pre>imitation.testing.expert_trajectories</pre>                         |
| module, 201   | module, 220  |
| imitation.scripts.analyze                                       | imitation.testing.reward_improvement                                     |
| module, 201   | module, 221  |
|   |  |
| imitation.scripts.config  | imitation.testing.reward_nets  |
| module, 202   | module, 222<br>imitation.util  |
| imitation.scripts.config.analyze                                |  |
| module, 203   | module, 223  |
| imitation.scripts.config.eval_policy                            | imitation.util.logger  |
| module, 203   | module, 224  |
| imitation.scripts.config.train_adversarial                      | imitation.util.networks  |
| module, 203   | module, 229  |
| imitation.scripts.config.train_imitation                        | imitation.util.registry  |
| module, 203   | module, 233  |
| <pre>imitation.scripts.config.train_preference_co</pre>         | _  |
| module, 203   | module, 234  |

| modu         | on.util.util<br>ule,236<br>on.util.video_wrapper                                    |                                      | ater<br>n.regularizati<br>ribute), 184 | ion.regulariz  |                | (imita-<br>egularizer   |
|--------------|---|--------------------------------------|--|----------------|----------------|-------------------------|
|              | ile, 240  | uur<br>LambdaUpda                    |  | (class         | in             | imita-                  |
|              | nitation.data.types.Trajectory attribute), 169                                      | -                                    | n.regularizati                         | •              |                |                         |
| infos        | (imitation.data.types.TransitionsMinimal at-  | lazy_gener                           | -                                      | _              |                | nodule                  |
|              | tribute), 171   | imi                                  | tation.testing                         | g.expert_traj  | ectories), 220 | )                       |
|              | tiveRender (class in imita-   | LinearBeta                           |  | (class         | in             | imita-                  |
|              | tion.scripts.eval_policy), 204  |                                      | n.algorithms.                          |                |                |                         |
|              | tiveTrajectoryCollector (class in imitation.algorithms.dagger), 132                 | stai                                 | tic method),                           | 151            | •              | ons.PreferenceDataset   |
|              | lParamScaler (class in imita-   | load() (in m                         |  |                | * *            | 2.4                     |
|              | tion.regularization.updaters), 184  | load_attr(                           |  |                |                |                         |
|              | rning_rate (imita-  | load_from_                           |  |                | sacred.Sacre   | dDicts                  |
|              | tion.util.networks.EMANorm attribute), 230  |                                      | ss method), 2                          |                | maliaina anu   | : al:= a)               |
|              | ificant_reward_improvement() (in module   | load_polic                           |  | ше ітпапоп     | .poucies.seri  | atize),                 |
| is_stati     | imitation.testing.reward_improvement), 221 ionary (imita-                           | load_rewar                           |  | ule imitation  | rowards sor    | ialize)                 |
|              | tion.algorithms.density.DensityAlgorithm  | 200<br>200                           |  | ине инициион   | .rewaras.seri  | unze),                  |
|              | attribute), 137   | load_rl_al                           |  |                | module<br>212  | imita-                  |
| K            |   | load_stabl                           |  |                |                | imita-                  |
| kernel       | (imitation.algorithms.density.DensityAlgorithm                                      | tion                                 | n.policies.ser                         | rialize), 180  |                |                         |
|              | attribute), 137   | load_with_                           |  | (              | module         | imita-                  |
|              | oandwidth (imita-   | tion                                 | n.data.seriali                         | ze), 168       |                |                         |
|              | tion.algorithms.density.DensityAlgorithm<br>attribute), 137                         | load_zero(<br>200                    |  | le imitation   | .rewards.seri  | ialize),                |
| keys() (     | imitation.util.registry.Registry method), 234                                       | LoaderFn ( <i>ir</i>                 |  |                |                |                         |
| L            |   | log() (imitat<br>227                 | 7                                      |                |                |                         |
|              | (imitation.algorithms.bc.BCTrainingMetrics attribute), 128                          |                                      | thod), 127                             |                | ithms.bc.BCl   |                         |
| 12_norm      | (imitation.algorithms.bc.BCTrainingMetrics at-                                      | log_epoch(<br><i>met</i>             | thod), 127                             |                | ithms.bc.BCl   |                         |
| 12_weigh     | nt (imitation.algorithms.bc.BehaviorCloningLoss)<br>attribute), 128                 | Pio                                  | perty), 123                            |                |                |                         |
| lambda_      | (imitation.regularization.regularizers.LossRegula                                   | pro                                  | (imitation.algoperty), 131             |                |                |                         |
| $lambda_{-}$ | (imitation.regularization.regularizers.Regularizer                                  | logger (imite<br>pro                 | ation.algoriti<br>perty), 145          | hms.preferen   | ce_comparis    | ons.AgentTrainer        |
| lambda_      | (imitation.regularization.regularizers.WeightDeco                                   | logger (imita<br>yRegularizer<br>pro | ation.algoriti<br>perty), 148          | hms.preferen   | ce_comparis    | ons.EnsembleTrainer     |
| lambda_      | attribute), 183<br>(imitation.regularization.regularizers.WeightReguattribute), 184 | pro                                  | perty), 13                             |                |                |                         |
| lambda_ı     |   |                                      | 0                                      | hms.preferen   | ce_comparis    | ons.TrajectoryGenerator |
|              | tion.regularization.regularizers.LossRegularizer                                    | -                                    | perty), 156                            |                |                |                         |
|              | attribute), 181   | logger (im                           |  | es.serialize.S | SavePolicyCo   | ıllback                 |
| lambda_u     | updater (imita-   |                                      | ribute), 179                           | . ,.           | 1 . 1          | n I :                   |
|              | tion.regularization.regularizers.Regularizer  | logger (imite                        | _                                      | ızanon.regu    | ıarızers.Loss  | кедшагіzer              |
|              | attribute), 182   |                                      | ribute), 181<br>ation regular          | rization recu  | larizara Dan   | darizar                 |
| lambda_u     |   | logger (imite                        | anon.regular<br>ribute), 182           | ілиноп.теди    | iurizers.Regi  | uurizer                 |
|              | tion.regularization.regularizers.WeightDecayReg                                     | 11/11/17 <i>P</i> F                  |  | rization regu  | larizers.Weig  | htDecayRegularizer      |
|              | attribute), 184   |                                      | ribute), 184                           | om             |                | ,                       |

| logger (imitation.regularization.regularizers.WeightReguattribute), 184  | lamidee_min_episodes() (in module imita-<br>tion.data.rollout), 166                      |
|--|--|
| logger (imitation.rewards.reward_wrapper.WrappedRewards.tribute), 199  | ur <b>n(6)vel/maih_</b> timesteps() (in module imita-<br>tion.data.rollout), 166         |
| $\begin{tabular}{ll} logits\_expert\_is\_high() & (imitation.algorithms.adversarial.airl.AIRL\ method), \end{tabular}$ | <pre>make_or_load_policy() (in module imita-<br/>tion.scripts.ingredients.bc), 206</pre> |
| 115 logits_expert_is_high() (imita-  | <pre>make_output_format() (in module imita-<br/>tion.util.logger), 228</pre>             |
| tion.algorithms.adversarial.common.Adversaria  |  |
| method), 118   | tion.scripts.ingredients.policy), 210  |
| logits_expert_is_high() (imita-  | make_reward_net() (in module imita-  |
| tion.algorithms.adversarial.gail.GAIL method),   | tion.scripts.ingredients.reward), 211  |
| 121  | make_rl_algo() (in module imita-   |
| loss (imitation.algorithms.bc.BCTrainingMetrics at-  | tion.scripts.ingredients.rl), 212  |
| tribute), 128  | make_rollout_venv() (in module imita-  |
| loss (imitation.algorithms.preference_comparisons.LossA  | ndMetrics tion.scripts.ingredients.environment), 207                                     |
| attribute), 148  | <pre>make_sample_until() (in module imita-</pre>   |
| LossAndMetrics (class in imita-  | tion.data.rollout), 166  |
| $tion.algorithms.preference\_comparisons),$  | make_seeds() (in module imitation.util.util), 237  |
| 148  | <pre>make_unique_timestamp() (in module imita-</pre>                                     |
| LossRegularizer (class in imita-   | tion.util.util), 237   |
| tion.regularization.regularizers), 181   | <pre>make_vec_env() (in module imitation.util.util), 238</pre>                           |
| LpRegularizer (class in imita-   | make_venv() (in module imita-  |
| tion.regularization.regularizers), 182   | tion.scripts.ingredients.environment), 208   |
| 1.4  | <pre>mce_occupancy_measures() (in module imita-</pre>                                    |
| M  | tion.algorithms.mce_irl), 141  |
| <pre>main() (in module imitation.scripts.convert_trajs), 203</pre>   | <pre>mce_partition_fh() (in module imita-</pre>  |
| <pre>main_console() (in module imitation.scripts.analyze),</pre>   | tion.algorithms.mce_irl), 142  |
| 202  | MCEIRL (class in imitation.algorithms.mce_irl), 139                                      |
| <pre>main_console() (in module imita-</pre>  | mean_reward_improved_by() (in module imita-  |
| tion.scripts.eval_policy), 205   | tion.testing.reward_improvement), 222  |
| main_console() (in module imita-   | members (imitation.rewards.reward_nets.RewardEnsemble                                    |
| $tion.scripts.train\_adversarial), 213$  | attribute), 193  |
| main_console() (in module imita-   | metrics (imitation.algorithms.preference_comparisons.LossAndMetrics                      |
| tion.scripts.train_imitation), 215   | attribute), 148  |
| main_console() (in module imita-   | MockRewardNet (class in imitation.testing.reward_nets),                                  |
| tion.scripts.train_preference_comparisons),  | model (imitation.policies.serialize.SavePolicyCallback                                   |
| 216  | model (imitation.policies.serialize.SavePolicyCallback attribute), 179                   |
| <pre>main_console() (in module imitation.scripts.train_rl),</pre>  | model (imitation.rewards.reward_wrapper.WrappedRewardCallback                            |
| 218  | attribute), 199  |
| <pre>make_bc() (in module imitation.scripts.ingredients.bc),</pre>   | module   |
| 206  | imitation, 113   |
| make_data_loader() (in module imita-   | imitation.algorithms, 113  |
| tion.algorithms.base), 124 make_ensemble() (in module imita-   | imitation.algorithms.adversarial, 114  |
| tion.testing.reward_nets), 223   | imitation.algorithms.adversarial.airl,   |
| make_expert_transition_loader() (in module imi-  | 114  |
| tation.testing.expert_trajectories), 221   | <pre>imitation.algorithms.adversarial.common,</pre>                                      |
| make_log_callback() (imita-  | 116  |
| tion.rewards.reward_wrapper.RewardVecEnvWr   |  |
| method), 199   | 120  |
| make_log_dir() (in module imita-   | imitation.algorithms.base, 123   |
| tion.scripts.ingredients.logging), 209   | imitation.algorithms.bc, 125   |
| 1 0 00-077   | imitation.algorithms.dagger, 129   |

```
imitation.algorithms.density, 135
                                                                                  imitation.scripts.ingredients.rl, 212
imitation.algorithms.mce_irl, 138
                                                                                  imitation.scripts.ingredients.wb, 213
                                                                                  imitation.scripts.train_adversarial, 213
imitation.algorithms.preference_comparisons,
                                                                                  imitation.scripts.train_imitation, 214
imitation.data, 157
                                                                                   imitation.scripts.train_preference_comparisons,
imitation.data.buffer, 157
                                                                                  imitation.scripts.train_rl, 218
imitation.data.huggingface_utils, 161
imitation.data.rollout, 163
                                                                                  imitation.testing, 219
imitation.data.serialize.168
                                                                                  imitation.testing.expert_trajectories,
imitation.data.types, 169
imitation.data.wrappers, 172
                                                                                  imitation.testing.reward_improvement, 221
imitation.policies, 174
                                                                                  imitation.testing.reward_nets, 222
imitation.policies.base, 174
                                                                                  imitation.util, 223
imitation.policies.exploration_wrapper,
                                                                                  imitation.util.logger, 224
                                                                                  imitation.util.networks, 229
imitation.policies.replay_buffer_wrapper,
                                                                                  imitation.util.registry, 233
                                                                                  imitation.util.sacred, 234
       177
imitation.policies.serialize, 178
                                                                                  imitation.util.util, 236
imitation.regularization, 181
                                                                                  imitation.util.video_wrapper, 240
imitation.regularization.regularizers,
                                                                            Ν
imitation.regularization.updaters, 184
                                                                           n_batches (imitation.algorithms.bc.BatchIteratorWithEpochEndCallback
imitation.rewards, 185
                                                                                          attribute), 128
imitation.rewards.reward_function, 185
                                                                           n\_episodes (imitation.algorithms.bc.RolloutStatsComputer
imitation.rewards.reward_nets, 186
                                                                                          attribute), 128
imitation.rewards.reward_wrapper, 198
                                                                           \verb|n_epochs| (imitation. algorithms. bc. Batch Iterator With Epoch End Callback)|
imitation.rewards.serialize, 199
                                                                                          attribute), 128
imitation.scripts, 201
                                                                           n_transitions
                                                                                                                                                  (imita-
imitation.scripts.analyze, 201
                                                                                          tion.data.wrappers.BufferingWrapper
                                                                                                                                                       at-
imitation.scripts.config, 202
                                                                                          tribute), 172
imitation.scripts.config.analyze, 203
                                                                           NeedsDemosException, 134
imitation.scripts.config.eval_policy, 203
                                                                           neglogp (imitation.algorithms.bc.BCTrainingMetrics at-
imitation.scripts.config.train_adversarial,
                                                                                          tribute), 128
                                                                           next obs
                                                                                             (imitation.data.types.Transitions attribute),
imitation.scripts.config.train_imitation,
                                                                                          170
                                                                           NormalizedRewardNet
                                                                                                                       (class
                                                                                                                                        in
                                                                                                                                                   imita-
imitation.scripts.config.train_preference_comparisms_rewards.reward_nets), 191
                                                                           NormalizeFeaturesExtractor (class in
                                                                                                                                                   imita-
imitation.scripts.config.train_rl, 203
                                                                                          tion.policies.base), 175
imitation.scripts.convert_trajs, 203
                                                                           num_batches (imitation.util.networks.EMANorm
imitation.scripts.eval_policy, 204
                                                                                          tribute), 230
imitation.scripts.ingredients, 205
                                                                           \verb|num_members| (imitation.rewards.reward_nets.RewardEnsemble|
imitation.scripts.ingredients.bc, 206
                                                                                         property), 193
\verb|imitation.scripts.ingredients.demonstrations amples()| (in \textit{module imitation.data.buffer}), 161| \\
imitation.scripts.ingredients.environment, ()
                                                                            obs (imitation.data.types.Trajectory attribute), 169
imitation.scripts.ingredients.expert, 208
                                                                           obs (imitation.data.types.TransitionsMinimal attribute),
imitation.scripts.ingredients.logging,
                                                                            \verb"on_epoch_end" (imitation. algorithms. bc. Batch Iterator With Epoch End Callbert States) and the property of the property of the property of the property of the property of the property of the property of the property of the property of the property of the property of the property of the property of the property of the property of the property of the property of the property of the property of the property of the property of the property of the property of the property of the property of the property of the property of the property of the property of the property of the property of the property of the property of the property of the property of the property of the property of the property of the property of the property of the property of the property of the property of the property of the property of the property of the property of the property of the property of the property of the property of the property of the property of the property of the property of the property of the property of the property of the property of the property of the property of the property of the property of the property of the property of the property of the property of the property of the property of the property of the property of the property of the property of the property of the property of the property of the property of the property of the property of the property of the property of the property of the property of the property of the property of the property of the property of the property of the property of the property of the property of the property of the property of the property of the property of the property of the property of the property of the property of the property of the property of the property of the property of the property of the property of the property of the property of the property of the property of the property of the property of the property of the property of the property of the property of the property of the property of the property of the property of the property of the property of the property 
imitation.scripts.ingredients.policy, 210
imitation.scripts.ingredients.policy_evaluation.optimizer
                                                                                                      (imitation.policies.base.RandomPolicy
                                                                                          attribute), 176
imitation.scripts.ingredients.reward, 211
```

| optimizer (imitation.policies.base.ZeroPolicy attribute), 176  |                   | () (imitation.re<br>method), 195  | wards.reward   | _nets.Rew   | vardNet                  |
|--|-------------------|-----------------------------------|----------------|-------------|--------------------------|
| optimizer (imitation.regularization.regularizers.LossRegu  |                   | * *                               |                |             | (imita-                  |
| attribute), 181  | _                 | tion.rewards.rew                  | ard nets Adds  |             | •                        |
| optimizer (imitation.regularization.regularizers.Regulariz   |                   | method), 187                      | a              | or Drie was | аттаррет                 |
|  |                   | processed()                       |                |             | (imita-                  |
| ${\tt optimizer} ({\it imitation. regularization. regularizers. Weight Description}) and {\tt optimizers}. Weight Description {\tt optimizers} ({\tt optimizers}) and {\tt optimizers} ({$ | ecayRegulo        | <b>tioner</b> ewards.rewo         | ard_nets.Norn  |             |                          |
| attribute), 184  |                   | method), 191                      |                |             | (!!4 m                   |
| optimizer (imitation.regularization.regularizers.WeightReattribute), 184   | _                 | _processed()<br>tion.rewards.rewa | ard note Drad  |             | (imita-                  |
| oric() (in module imitation.util.util), 238  |                   | method), 192                      | ara_neis.1 rea | icii roces. | sea wrapper              |
| oric() (in module initiation.uii.uii), 256   |                   | _processed()                      |                |             | (imita-                  |
| P  | _                 | tion.rewards.rew                  | ard nets Rew   |             | ,                        |
|  |                   | method), 193                      | ara_neis.rew   | агашизст    | bic .                    |
| p (imitation.regularization.regularizers.LpRegularizer at-<br>tribute), 182  |                   | _processed()                      |                |             | (imita-                  |
| parse_optional_path() (in module imitation.util.util),   | _                 | tion.rewards.rew                  | ard nets.Rewa  |             |                          |
| 238  |                   | 195                               | u              |             | <i>c</i> ,               |
| parse_path() (in module imitation.util.util), 239  |                   | _processed_all                    | . ()           |             | (imita-                  |
| pi (imitation.algorithms.mce_irl.TabularPolicy at-   | _                 | tion.rewards.rew                  |                |             |                          |
| tribute), 141  |                   | method), 193                      | _              |             |                          |
| policy (imitation.algorithms.adversarial.common.Adversa  |                   |                                   | :s()           |             | (imita-                  |
| property), 118   | racer i recerce r | tion.rewards.rewe                 | ard_nets.Rewo  | ardEnsem    | ble                      |
| policy (imitation.algorithms.base.DemonstrationAlgorithm   |                   | method), 194                      |                |             |                          |
| property), 124   |                   | _reward_moment                    | :s()           |             | (imita-                  |
| policy (imitation.algorithms.bc.BC property), 126  |                   | tion.rewards.rew                  | ard_nets.Rewo  | ardNetWit   | hVariance                |
| policy (imitation.algorithms.dagger.DAggerTrainer  |                   | method), 196                      |                |             |                          |
| property), 131   | predict_          | th() (imitation.i                 | rewards.rewai  | rd_nets.Pr  | redict Processed Wrapper |
| policy (imitation.algorithms.density.DensityAlgorithm  |                   | method), 192                      |                |             |                          |
| property), 137   |                   | th() (imitation.i                 | rewards.rewai  | rd_nets.Re  | ewardNet                 |
| policy (imitation.algorithms.mce_irl.MCEIRL prop-  |                   | method), 195                      |                |             |                          |
| erty), 140   |                   | ProcessedWrapp                    |                |             | imita-                   |
| policy_registry (in module imita-  |                   | tion.rewards.rew                  |                |             |                          |
| tion.policies.serialize), 180  | _                 | ce_collate_fr                     |                |             | imita-                   |
| policy_to_callable() (in module imita-   |                   | tion.algorithms.p                 | reference_cor  | nparisons   | ),                       |
| tion.data.rollout), 167  |                   | 156                               | ( 1            |             | • •,                     |
| ·  |                   | nceComparisons                    |                | in          | imita-                   |
| tion.policies.serialize), 179  |                   | tion.algorithms.p<br>148          | rejerence_cor  | nparisons   | ),                       |
| pop_finished_trajectories() (imita-  |                   | nceDataset                        | (class         | in          | imita-                   |
| tion.acticrappersziijjering wapper memoa),   |                   | tion.algorithms.p                 | `              |             |                          |
| 172  |                   | tion.aigoriinms.p<br>151          | rejerence_cor  | принзонз    | ),                       |
| pop_trajectories() (imita-   |                   | nceGatherer                       | (class         | in          | imita-                   |
| tion.data.wrappers.BufferingWrapper method),   |                   | tion.algorithms.p                 | `              |             |                          |
| 172 pop_transitions() (imita-  |                   | 151                               | rejerence_cor  | принзонз    | ),                       |
| tion.data.wrappers.BufferingWrapper method),   | Preferer          |                                   | (class         | in          | imita-                   |
| 173  |                   | tion.algorithms.p                 | *              |             |                          |
| pos (imitation.policies.replay_buffer_wrapper.ReplayBuffer   |                   |                                   | .,             | 7           | ,,<br>,                  |
| property), 178   | preproce          | ess() (imitation.1                | rewards.rewai  | rd nets.Re  | ewardNet                 |
| <pre>property, 170 predict() (imitation.algorithms.mce_irl.TabularPolicy</pre>   |                   | method), 196                      |                | _           |                          |
| method), 141   |                   | **                                | rewards.rewai  | rd_nets.Re  | wardNetWrapper           |
| predict() (imitation.rewards.reward_nets.PredictProcess  |                   |                                   |                |             | ••                       |
| method), 192   | prob_tru          | ıe_act                            |                |             | (imita-                  |
| <pre>predict() (imitation.rewards.reward_nets.RewardEnsemb</pre>   | ole               | tion.algorithms.b                 | c.BCTraining   | Metrics     | at-                      |
| method), 193   |                   | tribute), 128                     |                |             |                          |

| probability() (imita-<br>tion.algorithms.preference_comparisons.Preference<br>method), 153      | reset() (imitation.data.wrappers.RolloutInfoWrapper<br>aceModel method), 173<br>reset() (imitation.rewards.reward_wrapper.RewardVecEnvWrapper  |
|---|--|
| push() (imitation.algorithms.preference_comparisons.Prej<br>method), 151                        | ferenceDat <b>nset</b> hod), 199<br>reset() (imitation.scripts.eval_policy.InteractiveRender   |
| R   | method), 204 reset() (imitation.util.video_wrapper.VideoWrapper  |
| raise_uncertainty_on_not_supported() (imita-<br>tion.algorithms.preference_comparisons.ActiveSe | method), 241<br>Reasateryming <sub>e</sub> stats() (imita-<br>tion.util.networks.BaseNorm method), 229   |
| method), 144 RandomFragmenter (class in imita-  | reset_running_stats() (imita-  |
| tion.algorithms.preference_comparisons),  | tion.util.networks.EMANorm method), 230 reset_tensorboard_steps() (imita-  |
| 153   | tion.algorithms.bc.BCLogger method), 127   |
| RandomPolicy (class in imitation.policies.base), 176 reconstruct_policy() (in module imita-     | reward_test(imitation.algorithms.adversarial.airl.AIRL   |
| tion.algorithms.bc), 129  | property), 115   |
| reconstruct_trainer() (in module imita-   | $\verb"reward_test" (imitation. algorithms. adversarial. common. Adversarial Trained and the state of the state $ |
| tion.algorithms.dagger), 135  | property), 118   |
| record() (imitation.util.logger.HierarchicalLogger method), 227                                 | reward_test (imitation.algorithms.adversarial.gail.GAIL property), 121   |
| record_mean() (imita-   | reward_train(imitation.algorithms.adversarial.airl.AIRL  |
| tion.util.logger.HierarchicalLogger method),<br>227   | property), 115 reward_train(imitation.algorithms.adversarial.common.AdversarialTrain   |
| register() (imitation.util.registry.Registry method), 234                                       | property), 118 reward_train(imitation.algorithms.adversarial.gail.GAIL   |
| Registry (class in imitation.util.registry), 233  | property), 121   |
| regularize_and_backward() (imita-   | RewardEnsemble (class in imita-  |
| tion.regularization.regularizers.LossRegularizer method), 181                                   | tion.rewards.reward_nets), 193 RewardFn (class in imitation.rewards.reward_function),  |
| regularize_and_backward() (imita-   | 186  |
| tion.regularization.regularizers.Regularizer  | RewardLoss (class in imitation.algorithms.preference_comparisons),   |
| <pre>method), 183 regularize_and_backward() (imita-</pre>                                       | 153  |
| tion regularization regularizers Weight Regularize  | RewardNet (class in imitation.rewards.reward_nets), 194  |
| method), 184  | RewardNetFromDiscriminatorLogit (class in imita-   |
| Regularizer (class in imita-  | tion.algorithms.adversarial.gail), 122   |
| tion.regularization.regularizers), 182  | RewardNetWithVariance (class in imita-   |
| $\verb"regularizer" (imitation. algorithms. preference\_compariso$                              | ns.BasicRetionarryands.reward_nets), 196   |
| attribute), 146   | RewardNetWrapper (class in imita-  |
| $\verb"regularizer" (imitation. algorithms. preference\_compariso$                              | ns.Ensemblieredayeards.reward_nets), 196   |
| attribute), 148   | rewards() (imitation.algorithms.preference_comparisons.PreferenceMode  |
| RegularizerFactory (class in imita-   | method), 153 RewardTrainer (class in imita-  |
| tion.regularization.regularizers), 183 ReplayBuffer (class in imitation.data.buffer), 159       | tion.algorithms.preference_comparisons),   |
| ReplayBufferRewardWrapper (class in imita-  | 154  |
| tion.policies.replay_buffer_wrapper), 177   | RewardVecEnvWrapper (class in imita-   |
| requires_regularizer_update (imita-   | tion.rewards.reward_wrapper), 198  |
| tion.algorithms.preference_comparisons.BasicRe  | WANT (imitation.data.types.TrajectoryWithRew attribute),   |
| property), 146  | 170  |
| $\verb"reset()" (imitation. algorithms. dagger. Interactive Trajectory) \\$                     | yComector(imitation.data.types.TransitionsWithRew at-  |
| method), 132  | tribute), 171  |
| reset() (imitation.data.wrappers.BufferingWrapper   | rl_algo (imitation.algorithms.density.DensityAlgorithm attribute), 137   |
| method), 173  | rng (imitation.algorithms.mce_irl.TabularPolicy at-  |
|   | 5 \  |

| tribute), 141   | method), 131   |  |  |
|---|--|--|--|
| rollout() (in module imitation.data.rollout), 167   | save_stable_model() (in module imita-  |  |  |
| rollout_stats() (in module imitation.data.rollout),   | tion.policies.serialize), 180  |  |  |
| 167   | save_trainer() (imita-   |  |  |
| RolloutInfoWrapper (class in imita-   | tion.algorithms.dagger.DAggerTrainer   |  |  |
| tion.data.wrappers), 173  | method), 132   |  |  |
| RolloutStatsComputer (class in imita-   | SavePolicyCallback (class in imita-  |  |  |
| tion.algorithms.bc), 128  | tion.policies.serialize), 179  |  |  |
| run (imitation.util.sacred.SacredDicts attribute), 235  | $\verb"seed()" (imitation. algorithms. dagger. Interactive Trajectory Collector$ |  |  |
| running_mean (imitation.util.networks.BaseNorm  | method), 133   |  |  |
| attribute), 229   | set_demonstrations() (imita-   |  |  |
| running_mean(imitation.util.networks.RunningNormat-   | tion. algorithms. adversarial. common. Adversarial Trainer                       |  |  |
| tribute), 230   | method), 118   |  |  |
| running_var (imitation.util.networks.BaseNorm at-   | set_demonstrations() (imita-   |  |  |
| tribute), 229   | tion.algorithms.base.DemonstrationAlgorithm                                      |  |  |
| running_var (imitation.util.networks.RunningNorm at-  | method), 124   |  |  |
| tribute), 230   | set_demonstrations() (imitation.algorithms.bc.BC                                 |  |  |
| RunningNorm (class in imitation.util.networks), 230   | method), 126   |  |  |
| S   | set_demonstrations() (imita-   |  |  |
|   | tion.algorithms.density.DensityAlgorithm   |  |  |
| SAC1024Policy (class in imitation.policies.base), 176   | <pre>method), 137 set_demonstrations() (imita-</pre>                             |  |  |
| sacred_dir (imitation.util.sacred.SacredDicts at-   | tion.algorithms.mce_irl.MCEIRL method),  |  |  |
| tribute), 235   | 140  |  |  |
| SacredDicts (class in imitation.util.sacred), 235   | set_level() (imitation.util.logger.HierarchicalLogger                            |  |  |
| <pre>safe_to_numpy() (in module imitation.util.util), 239 safe_to_tensor() (in module imitation.util.util), 239</pre> | method), 227   |  |  |
| sample() (imitation.algorithms.preference_comparisons.A   |  |  |  |
| method), 145  | method), 141   |  |  |
| sample() (imitation.algorithms.preference_comparisons.T   | racturalogging() (in module imita-   |  |  |
| method), 155  | tion.scripts.ingredients.logging), 209   |  |  |
| <pre>sample() (imitation.algorithms.preference_comparisons.T</pre>  | rshapedRewardNot (class in imita-  |  |  |
| method), 156  | tion.rewards.reward_nets), 197   |  |  |
| <pre>sample() (imitation.data.buffer.Buffer method), 158</pre>  | SimpleDAggerTrainer (class in imita-   |  |  |
| <pre>sample() (imitation.data.buffer.ReplayBuffer method),</pre>  | tion.algorithms.dagger), 134   |  |  |
| 160   | single_video (imitation.util.video_wrapper.VideoWrapper                          |  |  |
| $\verb sample()  (imitation.policies.replay\_buffer\_wrapper.Replay )  $  | yBufferRewattaWtapper1   |  |  |
| method), 178  | size() (imitation.data.buffer.Buffer method), 159                                |  |  |
| sample_shapes (imitation.data.buffer.Buffer attribute),   | size() (imitation.data.buffer.ReplayBuffer method), 161                          |  |  |
| 159   | squeeze_r() (in module imitation.algorithms.mce_irl),                            |  |  |
| save() (imitation.algorithms.preference_comparisons.Pre   | ferenceDataset<br>SqueezeLayer (class in imitation.util.networks), 231           |  |  |
| method), 151  | standardise (imitation.algorithms.density.DensityAlgorithm                       |  |  |
| save() (in module imitation.data.serialize), 169  | attribute), 137  |  |  |
| save() (in module imitation.scripts.train_adversarial), 213   | STATE_ACTION_DENSITY (imita-   |  |  |
| save_checkpoint() (in module imita-   | tion.algorithms.density.DensityType attribute),                                  |  |  |
| tion.scripts.train_preference_comparisons),   | 138  |  |  |
| 216   | STATE_DENSITY (imita-  |  |  |
| save_model() (in module imita-  | tion.algorithms.density.DensityType attribute),                                  |  |  |
| tion.scripts.train_preference_comparisons),   | 138  |  |  |
| 216   | STATE_STATE_DENSITY (imita-  |  |  |
| <pre>save_policy() (imitation.algorithms.bc.BC method),</pre>   | $tion. algorithms. density. Density Type \ \ attribute),$                        |  |  |
| 126   | 138  |  |  |
| <pre>save_policy() (imita-</pre>  | step() (imitation.data.wrappers.RolloutInfoWrapper                               |  |  |
| tion.algorithms.dagger.DAggerTrainer  | method), 174   |  |  |

| step()             | (imitation.util.video_wrapper.VideoWrapper method), 241   | <pre>train_gen() (imitation.algorithms.adversarial.common.AdversarialTraina<br/>method), 119</pre>  |
|--------------------|---|---|
| step_as            | ync() (imitation.algorithms.dagger.InteractiveTra   | y <b>erany Opolkita</b> y () (imita-  |
| atan aa            | method), 133  | tion.algorithms.density.DensityAlgorithm  |
| scep_as            | ync() (imitation.data.wrappers.BufferingWrappe  |   |
| atan aa            | method), 173  | train_preference_comparisons() (in module imi-  |
| scep_as            |   | dVecEnvW <b>napper</b> .scripts.train_preference_comparisons),<br>216   |
| stan               | method), 199  | e <b>vicacyCofleCi</b> p(in module imitation.scripts.train_rl), 218   |
| step_wa            | method), 133  | training (imitation.algorithms.adversarial.gail.RewardNetFromDiscrimin  |
| step_wa            | it() (imitation.data.wrappers.BufferingWrapper  | attribute), 122   |
|                    | method), 173  | $training (\textit{imitation.algorithms.preference\_comparisons.CrossEntropyRewall) and \textit{training} (\textit{imitation.algorithms.preference\_comparisons.CrossEntropyRewall) and \textit{training} (\textit{imitation.algorithms.preference\_comparisons.CrossEntropyRewall) and \textit{training} (\textit{imitation.algorithms.preference\_comparisons.CrossEntropyRewall) and \textit{training} (\textit{imitation.algorithms.preference\_comparisons.CrossEntropyRewall) and \textit{training} (\textit{imitation.algorithms.preference\_comparisons.CrossEntropyRewall) and \textit{training} (\textit{imitation.algorithms.preference\_comparisons.CrossEntropyRewall) and \textit{training} (\textit{imitation.algorithms.preference\_comparisons.CrossEntropyRewall) and \textit{training} (\textit{imitation.algorithms.preference\_comparisons.CrossEntropyRewall) and \textit{training} (\textit{imitation.algorithms.preference\_comparisons.CrossEntropyRewall) and \textit{training} (\textit{imitation.algorithms.preference\_comparisons.CrossEntropyRewall) and \textit{training} (\textit{imitation.algorithms.preference\_comparisons.CrossEntropyRewall) and \textit{training} (\textit{imitation.algorithms.preference\_comparisons.CrossEntropyRewall) and \textit{training} (\textit{imitation.algorithms.preference\_comparisons.CrossEntropyRewall) and \textit{imitation.algorithms.preference\_comparisons.CrossEntropyRewall) and \textit{training} (imitation.algorithms.preference\_comparisons.CrossEntropyRewall) and \textit{imitation.algorithms.preference\_comparisons.CrossEntropyRewall) and \textit{imitation.algorithms.preference\_comparisons.CrossEntropyRewall) and \textit{imitation.algorithms.preference\_comparisons.CrossEntropyRewall.CrossEntropyRe$ |
| step_wa            | it() (imitation.rewards.reward_wrapper.Reward   |   |
|                    | method), 199  | ${\tt training} \ (imitation. algorithms. preference\_comparisons. Preference Model$  |
| step_wa            | it() (imitation.scripts.eval_policy.InteractiveRen  |   |
|                    | method), 204  | training (imitation.algorithms.preference_comparisons.RewardLoss  |
|                    | (imitation.data.buffer.Buffer method), 159  | attribute), 154   |
| store()            | (imitation.data.buffer.ReplayBuffer method),<br>161   | training (imitation.policies.base.FeedForward32Policy attribute), 175   |
| Synthet            | <pre>icGatherer (class in imita-<br/>tion.algorithms.preference_comparisons),</pre>   | training (imitation.policies.base.HardCodedPolicy attribute), 175   |
| _                  | 155   | training (imitation.policies.base.NormalizeFeaturesExtractor attribute), 176  |
| Τ                  |   | training (imitation.policies.base.RandomPolicy at-  |
| Tabular            | Policy (class in imitation.algorithms.mce_irl),   | tribute), 176   |
|                    | 140   | training (imitation.policies.base.SAC1024Policy   |
| tensor_            | <pre>iter_norm() (in module imitation.util.util),</pre>   | attribute), 176   |
|                    | 240   | training (imitation.policies.base.ZeroPolicy attribute),  |
| termina            | 1 (imitation.data.types.Trajectory attribute), 170  | 176   |
| test_po            | licy() (imita-  | $\verb training  (imitation. rewards. reward\_nets. Basic Potential CNN )$  |
|                    | tion.algorithms.density.DensityAlgorithm  | attribute), 188   |
|                    | method), 137  | $\verb training  (imitation.rewards.reward\_nets.BasicPotential MLP ) \\$   |
| train()            | (imitation.algorithms.adversarial.common.Advers   | sarialTrain <b>et</b> tribute), 188   |
|                    | method), 119  | $\verb training  (imitation. rewards. reward\_nets. Basic Reward Net$   |
| <pre>train()</pre> | (imitation.algorithms.bc.BC method), 127  | attribute), 189   |
| <pre>train()</pre> | (imitation. algorithms. dagger. Simple DAgger Trains and the property of the    | ekraining (imitation.rewards.reward_nets.BasicShapedRewardNet   |
|                    | <i>method</i> ), 134  | attribute), 190   |
| train()            | (imitation.algorithms.density.DensityAlgorithm method), 137   | training (imitation.rewards.reward_nets.CnnRewardNet attribute), 191  |
| train()            |   | training (imitation.rewards.reward_nets.ForwardWrapper  |
|                    | method), 140  | attribute), 191   |
| train()            |   | getar Friziniang (imitation.rewards.reward_nets.NormalizedRewardNet attribute), 192   |
| +rain()            |   | refareinoi@ghipiitisions.rewards.reward_nets.PredictProcessedWrapper  |
|                    | method), 150  | attribute), 193   |
| train()            | (imitation.algorithms.preference_comparisons.Remethod), 154   | w <b>tandInaing</b> (imitation.rewards.reward_nets.RewardNet at-<br>tribute), 196   |
| train()            | $(imitation. algorithms. preference\_comparisons. Training and the property of$ | a <b>texxin/Gro</b> n <mark>imit</mark> ation.rewards.reward_nets.RewardNetWithVariance   |
|                    | <i>method</i> ), 156  | attribute), 196   |
| train_a            | dversarial() (in module imita-  | training (imitation.rewards.reward_nets.RewardNetWrapper  |
|                    | tion.scripts.train_adversarial), 214  | attribute), 197   |
| train_d            |   | Ataxissing/finitation.rewards.reward_nets.ShapedRewardNet   |
|                    | method), 119  | attribute), 198   |
|                    |   | training (imitation.testing.reward_nets.MockRewardNet   |

| attribute), 223  | V   |
|--|---|
| training (imitation.util.networks.RunningNorm attribute), 231  | val_split(imitation.regularization.regularizers.LossRegularizer attribute), 182   |
| training (imitation.util.networks.SqueezeLayer attribute), 231   | val_split (imitation.regularization.regularizers.Regularizer attribute), 183  |
| training() (in module imitation.util.networks), 233 training_mode() (in module imitation.util.networks),     | val_split (imitation.regularization.regularizers.WeightDecayRegularizer attribute), 184   |
| 233 traj_accum (imitation.algorithms.dagger.InteractiveTrajective), 133                                      |   |
| trajectories_to_dataset() (in module imitation.data.huggingface_utils), 162                                  | ValidateRewardFn (class in imitation.rewards.serialize), 200  |
| trajectories_to_dict() (in module imita-<br>tion.data.huggingface_utils), 162                                | variance_estimate() (imitation.algorithms.preference_comparisons.ActiveSelectionFragmen method), 144  |
| Trajectory (class in imitation.data.types), 169 TrajectoryAccumulator (class in imitation.data.rollout), 163 | venv (imitation.algorithms.adversarial.airl.AIRL attribute), 116  |
| TrajectoryDataset (class in imitation.algorithms.preference_comparisons),                                    | venv (imitation.algorithms.adversarial.common.AdversarialTrainer<br>attribute), 120   |
| 155  | venv (imitation.algorithms.adversarial.gail.GAIL attribute), 121  |
| TrajectoryDatasetSequence (class in imitation.data.huggingface_utils), 162                                   | venv (imitation.algorithms.bc.RolloutStatsComputer at-<br>tribute), 128   |
| TrajectoryGenerator (class in imitation.algorithms.preference_comparisons), 156                              | venv (imitation.algorithms.density.DensityAlgorithm attribute), 138   |
| TrajectoryWithRew (class in imitation.data.types), 170   | venv_train (imitation.algorithms.adversarial.airl.AIRL attribute), 116  |
| Transitions (class in imitation.data.types), 170   | venv_train(imitation.algorithms.adversarial.common.AdversarialTrainer   |
| transitions (imitation.algorithms.density.DensityAlgorit attribute), 138                                     | <i>uiitibuic)</i> , 120   |
| transitions_collate_fn() (in module imita-   | venv_train(imitation.algorithms.adversarial.gail.GAIL attribute), 122   |
| tion.data.types), 171 TransitionsMinimal (class in imitation.data.types), 170                                | venv_wrapped (imitation.algorithms.adversarial.airl.AIRL attribute), 116  |
| TransitionsWithRew (class in imitation.data.types), 171  | venv_wrapped (imitation.algorithms.adversarial.common.AdversarialTrain attribute), 120 venv_wrapped (imitation.algorithms.adversarial.gail.GAIL |
| U  | attribute), 122   |
| uncertainty_on (imita-   | venv_wrapped (imitation.algorithms.density.DensityAlgorithm attribute), 138   |
| tion.algorithms.preference_comparisons.ActiveSeproperty), 144  | ( · · · · ·   |
| unwrap_traj() (in module imitation.data.rollout), 168  | tion.util.video_wrapper.VideoWrapper at-<br>tribute), 241   |
| update_params() (imita-<br>tion.regularization.regularizers.Regularizer                                      | video_wrapper_factory() (in module imitation.scripts.eval_policy), 205  |
| <pre>method), 183 update_stats() (imitation.util.networks.BaseNorm method), 230</pre>                        | VideoWrapper (class in imitation.util.video_wrapper),<br>240  |
| update_stats() (imitation.util.networks.EMANorm method), 230   | W   |
| update_stats() (imitation.util.networks.RunningNorm  | wandb_init() (in module imitation.scripts.ingredients.wb), 213  |
| <pre>method), 231 update_traj_file_in_place() (in module imita- tion.scripts.convert_trajs), 203</pre>       | WandbOutputFormat (class in imitation.util.logger), 227 WeightDecayRegularizer (class in imitation.regularization.regularizers), 183            |
|  | WeightRegularizer (class in imitation.regularization.regularizers), 184   |

```
WrappedRewardCallback
                               (class
                                         in
                                                imita-
         tion.rewards.reward_wrapper), 199
wrapper_callback
                                                (imita-
         tion. algorithms. density. Density Algorithm \\
         attribute), 138
              (imitation.util.logger.WandbOutputFormat\\
write()
         method), 228
Z
```

ZeroPolicy (class in imitation.policies.base), 176